

## **Permit Applicant Certification Regarding Labor Law §224-f(2)**

**Instructions:** This certification must be completed by all applicants for a NYSTA work permit. For additional information, please see Labor Law §224-f below, and view the enforcement guidance document at the following link: [Enforcement Guidance - Roadway Excavation Quality Assurance Act - Update 3](#).

**Part 1:** Is the entity applying for AND performing all work under this permit a utility subject to the jurisdiction of the Public Service Commission?       YES    NO

If YES - §224-f does not apply. Proceed to Part 6.

If NO – Proceed to Part 2.

**Part 2:** Is the entity applying for OR performing any work under this permit doing so under contract or subcontract with a utility subject to the jurisdiction of the Public Service Commission?       YES    NO

If YES – Proceed to Part 3.

If NO - §224-f does not apply. Proceed to Part 6.

**Part 3:** Is the entity applying for OR performing any work under this permit doing so under contract or subcontract with the Long Island Power Authority, Green Island Power Authority or a television provider?       YES    NO

If YES - §224-f does not apply. Proceed to Part 6.

If NO – Proceed to Part 4.

**Part 4:** Is the permit for a location and a project already underway under an existing utility contract signed or solicited before 9/15/2023?       YES    NO

If YES – §224-f does not apply. Proceed to Part 6.

If NO – Proceed to Part 5.

**Part 5:** Is the work to be performed under this permit under a general contract awarded before 9/15/2023 with a subcontract awarded thereafter?       YES    NO

If YES – §224-f does not apply.

If NO –

- Labor Law §224-f applies;
- Read the copy of the law attached below, regarding your legal obligations to ensure payment of at least the applicable prevailing wage; and
- Complete Part 6.

Part 6: I certify that the information I have given on this form is true and complete, and that if Labor Law §224-f applies, that an agreement for the payment of prevailing wages has been contractually mandated for the permit work.

WARNING: Intentionally making a false statement or providing false or misleading information in connection with this form is a criminal offense that may subject you to prosecution under law.

Note: Submitting this form to NYSTA satisfies the filing requirement of Labor Law §224-f(2).

Print Name Here: \_\_\_\_\_ Print Title Here: \_\_\_\_\_

(if applying for a corporation or other business entity, print your full name and business title)

Business Name: \_\_\_\_\_

Sign Here: \_\_\_\_\_ Date: \_\_\_\_\_

## **Labor Law § 224-f. Workers on excavations**

1. For the purposes of this section:

- a. "Covered excavation project" shall mean construction work for which a permit may be issued to a contractor or subcontractor of a utility company by the state, a county or a municipality to use, excavate, or open a street. A "covered excavation project" shall exclude construction work for which a permit may be issued to a service provided as defined by subdivision twenty-three of section one thousand twenty-b of the public authorities law.
- b. "Department of jurisdiction" shall mean the department of the state, board or officer in the state, or municipal corporation or commission or board appointed pursuant to law, whose duty it is to issue a permit to a utility company, or its contractors or subcontractors, for a covered excavation project.
- c. "Fiscal officer" shall mean the commissioner; except for covered excavation projects performed pursuant to a permit issued by a city with a population in excess of one million in which case the "fiscal officer" shall be the comptroller or other analogous officer of such city.
- d. "Utility company" shall have the same meaning as subdivision twenty-three of section two of the public service law.

2. Each contractor or subcontractor to a utility company shall be required to pay not less than the prevailing rate of wage in the same trade or occupation in the locality within the state where such covered excavation project is situated to each laborer, worker, or mechanic in the employ of the contractor or subcontractor to a utility company, performing work on the project in accordance with sections two hundred twenty and two hundred twenty-b of this article. No permit shall be issued for a covered excavation project until an agreement confirming the payment of wages as required by this section has been contractually mandated and filed with the department of jurisdiction, and all permits issued after the effective date of this section shall include therein a copy of this section.

3. The enforcement of any covered excavation project pursuant to this section shall be subject to the requirements of sections two hundred twenty, two hundred twenty-a, two hundred twenty-b, two hundred twenty-three and two hundred twenty-four-b of this article and section two hundred twenty-seven of this chapter and within the jurisdiction of the fiscal officer; provided, however, nothing contained in this section shall be deemed to construe any covered excavation project as otherwise being considered public work pursuant to this article.

4. The fiscal officer may issue rules and regulations governing the provisions of this section. Any violation of this section shall be grounds for determinations and orders pursuant to section two hundred twenty-b of this article.

Added L.2023, c. 278, § 2, eff. Sept. 15, 2023.