



## **MINUTES**

### **NEW YORK STATE THRUWAY AUTHORITY**

#### **BOARD MEETING NO. 718**

**March 21, 2016**

Minutes of a meeting of the New York State Thruway Authority, held in the board room at, 200 Southern Boulevard, Albany, New York.

The meetings of the New York State Thruway Authority and Canal Corporation Boards opened in joint session for the consideration of various matters. These minutes reflect only those items considered by the New York State Thruway Authority Board. The meeting began at 11:30 a.m.

Members of the Board present were:

Joanne M. Mahoney, Chair  
Donna J. Luh, Vice Chairperson  
Richard N. Simberg, Board Member  
Jose Holguin-Veras, Ph.D.

Member of the Board excused:

J. Donald Rice, Jr., Board Member

Constituting a majority of the members of the Thruway Authority Board.

Staff Present:

Maria Lehman, Interim Executive Director  
Karen Hunter, Chief of Staff  
Gordon Cuffy, General Counsel  
Brian Stratton, Director of the NYS Canal Corporation  
Matt Howard, Treasurer and Chief Financial Officer  
Cathy Sheridan, Chief Engineer  
Harry Lennon, Acting Director of Audit & Management Services  
Major Joseph Dodd, NYS Police  
Larry Norville, Chief Compliance Officer  
Mark Hixson, Deputy Director Maintenance & Operations  
Stephen Grabowski, Acting New York Division Director  
John Callaghan, Deputy Director of the NYS Canal Corporation  
Jennifer Givner, Director of Media Relations & Communications  
John Barr, Director of Administrative Services



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Shane Mahar, Deputy Communications Director  
Doraine Steele, Director of Fiscal Audit and Budget  
Tony Kirby, Director of Real Property Management  
Kevin Allen, Director of Purchasing  
Dave Malone, Director of Accounting and Disbursements  
Tim Wainwright, Albany Division Maintenance Engineer  
Frank Macarilla, Information Technology Specialist  
Kathleen LeFave, Board Secretary

Also in attendance:

Paul Geotz, - SaxBST, LLC.  
Jimmy Vielkind, Capital NY  
Bob Radliff, Erie Canalway Heritage Fund

Chair Mahoney called the meeting of the Thruway Authority and Canal Corporation Boards to order.

Ms. LeFave recorded the minutes as contained herein (public notice of the meeting had been given).

## **PUBLIC COMMENT PERIOD RELATED TO THE MEETING AGENDA**

There were no comments made during the period.

### **Item 1 by Chair Mahoney (Appendix A)** **Approval of Minutes of Meeting No. 716**

Chair Mahoney asked for a motion to approve the minutes of the previous meeting. Upon motion duly made and seconded, the Board approved the minutes of Meeting No. 717 held on February 23, 2016, which were made available to the Board Members as part of the Agenda.

### **Item 2 by Mr. Howard (Appendix B)** **Financial Reports for January 2016**

The financial report was advanced to the Board at the recommendation of the Finance Committee.

Upon motion duly made and seconded, the Board accepted the Financial Reports for January 2016.

### **Item 3 by Mr. Howard (Appendix C)** **Review and approval of the Authority's Annual Investment Report**



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The item was advanced to the Board at the recommendation of the Finance Committee.

Upon motion duly made and seconded, without any objections, the Board approved the Authority's annual investment report and adopted the following resolution:

**RESOLUTION NO. 6087**  
**REVIEW AND APPROVAL OF THE AUTHORITY'S ANNUAL INVESTMENT REPORT**

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**RESOLVED**, that the Authority's Investment Report including the revised policy statement FINANCIAL INVESTMENTS, the annual report by the independent auditors, and the listing of investment income has been reviewed and is hereby approved, and be it further

**RESOLVED**, that this resolution be incorporated in the minutes of this meeting.

**Item 4 by Mr. Howard (Appendix D)**  
**Review and approval of the Annual report of 2015 Procurement Contracts**

The item was advanced to the Board at the recommendation of the Finance Committee.

Upon motion duly made and seconded, without any objections, the Board approved the adopted and adopted the following resolution:

**RESOLUTION NO. 6088**  
**ANNUAL REPORT OF 2015 PROCUREMENT CONTRACTS**

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**RESOLVED**, that the Schedules of 2015 Procurement Contracts (Exhibits A1, A2 and A3), as submitted, are hereby accepted, and be it further



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**RESOLVED**, that all such contracts were executed in accordance with the applicable provisions of the following Board approved Policy Statement: PROCUREMENT CONTRACTS (25-5-01), unless otherwise authorized by the Board, and be it further

**RESOLVED**, that the Bond Sale Report for Calendar Year 2015 (Exhibit B), as submitted, is hereby accepted, and be it further

**RESOLVED**, that staff is authorized to submit this report to the New York State entities as required by Section 2879 of the Public Authorities Law, and be it further

**RESOLVED**, that this resolution be incorporated in the minutes of this meeting.

**Item 5 by Mr. Howard (Appendix E)**  
**Authorizing the Establishment of the Thruway Stabilization Escrow Account within the Construction Fund to Administer the Thruway Stabilization Program**

The Establishment of the Thruway Stabilization Escrow Account within the Construction Fund to Administer the Thruway Stabilization Program Resolution was advanced to the Board at the recommendation of the Finance Committee.

Upon motion duly made and seconded, the Board approved and adopted the following resolution:

**RESOLUTION NO. 6089**  
**AUTHORIZE THE ESTABLISHMENT OF THE THRUWAY  
STABILIZATION ESCROW ACCOUNT WITHIN THE  
CONSTRUCTION FUND TO ADMINISTER THE THRUWAY  
STABILIZATION PROGRAM**

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**RESOLVED**, that the Thruway Stabilization Escrow Account



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be established within the Authority's Construction Fund for the exclusive use of Thruway Stabilization Program monies in the custody of the Authority and that monies on deposit in the Thruway Stabilization Escrow Account be used to pay or reimburse capital costs in accordance with the State of New York's appropriation that created the Thruway Stabilization Program, and be it further

**RESOLVED**, that this resolution be incorporated in the minutes of this meeting.

**Item 6 by Messrs. Howard and Goetz (Appendix F)**  
**Report of SaxBST, LLC's Audit of the Authority's Financial Statements, Report on Compliance with Investment Guidelines and Reports on Compliance with Single Audit Requirements**

The item was advanced to the Board at the recommendation of the Audit Committee.

Upon motion duly made and seconded without any objections, the Board accepted SaxBST, LLC's report of the Authority's audited financial statements, report on compliance with investment guidelines and report on compliance with single audit requirements.

**Item 7 by Chair Mahoney (Appendix G)**  
**Revised 2016 Board of Directors Meeting Schedule**

Chair Mahoney proposed an amended Board meeting dates for the calendar year.

Upon motion duly made and seconded, the Board approved the Board calendar and adopted the following board meeting dates:

**Board of Directors Meeting Schedule for Calendar Year 2016**

January 12, 2016

February 23, 2016

March 21, 2016



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April 8, 2016

June 6, 2016

September 12, 2016

October 3, 2016

November 7, 2016

December 5, 2016

**Item 8 by Mr. Christensen (Appendix H)**  
**All Electronic Toll Collection Presentation**

Mr. Christensen presented the Board with an update of the Thruway Authority's plan to introduce all cashless tolling on the New NY Bridge project.

Details of the presentation and discussion with Board Members are included in the video recording of the meeting.

**Item 9 by Ms. Sheridan (Appendix I)**  
**Authorizing the Execution of Three Engineering Agreements (D214464, D214472, D214473) with Three Firms**

Ms. Sheridan presented the resolution seeking board authorization to execute engineering agreements with three firms.

Details of the presentation and discussion with Board Members are included in the video recording of the meeting.

Upon motion duly made and seconded, without any objections, the Board authorized the Chief Engineer to execute the agreements and adopted the following resolution:

**RESOLUTION NO. 6090**  
**AUTHORIZING THE EXECUTION OF THREE AGREEMENTS**  
**(D214464, D214472 and D214473) WITH THREE FIRMS**

**RESOLVED**, that the Chief Engineer be, and she hereby is, authorized to execute three agreements (D214464, D214472 and D214473) with the three firms listed in Exhibit A, attached hereto, provided that sufficient funding has been identified to complete



services for the projects through these agreements, with the Maximum Amount Payable of each agreement not to exceed the amount shown in the attached Exhibit A, and such agreements shall be on such other terms and conditions as the Chief Engineer, in consultation with the General Counsel, determines to be in the best interest of the Authority, and be it further

**RESOLVED**, that the Chief Engineer or her designees shall have the authority to exercise all powers reserved to the Authority under the provisions of the agreements, manage and administer the agreements, amend the provisions of the agreements consistent with the terms of this Item and in accordance with the 2016 Contracts Program Resolution and other Board authorizations, and suspend or terminate the agreements in the best interests of the Authority, and be it further

**RESOLVED**, that information relating to each agreement be included in the Chief Engineer's Quarterly Report to the Board on Contracts Program activities which will include the date of execution of each agreement, and be it further

**RESOLVED**, that this resolution be incorporated in the minutes



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**GENERAL PUBLIC COMMENT PERIOD**

There were no comments made during the period.

**ADJOURNMENT**

There being no other business, upon motion duly made and seconded, the board voted to adjourn the till its next meeting scheduled for April 8, 2016.

A handwritten signature in black ink that reads "Kathleen LeFave". The signature is written over a horizontal line.

Kathleen LeFave  
Secretary to the Board

*Note: Webcasts, which include dialogue of Authority/Corporation Board Meetings, are available on the Thruway Authority website 48 hours after such meetings occur and remain on the website for a period of four months.*