



NEW YORK
STATE OF
OPPORTUNITY™

**Thruway
Authority**

**FINANCE COMMITTEE MEETING NO. 76
TUESDAY, APRIL 9, 2024
ALBANY, NEW YORK**



**Thruway
Authority**

FINANCE COMMITTEE AGENDA

Meeting No.76

April 9, 2024

Albany, New York

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1. Approval of Minutes of Finance Committee Meeting No. 75
 2. Review and Approval of the December 2023 & January 2024 Financial Reports
 3. Review and Approval of the Authority's Annual Investment Report
 4. Review and Approval of the Annual Report for 2023 Procurement Contracts
 5. Other Business
 5. Adjournment

TO: The Finance Committee

DATE: April 9, 2024

FROM: Julie Greco
Board Secretary

SUBJECT: Approval of Minutes for Finance Committee Meeting No. 75

Copies of the Minutes of Finance Committee Meeting No. 75 were made available to the Committee Members as part of the agenda.

RECOMMENDATION

It is recommended that the Minutes of Finance Committee Meeting No. 75, held on January 29, 2024, be approved by the Committee.



MINUTES
FINANCE COMMITTEE MEETING NO. 75
January 29, 2024

Meeting minutes of the New York State Thruway Authority Finance Committee, held in the boardroom at 200 Southern Boulevard, Albany, New York and via video conference.

The following Committee Members were present, constituting a quorum:

Robert Megna, Chair
Jose Holguin-Veras, Ph.D., Committee Member
Joanne M. Mahoney, (ex-officio)

In addition, the following were present:

Heather Briccetti-Mulligan, Board Member
Norman H. Jones, Board Member

Staff present:

Frank G. Hoare, Acting Executive Director
Tom McIntyre, Deputy Executive Director of Operations & Special Projects
Matthew Trapasso, Chief of Staff
Dave Malone, Chief Financial Officer
Sandra Rivera, General Counsel
Brent Howard, Chief Engineer
Mary Boehm, Director of Audit & Management Services
Selica Grant, Director of Administrative Services
Erika Beardsley, Acting Director for Center of Excellence
Jennifer Givner, Director of Media Relations & Communications
Andrew Trombley, Acting Director of Procurement Services
Diana Nebiolo, Acting Director of Revenue Management
Pete Nilsson, Information Technology Specialist
William Hewitt, Information Technology Specialist
Julie Greco, Board Secretary

Mr. Megna, Committee Chair, called the meeting of the Finance Committee to order at 1:02pm.

Ms. Greco recorded the minutes as contained herein (public notice of the meeting had been given).

Item 1 (00:56)

Approval of Minutes of the Finance Committee Meeting No. 74

Upon motion duly made and seconded, the Finance Committee approved the minutes of the previous meeting held on November 14, 2023.

Item 2 (01:23)

Review and Approval of the Financial Reports for September, October, & November 2023

Mr. Malone provided Committee Members with an update of the Authority's financial performance for September, October, and November of 2023. The details of the presentation by Mr. Malone and Committee Members' comments and questions with respect thereto are included in the audio recording of the meeting maintained in the Authority's records.

Whereafter, upon motion duly made and seconded, the Finance Committee approved the Financial Reports for September, October, and November 2023 and authorized their submission to the Authority Board for consideration.

Item 3 (09:13)

Approval of the Authority's Investment Transactions – Fourth Quarter 2023

Mr. Malone provided Committee Members with a report on the Authority's Investment Transactions for the Fourth Quarter of 2023.

The details of the presentation by Mr. Malone and Committee Members' comments and questions with respect thereto are included in the audio recording of the meeting maintained in the Authority's records.

Whereafter, upon motion duly made and seconded, the Finance Committee approved the Authority's Investment Transactions for the Fourth Quarter of 2023 and authorized their submission to the Authority Board for consideration.

Adjournment

There being no other business to come before the Finance Committee, upon motion duly made and seconded, the meeting was adjourned at 1:12 p.m.

Note: Webcasts, which include dialogue of Thruway Authority's Finance Committee Meeting, are available on the Thruway Authority website 48 hours after such meetings occur and remain on the website for a period of four months.

TO: The Finance Committee

DATE: April 9, 2024

FROM: David Malone
Chief Financial Officer

SUBJECT: Financial Report – December 2023 and January 2024

The Chief Financial Officer is formally submitting a copy of the Financial Report for the months of December 2023 and January 2024.

SUBMISSION:

This report is submitted for inclusion as part of the official records for this meeting.



Monthly Financial Report

December 2023



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February 29, 2024

STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET POSITION - MONTH NEW YORK STATE THRUWAY AUTHORITY				MONTH	
				December	
				YEAR	
				2023	
REVENUES	PRESENT MONTH		AMOUNT OF CHANGE	% OF CHANGE	
	CURRENT YEAR	PREVIOUS YEAR (1)			
TOLL REVENUE					
PASSENGER					
Woodbury to Buffalo, Stations 15-50	\$ 18,332,046	\$ 21,147,741	\$ (2,815,695)	(13.31)	
Erie Section, Stations 55-61	1,935,987	1,982,557	(46,570)	(2.35)	
Grand Island Bridges	1,259,332	876,931	382,401	43.61	
Gov. Mario M. Cuomo Bridge	10,805,875	9,532,121	1,273,754	13.36	
Yonkers Barrier	1,663,144	1,308,059	355,085	27.15	
New Rochelle Barrier	2,705,869	2,197,884	507,985	23.11	
Spring Valley Barrier	18,646	16,377	2,269	13.85	
Harriman Barrier	1,669,779	1,349,656	320,123	23.72	
	<u>38,390,678</u>	<u>38,411,326</u>	<u>(20,648)</u>	<u>(0.05)</u>	
Permits, Stations 15-61	418,313	422,782	(4,469)	(1.06)	
	<u>38,808,991</u>	<u>38,834,108</u>	<u>(25,117)</u>	<u>(0.06)</u>	
COMMERCIAL					
Woodbury to Buffalo, Stations 15-50	18,155,759	20,236,117	(2,080,358)	(10.28)	
Erie Section, Stations 55-61	3,202,872	3,562,338	(359,466)	(10.09)	
Grand Island Bridges	373,777	350,247	23,530	6.72	
Gov. Mario M. Cuomo Bridge	7,001,246	7,431,482	(430,236)	(5.79)	
Yonkers Barrier	617,115	598,481	18,634	3.11	
New Rochelle Barrier	1,065,556	1,085,254	(19,698)	(1.82)	
Spring Valley Barrier	1,274,051	1,281,846	(7,795)	(0.61)	
Harriman Barrier	431,720	409,426	22,294	5.45	
	<u>32,122,096</u>	<u>34,955,191</u>	<u>(2,833,095)</u>	<u>(8.10)</u>	
Less Volume Discount	2,382,863	2,510,713	(127,850)	(5.09)	
	<u>29,739,233</u>	<u>32,444,478</u>	<u>(2,705,245)</u>	<u>(8.34)</u>	
SUMMARY					
Woodbury to Buffalo, Stations 15-50	36,487,805	41,383,858	(4,896,053)	(11.83)	
Erie Section, Stations 55-61	5,138,859	5,544,895	(406,036)	(7.32)	
Grand Island Bridges	1,633,109	1,227,178	405,931	33.08	
Gov. Mario M. Cuomo Bridge	17,807,121	16,963,603	843,518	4.97	
Yonkers Barrier	2,280,259	1,906,540	373,719	19.60	
New Rochelle Barrier	3,771,425	3,283,138	488,287	14.87	
Spring Valley Barrier	1,292,697	1,298,223	(5,526)	(0.43)	
Harriman Barrier	2,101,499	1,759,082	342,417	19.47	
Permits, Stations 15-61	418,313	422,782	(4,469)	(1.06)	
	<u>70,931,087</u>	<u>73,789,299</u>	<u>(2,858,212)</u>	<u>(3.87)</u>	
Less Volume Discount	2,382,863	2,510,713	(127,850)	(5.09)	
NET TOLLS	<u>68,548,224</u>	<u>71,278,586</u>	<u>(2,730,362)</u>	<u>(3.83)</u>	
E-ZPass Fees	1,073,894	1,079,463	(5,569)	(0.52)	
Tolls by Mail Fees	3,983,468	3,788,866	194,602	5.14	
Special Hauling	313,554	275,471	38,083	13.82	
TOTAL TOLLS AND RELATED FEES	<u>73,919,140</u>	<u>76,422,386</u>	<u>(2,503,246)</u>	<u>(3.28)</u>	
LEASE REVENUES					
Fiber Optic User Fees	680,031	548,124	131,907	24.07	
Service Areas	677,600	1,034,591	(356,991)	(34.51)	
TOTAL LEASE REVENUES (1)	<u>1,357,631</u>	<u>1,582,715</u>	<u>(225,084)</u>	<u>(14.22)</u>	
OTHER REVENUES	<u>1,462,505</u>	<u>(1,391,098)</u>	<u>2,853,603</u>	<u>-</u>	
TOTAL OPERATING REVENUES (2)	<u>\$ 76,739,276</u>	<u>\$ 76,614,003</u>	<u>\$ 125,273</u>	<u>0.16</u>	

(1) Note B.

(2) Note A.

STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET POSITION - YEAR-TO-DATE				MONTH	
NEW YORK STATE THRUWAY AUTHORITY				December	
				YEAR	
				2023	
REVENUES	YEAR-TO-DATE		AMOUNT OF CHANGE	% OF CHANGE	
	CURRENT YEAR	PREVIOUS YEAR (1)			
TOLL REVENUE					
<u>PASSENGER</u>					
Woodbury to Buffalo, Stations 15-50	\$ 214,376,558	\$ 207,187,338	\$ 7,189,220	3.47	
Erie Section, Stations 55-61	23,996,839	22,603,601	1,393,238	6.16	
Grand Island Bridges	14,843,057	13,543,411	1,299,646	9.60	
Gov. Mario M. Cuomo Bridge	134,820,696	128,085,495	6,735,201	5.26	
Yonkers Barrier	18,355,087	17,319,421	1,035,666	5.98	
New Rochelle Barrier	30,402,137	29,123,695	1,278,442	4.39	
Spring Valley Barrier	311,272	306,375	4,897	1.60	
Harriman Barrier	19,065,138	18,109,975	955,163	5.27	
	<u>456,170,784</u>	<u>436,279,311</u>	19,891,473	4.56	
Permits, Stations 15-61	3,783,887	3,665,770	118,117	3.22	
	<u>459,954,671</u>	<u>439,945,081</u>	20,009,590	4.55	
<u>COMMERCIAL</u>					
Woodbury to Buffalo, Stations 15-50	218,025,367	226,381,853	(8,356,486)	(3.69)	
Erie Section, Stations 55-61	39,942,997	41,101,855	(1,158,858)	(2.82)	
Grand Island Bridges	4,923,201	4,792,354	130,847	2.73	
Gov. Mario M. Cuomo Bridge	91,493,602	96,460,910	(4,967,308)	(5.15)	
Yonkers Barrier	7,644,328	7,686,558	(42,230)	(0.55)	
New Rochelle Barrier	13,459,406	13,902,443	(443,037)	(3.19)	
Spring Valley Barrier	16,177,376	16,879,166	(701,790)	(4.16)	
Harriman Barrier	5,393,202	5,375,907	17,295	0.32	
	<u>397,059,479</u>	<u>412,581,046</u>	(15,521,567)	(3.76)	
Less Volume Discount	31,555,373	32,127,164	(571,791)	(1.78)	
	<u>365,504,106</u>	<u>380,453,882</u>	(14,949,776)	(3.93)	
<u>SUMMARY</u>					
Woodbury to Buffalo, Stations 15-50	432,401,925	433,569,191	(1,167,266)	(0.27)	
Erie Section, Stations 55-61	63,939,836	63,705,456	234,380	0.37	
Grand Island Bridges	19,766,258	18,335,765	1,430,493	7.80	
Gov. Mario M. Cuomo Bridge	226,314,298	224,546,405	1,767,893	0.79	
Yonkers Barrier	25,999,415	25,005,979	993,436	3.97	
New Rochelle Barrier	43,861,543	43,026,138	835,405	1.94	
Spring Valley Barrier	16,488,648	17,185,541	(696,893)	(4.06)	
Harriman Barrier	24,458,340	23,485,882	972,458	4.14	
Permits, Stations 15-61	3,783,887	3,665,770	118,117	3.22	
	<u>857,014,150</u>	<u>852,526,127</u>	4,488,023	0.53	
Less Volume Discount	31,555,373	32,127,164	(571,791)	(1.78)	
NET TOLLS	<u>825,458,777</u>	<u>820,398,963</u>	5,059,814	0.62	
E-ZPass Fees	13,687,607	12,873,607	814,000	6.32	
Tolls by Mail Fees	47,914,479	47,444,580	469,899	0.99	
Special Hauling	2,687,026	2,463,753	223,273	9.06	
TOTAL TOLLS AND RELATED FEES	<u>889,747,889</u>	<u>883,180,903</u>	6,566,986	0.74	
<u>LEASE REVENUES</u>					
Fiber Optic User Fees	8,174,718	8,775,605	(600,887)	(6.85)	
Service Areas	7,015,625	6,770,652	244,973	3.62	
TOTAL LEASE REVENUES (1)	<u>15,190,343</u>	<u>15,546,257</u>	(355,914)	(2.29)	
OTHER REVENUES (2)	<u>5,295,947</u>	<u>1,212,086</u>	4,083,861	-	
TOTAL OPERATING REVENUES (3)	<u>\$ 910,234,179</u>	<u>\$ 899,939,246</u>	<u>\$ 10,294,933</u>	<u>1.14</u>	

(1) Note B.

(2) 2022 proceeds from the Sale of Real Estate have been reclassified from Operating Revenues to Non-Operating Revenues.
2022 Other Revenue was reduced by \$981,471.

(3) Note A.

STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET POSITION - MONTH				MONTH
NEW YORK STATE THRUWAY AUTHORITY				December
				YEAR
				2023
EXPENSES	PRESENT MONTH		AMOUNT OF CHANGE	% OF CHANGE
	CURRENT YEAR	PREVIOUS YEAR		
Total Operating Revenues	\$ 76,739,276	\$ 76,614,003	\$ 125,273	0.16
Thruway Operating Expenses				
Administrative and General	1,310,494	1,255,724	54,770	4.36
Information Technology	3,315,627	4,492,532	(1,176,905)	(26.20)
Engineering Services	1,423,121	744,436	678,685	91.17
Maintenance & Operations				
Thruway Maintenance	20,055,833	15,527,062	4,528,771	29.17
Equipment Maintenance	4,835,534	5,375,601	(540,067)	(10.05)
Traffic and Services	1,918,134	1,125,598	792,536	70.41
Finance and Accounts	699,682	487,916	211,766	43.40
Revenue Management	2,492,868	(1,954,931)	4,447,799	-
General Charges Undistributed	8,304,439	124,371,764	(116,067,325)	(93.32)
Thruway Operating Expenses	44,355,732	151,425,702	(107,069,970)	(70.71)
State Police	10,564,959	8,914,842	1,650,117	18.51
Thruway and State Police Operating Expenses	54,920,691	160,340,544	(105,419,853)	(65.75)
Operating Income before Depreciation	21,818,585	(83,726,541)	105,545,126	(126.06)
Depreciation & Amortization	34,989,056	33,349,679	1,639,377	4.92
Operating Gain (Loss)	(13,170,471)	(117,076,220)	103,905,749	(88.75)
Non-Operating Revenue (Expenses)				
Federal and other reimbursements	1,528,651	207,639	1,321,012	-
Interest on Investments & Leases (1)	6,080,422	6,637,992	(557,570)	(8.40)
Interest & Fee Expenses	(15,008,097)	(17,689,102)	2,681,005	(15.16)
Debt Issuance Costs	(26,396)	-	(26,396)	-
Disposal of Assets and Other	(5,097,197)	(651,861)	(4,445,336)	-
Net Non-Operating Revenue (Expenses)	(12,522,617)	(11,495,332)	(1,027,285)	8.94
Gain (Loss) before other Revenue, Expenses and Transfers	(25,693,088)	(128,571,552)	102,878,464	(80.02)
Capital Contributions	71,052	37,375	33,677	90.11
Change in Net Position	(25,622,036)	(128,534,177)	102,912,141	(80.07)
Net Position, Beginning Balance	441,026,152	584,264,215	(143,238,063)	(24.52)
Net Position, Ending Balance	\$ 415,404,116	\$ 455,730,038	\$ (40,325,922)	(8.85)

(1) Note B.

STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET POSITION - YEAR-TO-DATE				MONTH
				December
NEW YORK STATE THRUWAY AUTHORITY				YEAR
				2023
EXPENSES	YEAR-TO-DATE		AMOUNT OF CHANGE	% OF CHANGE
	CURRENT YEAR	PREVIOUS YEAR (1)		
Total Operating Revenues	<u>\$ 910,234,179</u>	<u>\$ 899,939,246</u>	<u>\$ 10,294,933</u>	<u>1.14</u>
Thruway Operating Expenses				
Administrative and General	12,245,834	11,286,087	959,747	8.50
Information Technology	15,416,293	22,892,375	(7,476,082)	(32.66)
Engineering Services	7,387,843	6,420,490	967,353	15.07
Maintenance & Operations				
Thruway Maintenance	108,718,554	96,133,759	12,584,795	13.09
Equipment Maintenance	38,394,879	36,024,789	2,370,090	6.58
Traffic and Services	12,150,307	10,335,227	1,815,080	17.56
Finance and Accounts	5,537,902	5,823,388	(285,486)	(4.90)
Revenue Management	77,230,437	75,368,099	1,862,338	2.47
General Charges Undistributed	<u>104,867,364</u>	<u>217,932,787</u>	<u>(113,065,423)</u>	<u>(51.88)</u>
Thruway Operating Expenses (2)	<u>381,949,413</u>	<u>482,217,001</u>	<u>(100,267,588)</u>	<u>(20.79)</u>
State Police	<u>66,777,201</u>	<u>66,246,231</u>	<u>530,970</u>	<u>0.80</u>
Thruway and State Police Operating Expenses	<u>448,726,614</u>	<u>548,463,232</u>	<u>(99,736,618)</u>	<u>(18.18)</u>
Operating Income before Depreciation	<u>461,507,565</u>	<u>351,476,014</u>	<u>110,031,551</u>	<u>31.31</u>
Depreciation & Amortization	<u>362,410,637</u>	<u>342,079,315</u>	<u>20,331,322</u>	<u>5.94</u>
Operating Gain (Loss)	<u>99,096,928</u>	<u>9,396,699</u>	<u>89,700,229</u>	<u>-</u>
Non-Operating Revenue (Expenses)				
Federal and other reimbursements	1,513,667	429,513	1,084,154	-
Interest on Investments & Leases (3)	63,944,095	20,534,758	43,409,337	-
Interest & Fee Expenses	(202,571,450)	(210,699,852)	8,128,402	(3.86)
Debt Issuance Costs	(89,706)	(1,589)	(88,117)	-
Disposal of Assets and Other	<u>(2,675,852)</u>	<u>(8,060,209)</u>	<u>5,384,357</u>	<u>(66.80)</u>
Net Non-Operating Revenue (Expenses)	<u>(139,879,246)</u>	<u>(197,797,379)</u>	<u>57,918,133</u>	<u>(29.28)</u>
Gain (Loss) before other Revenue, Expenses and Transfers	<u>(40,782,318)</u>	<u>(188,400,680)</u>	<u>147,618,362</u>	<u>(78.35)</u>
Capital Contributions	<u>456,396</u>	<u>382,470</u>	<u>73,926</u>	<u>19.33</u>
Change in Net Position	<u>(40,325,922)</u>	<u>(188,018,210)</u>	<u>147,692,288</u>	<u>(78.55)</u>
Net Position, Beginning Balance	<u>455,730,038</u>	<u>643,748,248</u>	<u>(188,018,210)</u>	<u>(29.21)</u>
Net Position, Ending Balance	<u>\$ 415,404,116</u>	<u>\$ 455,730,038</u>	<u>\$ (40,325,922)</u>	<u>(8.85)</u>

(1) Certain revenues have been reclassified from Operating Expenses to Non-Operating Revenues.

(2) Note C.

(3) Note B.

STATEMENT OF NET POSITION
New York State Thruway Authority

AS OF
December 31
YEAR
2023

	REVENUE FUND	OPERATING FUND	OAP OPERATING FUNDS	SENIOR DEBT SERVICE FUNDS
ASSETS				
Current and Non-Current Assets:				
Cash & cash equivalents	\$ 357,933,309	\$ 79,884,199	\$ 302,105	\$ 202,234,017
Investments	-	7,400,808	-	229,309,012
Interest receivable on investments	-	-	-	-
Accounts receivable, net	221,951,406	29,160,633	-	-
Due from other funds	3,647,928	-	-	-
Material and other inventory	-	27,134,973	-	-
Prepaid insurance and expenses	-	3,863,727	-	168,652
Total current and non-current assets	583,532,643	147,444,340	302,105	431,711,681
Capital Assets:				
Land & land improvements	-	-	-	-
Construction in progress	-	-	-	-
Thruway system	-	-	-	-
Equipment	-	-	-	-
Less: accumulated depreciation	-	-	-	-
Net capital assets	-	-	-	-
Total Assets	583,532,643	147,444,340	302,105	431,711,681
DEFERRED OUTFLOWS				
Loss on bond refundings	-	-	-	-
Asset Retirement Obligations	-	-	-	-
OPEB Resources	-	102,284,694	-	-
Pension Resources	-	72,793,565	-	-
Total Deferred Outflows	-	175,078,259	-	-
LIABILITIES				
Current Liabilities:				
Accounts payable and accrued expenses	158,429,347	56,880,775	-	-
Accrued wages and benefits	-	11,255,985	-	-
Due to other funds	-	1,862,948	-	-
Unearned revenue	117,222,976	-	-	-
Accrued interest payable	-	-	-	71,725,343
Current amount due on bonds, notes, and loans	-	-	-	-
Total Current Liabilities	275,652,323	69,999,708	-	71,725,343
Long-Term Liabilities:				
Accounts payable and accrued expenses	-	1,090,799,581	-	-
Accrued wages and benefits	-	98,608,745	-	-
General revenue bonds, net of unamortized premiums	-	-	-	-
General revenue JIO, net of unamortized premiums (1)	-	-	-	-
Loans payable	-	-	-	-
Total Long-Term Liabilities	-	1,189,408,326	-	-
Total Liabilities	275,652,323	1,259,408,034	-	71,725,343
DEFERRED INFLOWS				
Gain on bond refundings	-	-	-	-
Leases	156,390,131	-	-	-
OPEB Resources	-	341,757,920	-	-
Pension Resources	-	7,751,089	-	-
Total Deferred Inflows	156,390,131	349,509,009	-	-
NET POSITION				
Total Net Position	\$ 151,490,189	\$ (1,286,394,444)	\$ 302,105	\$ 359,986,338

(1) JIO - Junior Indebtedness Obligation.

STATEMENT OF NET POSITION
New York State Thruway Authority

AS OF
December 31
YEAR
2023

CONSTRUCTION FUND	RESERVE MAINTENANCE FUND	JUNIOR INDEBTEDNESS FUND	FACILITIES CAPITAL IMPROVEMENT FUND	GENERAL RESERVE FUND	TOTAL 2023	TOTAL 2022 (1)
\$ 20,163,442	\$ 154,015,241	\$ 55,116,612	\$ 12,296,932	\$ 47,224,322	\$ 929,170,179	\$ 1,060,564,017
15,028,640	-	62,441,783	-	-	314,180,243	352,318,703
-	-	-	-	-	-	109,262
-	876,469	-	-	-	251,988,508	228,865,695
-	1,992,506	-	3,278,010	-	8,918,444	70,965,526
-	-	-	-	-	27,134,973	25,345,774
120,333	398,089	1,706,737	1,022,512	63,782	7,343,832	43,738,795
35,312,415	157,282,305	119,265,132	16,597,454	47,288,104	1,538,736,179	1,781,907,772
822,416,442	-	-	-	-	822,416,442	819,357,873
90,089,422	100,631,996	-	5,575,773	40,125	196,337,316	265,510,339
11,994,571,413	729,247,958	-	-	-	12,723,819,371	12,271,776,126
-	289,212,299	-	-	142,185	289,354,484	282,730,883
(6,034,754,959)	(436,613,800)	-	-	(38,647)	(6,471,407,406)	(6,143,036,818)
6,872,322,318	682,478,453	-	5,575,773	143,663	7,560,520,207	7,496,338,403
6,907,634,733	839,760,758	119,265,132	22,173,227	47,431,767	9,099,256,386	9,278,246,175
4,999,628	-	-	-	-	4,999,628	5,832,899
-	2,000,000	-	-	-	2,000,000	2,400,000
-	-	-	-	-	102,284,694	195,263,096
-	-	-	-	-	72,793,565	84,289,960
4,999,628	2,000,000	-	-	-	182,077,887	287,785,955
30,541,958	4,663,940	-	-	19,672,111	270,188,131	235,009,951
-	-	-	-	4,908	11,260,893	6,011,788
3,991,432	-	-	-	3,064,064	8,918,444	70,965,526
-	-	-	-	-	117,222,976	133,099,375
-	-	53,636,926	-	-	125,362,269	128,155,093
152,258,300	-	13,129,800	-	-	165,388,100	158,863,391
186,791,690	4,663,940	66,766,726	-	22,741,083	698,340,813	732,105,124
-	10,360,989	-	-	-	1,101,160,570	1,517,257,432
-	-	-	-	-	98,608,745	9,083,500
3,547,467,797	-	-	-	-	3,547,467,797	3,699,674,554
-	-	2,770,152,342	-	-	2,770,152,342	2,783,282,142
-	-	-	-	-	-	-
3,547,467,797	10,360,989	2,770,152,342	-	-	7,517,389,454	8,009,297,628
3,734,259,487	15,024,929	2,836,919,068	-	22,741,083	8,215,730,267	8,741,402,752
14,596,436	-	-	-	-	14,596,436	19,419,438
-	129,704,314	-	-	-	286,094,445	190,380,901
-	-	-	-	-	341,757,920	29,483,991
-	-	-	-	-	7,751,089	129,615,010
14,596,436	129,704,314	-	-	-	650,199,890	368,899,340
\$ 3,163,778,438	\$ 697,031,515	\$ (2,717,653,936)	\$ 22,173,227	\$ 24,690,684	\$ 415,404,116	\$ 455,730,038

(1) Note B.

FUNDS AVAILABLE FOR TRANSFER - MONTH NEW YORK STATE THRUWAY AUTHORITY		MONTH	
		December	
		YEAR	
		2023	
		PRESENT MONTH	
		CURRENT YEAR	PREVIOUS YEAR
TOLL REVENUE, CONCESSION REVENUE			
AND OTHER REVENUES	\$	79,951,078	\$ 86,307,623
Adjustment to Cash Basis		2,674,730	1,293,325
Revenue Retained from 2021		-	30,000,000
Revenue Retained from 2022		-	-
Revenue Retained from 2023		-	-
Revenue Redistributed - AET Transition Reserve		-	-
		<hr/>	<hr/>
AVAILABLE REVENUE		82,625,808	117,600,948
Transfer to:			
Thruway Operating Fund (1)		43,152,704	31,334,946
Public Liability Claims Reserve		-	2,000,000
Environmental Remediation Reserve		-	13,000,000
Debt Service - Senior General Revenue Bonds		21,469,820	21,072,043
Reserve Maintenance Fund		-	28,769,404
Debt Service - General Revenue Junior Indebtedness Obligations		9,205,321	9,060,321
Facilities Capital Improvement Fund		-	1,500,000
General Reserve Fund		10,239,639	5,864,234
Revenue Retained - 2022		-	5,000,000
Revenue Retained - 2023		-	-
Revenue Retained - 2024		(1,441,676)	-
Debt Service Reserve - General Revenue Bonds		-	-
		<hr/>	<hr/>
NET CASH REVENUES REMAINING			
AFTER TRANSFERS TO OTHER FUNDS	\$	-	\$ -
		<hr/> <hr/>	<hr/> <hr/>

(1) The following amounts are excluded from the transfer of Thruway Revenues to the Thruway Operating Fund: 1) Claims and indemnity expense of \$531,548, which is funded via transfers to the Public Liability Claims Reserve; 2) Environmental Remediation expense of (\$5,519,516), which is funded via transfers to the Environmental Remediation Reserve; 3) Operating expenses of \$1,492,944 funded by Federal and other reimbursements; 4) Pension expense of \$15,604,012, reflecting the difference between the funds needed to provide for the Thruway's share of contributions to the New York State and Local Employees' Retirement System and the amount of expense required to be reported to comply with generally accepted accounting principles; and 5) Retiree Health Insurance expense of (\$10,905,960), reflecting the difference between the funds needed to provide for the Thruway's share of premiums to the New York State Health Insurance Program and the amount of expense required to be recognized to comply with generally accepted accounting principles. Additional information regarding accounting and financial reporting for Pensions and Retiree Health Insurance is available in Notes D and E.

<u>FUNDS AVAILABLE FOR TRANSFER - YEAR-TO-DATE</u> NEW YORK STATE THRUWAY AUTHORITY			MONTH
			December
			YEAR
			2023
		YEAR-TO-DATE	
		CURRENT YEAR	PREVIOUS YEAR
TOLL REVENUE, CONCESSION REVENUE			
AND OTHER REVENUES	\$	949,417,940	\$ 916,072,962
Adjustment to Cash Basis		(9,283,881)	(2,712,163)
Revenue Retained from 2021		-	30,000,000
Revenue Retained from 2022		5,000,000	-
Revenue Retained from 2023		13,118,281	-
Revenue Redistributed - AET Transition Reserve		1,685,773	-
		<hr/>	<hr/>
AVAILABLE REVENUE		959,938,113	943,360,799
Transfer to:			
Thruway Operating Fund (1)		378,366,698	361,823,093
Public Liability Claims Reserve		500,000	2,500,000
Environmental Remediation Reserve		500,000	13,500,000
Debt Service - Senior General Revenue Bonds		241,540,438	240,901,320
Reserve Maintenance Fund		119,710,087	177,655,772
Debt Service - General Revenue Junior Indebtedness Obligations		104,684,277	67,616,380
Facilities Capital Improvement Fund		18,000,000	7,500,000
General Reserve Fund		66,841,727	66,864,234
Revenue Retained - 2022		-	5,000,000
Revenue Retained - 2023		13,118,281	-
Revenue Retained - 2024		3,558,324	-
Debt Service Reserve - General Revenue Bonds		13,118,281	-
		<hr/>	<hr/>
NET CASH REVENUES REMAINING			
AFTER TRANSFERS TO OTHER FUNDS	\$	-	\$ -
		<hr/> <hr/>	<hr/> <hr/>

(1) The following amounts are excluded from the transfer of Thruway Revenues to the Thruway Operating Fund: 1) Claims and indemnity expense of \$1,496,275, which is funded via transfers to the Public Liability Claims Reserve; 2) Environmental Remediation expense of (\$4,089,572), which is funded via transfers to the Environmental Remediation Reserve; 3) Operating expenses of \$1,477,960 funded by Federal and other reimbursements; 4) Pension expense of \$15,604,012, reflecting the difference between the funds needed to provide for the Thruway's share of contributions to the New York State and Local Employees' Retirement System and the amount of expense required to be reported to comply with generally accepted accounting principles; and 5) Retiree Health Insurance expense of (\$10,905,960), reflecting the difference between the funds needed to provide for the Thruway's share of premiums to the New York State Health Insurance Program and the amount of expense required to be recognized to comply with generally accepted accounting principles. Additional information regarding accounting and financial reporting for Pensions and Retiree Health Insurance is available in Notes D and E.

DEBT SERVICE NEW YORK STATE THRUWAY AUTHORITY					AS OF December
					YEAR 2023
BONDS & NOTES	OUTSTANDING PRINCIPAL	CURRENT YEAR ACCRUAL REQUIREMENTS	CURRENT MONTH ACCRUALS	ACCRUALS YEAR TO DATE	PAYMENTS YEAR TO DATE
<u>GENERAL REVENUE BONDS</u>					
Principal					
Series J	\$ 560,055,000	\$ 42,090,000	\$ 3,507,500	\$ 42,090,000	\$ 16,940,000
Series K	629,375,000	30,860,000	2,571,667	30,860,000	29,395,000
Series L	401,960,000	35,675,000	2,972,917	35,675,000	57,245,000
Series M	857,625,000	17,920,000	1,493,333	17,920,000	-
Series N	450,000,000	-	-	-	-
Series O	540,090,000	-	-	-	9,390,000
Total Principal	<u>3,439,105,000</u>	<u>126,545,000</u>	<u>10,545,417</u>	<u>126,545,000</u>	<u>112,970,000</u>
Interest					
Series J	January 1 & July 1	27,054,700	2,254,558	27,054,700	27,428,200
Series K	January 1 & July 1	31,018,938	2,584,912	31,018,938	31,750,038
Series L	January 1 & July 1	19,062,113	1,588,509	19,062,113	20,482,087
Series M	January 1 & July 1	26,266,236	2,188,853	26,266,236	26,266,236
Series N	January 1 & July 1	18,585,000	1,548,750	18,585,000	18,585,000
Series O	January 1 & July 1	21,463,700	1,788,642	21,463,700	21,698,450
Total Interest		<u>143,450,687</u>	<u>11,954,224</u>	<u>143,450,687</u>	<u>146,210,011</u>
TOTAL GENERAL REVENUE BONDS	<u>\$ 3,439,105,000</u>	<u>\$ 269,995,687</u>	<u>\$ 22,499,641</u>	<u>\$ 269,995,687</u>	<u>\$ 259,180,011</u>
<u>GENERAL REVENUE JUNIOR INDEBTEDNESS OBLIGATIONS</u>					
Principal					
Series 2016A	\$ 849,250,000	\$ 250,000	\$ 20,833	\$ 250,000	\$ 250,000
Series 2019B	1,690,435,000	1,200,000	100,000	1,200,000	1,140,000
Total Principal	<u>2,539,685,000</u>	<u>1,450,000</u>	<u>120,833</u>	<u>1,450,000</u>	<u>1,390,000</u>
Interest					
Series 2016A	January 1 & July 1	40,922,250	3,336,053	40,922,250	40,927,250
Series 2019B	January 1 & July 1	66,351,600	5,529,300	66,351,600	66,380,100
Total Interest		<u>107,273,850</u>	<u>8,865,353</u>	<u>107,273,850</u>	<u>107,307,350</u>
TOTAL GENERAL REVENUE JUNIOR INDEBTEDNESS OBLIGATIONS 2016A & 2019B	<u>\$ 2,539,685,000</u>	<u>\$ 108,723,850</u>	<u>\$ 8,986,186</u>	<u>\$ 108,723,850</u>	<u>\$ 108,697,350</u>

**COMPARATIVE STATEMENT OF REVENUES AND
DEPARTMENTAL OPERATING EXPENSES TO BUDGET**
NEW YORK STATE THRUWAY AUTHORITY

MONTH
December
YEAR
2023

DEPARTMENT OR OFFICE	ANNUAL BUDGETED AMOUNT (1)	PRORATED BUDGET AMOUNT (2)	ACTUAL YTD	OVER/(UNDER) BUDGET	PERCENTAGE OVER/(UNDER)
REVENUES (3)					
Net Toll Revenue	\$ 800,737,000	\$ 800,737,000	\$ 825,458,777	\$ 24,721,777	3.09
Toll Related Fees	63,300,000	63,300,000	64,289,112	989,112	1.56
Lease Revenues	14,150,000	14,150,000	12,312,044	(1,837,956)	(12.99)
Other Revenues	11,420,000	11,420,000	7,692,893	(3,727,107)	(32.64)
Interest Revenues	30,000,000	30,000,000	39,665,114	9,665,114	32.22
TOTAL REVENUES	919,607,000	919,607,000	949,417,940	29,810,940	3.24 (4)
OPERATING EXPENSES					
Board and Executive	562,000	562,000	418,884	(143,116)	(25.47)
Procurement Services	2,583,222	2,583,222	2,382,685	(200,537)	(7.76)
Media Relations & Communications	621,925	621,925	575,683	(46,242)	(7.44)
Strategic Excellence	782,045	782,045	631,459	(150,586)	(19.26)
Legal	2,536,046	2,536,046	2,519,905	(16,141)	(0.64)
Audit & Management Services	670,550	670,550	552,164	(118,386)	(17.66)
Administrative Services	6,342,471	6,342,471	5,165,054	(1,177,417)	(18.56)
Information Technology	23,776,600	23,776,600	15,416,293	(8,360,307)	(35.16)
Engineering Services	6,854,418	6,854,418	7,387,843	533,425	7.78
Maintenance & Operations					(2.78)
Thruway Maintenance	110,383,131	110,383,131	108,718,554	(1,664,577)	(1.51)
Equipment Maintenance	39,764,062	39,764,062	38,394,879	(1,369,183)	(3.44)
Traffic and Services	13,665,675	13,665,675	12,150,307	(1,515,368)	(11.09)
Finance and Accounts	7,394,973	7,394,973	5,537,902	(1,857,071)	(25.11)
Revenue Management	82,833,357	82,833,357	77,230,437	(5,602,920)	(6.76)
Subtotal	298,770,475	298,770,475	277,082,049	(21,688,426)	(7.26)
General Charges Undistributed	101,830,486	101,830,486	100,169,312	(1,661,174)	(1.63)
Total Departmental Expenses (5)	400,600,961	400,600,961	377,251,361	(23,349,600)	(5.83) (4)
Adjustment for Claims, Environmental Remediation & Other Provisions			3,593,297		
Federal Aid and Other Reimbursements	-	-	(1,477,960)	(1,477,960)	-
Total Funded Thruway Operating Expenses and Provisions	400,600,961	400,600,961	379,366,698	(24,827,560)	(6.20)
State Police Operating Expense	68,606,291	68,606,291	66,777,201	(1,829,090)	(2.67) (4)
Unfunded Retiree Health Insurance (5) (7)			(10,905,960)		
Pension Adjustment (5) (6)			15,604,012		
TOTAL OPERATING EXPENSES AND PROVISIONS	\$ 469,207,252	\$ 469,207,252	\$ 450,841,951	\$ (26,656,650)	(5.68)

(1) Total Annual Budgeted Amount includes Resolutions through Board Meeting Number 761 held on January 29, 2024.

(2) The December 2023 Prorated Budget for Revenues and Expenses is 100%.

(3) Revenues reported only reflect those recorded to the Revenue Fund.

(4) Note F.

(5) Note C.

(6) Note D.

(7) Note E.

CAPITAL PROGRAM SUMMARY
NEW YORK STATE THRUWAY AUTHORITY

MONTH
December
YEAR
2023

Funded From	Reserve Maintenance Fund	General Reserve Fund	Facilities Capital Improvement Fund ⁽¹⁾	Construction Fund ⁽²⁾	Summary Totals
	Capital Projects and Equipment	State Police	Governor Mario M. Cuomo Bridge	Capital Projects	
Beginning Balances	\$ 185,663,559	\$ 48,327,404	\$ 5,392,046	\$ 170,071,845	\$ 409,454,854
Receipts					
Provisions (3)	\$ 119,710,087	\$ 66,841,727	\$ 18,000,000	N/A	\$ 204,551,814
Net Proceeds from Bond Issuance	-	-	-	-	-
Auction/Settlement Proceeds	476,380	N/A	N/A	-	476,380
Interest Earnings	N/A	N/A	-	4,472,811	4,472,811
Federal and Other Aid	587,910	35,707	-	(131,514)	492,103
Total	\$ 120,774,377	\$ 66,877,434	\$ 18,000,000	\$ 4,341,297	\$ 209,993,108
Capital Expenditures					
January	\$ 3,260,419	\$ -	\$ 51,070	\$ 9,800,537	\$ 13,112,026
February	4,725,945	-	678,817	4,376,409	9,781,171
March	3,217,148	-	609,254	8,019,427	11,845,829
April	2,465,545	-	250,165	10,844,142	13,559,852
May	2,800,940	-	1,815,731	18,931,020	23,547,691
June	3,417,958	36,679	576,574	45,572,643	49,603,854
July	2,589,224	-	883,674	31,219,304	34,692,202
August	35,708,220	-	888,841	(13,618,156)	22,978,905
September	39,381,541	-	1,598,129	-	40,979,670
October	34,993,175	-	490,189	-	35,483,364
November	25,117,582	-	303,428	-	25,421,010
December	33,668,310	27,846	4,232,343	(103,175)	37,825,324
Subtotal	\$ 191,346,007	\$ 64,525	\$ 12,378,215	\$ 115,042,151	\$ 318,830,898
State Police Operating Expense	N/A	66,777,201	N/A	N/A	66,777,201
Interest Expense	N/A	-	-	N/A	-
Total	\$ 191,346,007	\$ 66,841,726	\$ 12,378,215	\$ 115,042,151	\$ 385,608,099
Adjustments to Cash Basis					
Transfers to and from other funds	\$ 39,272,416	\$ (3,911,100)	\$ 1,073,598	\$ (23,641,824)	\$ 12,793,090
Change in Receivables and Payables	(349,104)	2,772,310	209,503	(537,085)	2,095,624
Total	\$ 38,923,312	\$ (1,138,790)	\$ 1,283,101	\$ (24,178,909)	\$ 14,888,714
Ending Balances	\$ 154,015,241	\$ 47,224,322	\$ 12,296,932	\$ 35,192,082	\$ 248,728,577
Budgeted					
Provisions	\$ 95,903,375	\$ 68,795,329	\$ -	N/A	\$ 164,698,704
Expenditures	\$ 95,714,337	\$ 189,038	\$ 6,000,000	\$ 258,057,578	\$ 359,960,953

(1) The Facilities Capital Improvement Fund includes funds to pay Governor Mario M. Cuomo Bridge project costs. These project costs are detailed on page 12.

(2) The Construction Fund is used to account for proceeds from the issuance of General Revenue Bonds. It includes funds to pay Capital Program costs, as well as interest and issuance costs on the General Revenue Bonds, Series O.

(3) See page 8.

GOVERNOR MARIO M. CUOMO BRIDGE

New York State Thruway Authority

MONTH

December

YEAR

2023

	<u>Monthly</u>	<u>Year-to-Date</u>	<u>Life-to-Date</u>
Funding Sources:			
Thruway Revenues	\$ 4,232,343	\$ 12,378,215	\$ 137,896,869
Debt Proceeds	-	-	2,492,259,475
State of New York (1)	-	-	1,200,000,000
NYS DOT	-	-	33,157,570
MTA	-	-	35,511,512
Other	-	-	1,561,452
Total Funding Sources	<u>\$ 4,232,343</u>	<u>\$ 12,378,215</u>	<u>\$ 3,900,386,878</u>
Expenditures: (2)			
Pre-Design-Build	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 152,801,305</u>
Design-Build:			
Design-Build Contract	\$ -	\$ -	\$ 3,446,457,071
Construction Contracts	3,908,891	5,718,394	59,902,210
Engineering Agreements	270,660	5,460,286	169,955,863
Financial & Legal Agreements	-	84,702	4,914,937
Governmental Support Services	-	-	4,368,858
Community Benefit	-	-	9,724,598
Thruway Staff	52,792	855,948	47,214,893
Real Property Acquisitions	-	-	2,727,295
Other	-	258,885	2,319,848
Total Design-Build	<u>\$ 4,232,343</u>	<u>\$ 12,378,215</u>	<u>\$ 3,747,585,573</u>
Total Expenditures	<u>\$ 4,232,343</u>	<u>\$ 12,378,215</u>	<u>\$ 3,900,386,878</u>

(1) Capitalized interest costs and costs associated with work performed, but not yet paid for, are excluded from this page.

GROSS SALES OF RESTAURANTS (1)
NEW YORK STATE THRUWAY AUTHORITY

Month
December
Year
2023

GROSS SALES (Subject to audit of operator's records)

Service Area	CURRENT MONTH			YEAR-TO-DATE			
	Current Year	Previous Year	% of Change	Current Year	Previous Year	Amount of Change	% of Change
EMPIRE STATE THRUWAY PARTNERS, LLC							
Ardsley	\$ 680,109	\$ -	-	\$ 5,156,010	\$ -	\$ 5,156,010	-
Sloatsburg	8,623	644,822	(98.66)	3,335,337	8,007,284	(4,671,947)	(58.35)
Plattekill	1,360,897	-	-	11,025,983	-	11,025,983	-
Ulster	10,750	524,157	(97.95)	3,012,860	6,752,986	(3,740,126)	(55.38)
New Baltimore	1,444,855	-	-	9,151,650	-	9,151,650	-
Pattersonville	6,600	322,774	(97.96)	2,852,060	5,061,677	(2,209,617)	(43.65)
Indian Castle	458,585	403,992	13.51	6,718,736	2,017,492	4,701,244	233.02
Iroquois	754,419	-	-	8,872,128	-	8,872,128	-
Oneida	-	-	-	-	2,445,191	(2,445,191)	(100.00)
Chittenango	442,825	429,107	3.20	6,613,913	1,719,989	4,893,924	284.53
Junius Ponds	490,513	355,836	37.85	7,133,334	1,141,996	5,991,338	524.64
Clifton Springs	762,692	-	-	8,646,107	-	8,646,107	-
Seneca	219,196	-	-	969,159	2,158,404	(1,189,245)	(55.10)
Scottsville	6,875	264,130	(97.40)	1,930,062	4,416,828	(2,486,766)	(56.30)
Pembroke	602,312	-	-	4,097,782	-	4,097,782	-
Clarence	389,577	-	-	3,214,770	-	3,214,770	-
TOTAL SALES	\$ 7,638,828	\$ 2,944,818	159.40	\$ 82,729,891	\$ 33,721,847	\$ 49,008,044	145.33
EMPIRE STATE THRUWAY PARTNERS, LLC/McDONALD'S CORPORATION							
Ramapo	\$ -	\$ 352,169	(100.00)	\$ -	\$ 4,757,461	\$ (4,757,461)	(100.00)
Modena	208,327	435,384	(52.15)	2,432,950	6,065,255	(3,632,305)	(59.89)
Malden	1,850	567,807	(99.67)	1,689,988	7,649,460	(5,959,472)	(77.91)
Guilderland	6,875	164,706	(95.83)	460,853	2,468,587	(2,007,734)	(81.33)
Mohawk	65,012	134,376	(51.62)	866,799	2,931,469	(2,064,670)	(70.43)
Schuyler	94,464	191,985	(50.80)	275,162	3,328,541	(3,053,379)	(91.73)
DeWitt	79,189	162,170	(51.17)	1,297,897	2,242,756	(944,859)	(42.13)
Warners	-	175,759	(100.00)	-	4,309,922	(4,309,922)	(100.00)
Port Byron	1,344	220,717	(99.39)	153,190	3,934,511	(3,781,321)	(96.11)
Ontario	10,250	168,448	(93.92)	1,154,238	3,405,519	(2,251,281)	(66.11)
Angola	27,050	603,618	(95.52)	994,746	6,523,586	(5,528,840)	(84.75)
TOTAL SALES	\$ 494,361	\$ 3,177,139	(84.44)	\$ 9,325,823	\$ 47,617,067	\$ (38,291,244)	(80.41)
GRAND TOTALS							
SALES	\$ 8,133,189	\$ 6,121,957	32.85	\$ 92,055,717	\$ 81,338,914	\$ 10,716,800	13.18

(1) Note E.

GALLONS OF MOTOR FUEL DELIVERED TO GAS STATIONS (1)
NEW YORK STATE THRUWAY AUTHORITY

Month
December
Year
2023

GALLONS OF MOTOR FUEL (Subject to audit of operator's records)

Service Area	CURRENT MONTH			YEAR-TO-DATE			
	Current Year	Previous Year	% of Change	Current Year	Previous Year	Amount of Change	% of Change
SUNOCO, INC.							
Ardsley	119,566	78,333	52.64	1,289,472	1,525,502	(236,030)	(15.47)
Ramapo	174,864	234,128	(25.31)	2,062,284	3,181,320	(1,119,036)	(35.18)
Sloatsburg	117,158	248,620	(52.88)	2,484,486	3,434,994	(950,508)	(27.67)
Modena	218,832	194,490	12.52	2,521,167	2,870,744	(349,577)	(12.18)
Plattekill	297,636	165,661	79.67	2,771,199	2,182,293	588,906	26.99
Ulster	103,162	244,700	(57.84)	2,207,737	3,648,749	(1,441,012)	(39.49)
Oneida	80,189	69,942	14.65	1,220,464	2,240,983	(1,020,519)	(45.54)
Chittenango	166,503	153,188	8.69	2,433,612	1,795,014	638,598	35.58
DeWitt	90,386	94,783	(4.64)	1,355,027	1,494,619	(139,592)	(9.34)
Junius Ponds	198,405	148,391	33.70	2,603,470	1,591,158	1,012,312	63.62
Clifton Springs	200,156	101,942	96.34	2,603,622	1,566,755	1,036,867	66.18
Ontario	63,503	127,617	(50.24)	1,688,615	2,349,321	(660,706)	(28.12)
Pembroke	240,133	104,286	130.26	2,321,551	1,701,955	619,596	36.40
Clarence	219,160	97,016	125.90	2,217,529	1,805,088	412,441	22.85
Angola E	95,293	121,912	(21.83)	1,856,348	2,643,803	(787,455)	(29.78)
Angola W	82,786	108,203	(23.49)	1,592,532	2,654,025	(1,061,493)	(40.00)
TOTAL GALLONS	2,467,732	2,293,212	7.61	33,229,115	36,686,323	(3,457,208)	(9.42)
DUNNE-MANNING, INC							
Malden	92,212	230,525	(60.00)	1,796,453	2,901,922	(1,105,469)	(38.09)
New Baltimore	353,980	156,815	125.73	3,420,588	2,121,896	1,298,692	61.20
Guilderland	79,388	111,912	(29.06)	1,276,465	1,736,244	(459,779)	(26.48)
Pattersonville	114,005	170,978	(33.32)	2,200,699	2,618,516	(417,817)	(15.96)
Mohawk	102,200	102,348	(0.14)	1,274,758	1,818,215	(543,457)	(29.89)
Indian Castle	206,896	170,949	21.03	2,758,914	1,602,537	1,156,377	72.16
Iroquois	229,934	69,407	231.28	2,582,914	1,109,918	1,472,996	132.71
Schuyler	67,510	121,208	(44.30)	720,598	1,990,298	(1,269,700)	(63.79)
Warners	80,998	139,999	(42.14)	1,223,248	2,721,796	(1,498,548)	(55.06)
Port Byron	69,002	140,500	(50.89)	1,066,476	2,203,929	(1,137,453)	(51.61)
Seneca	114,864	68,901	66.71	1,195,656	1,834,422	(638,766)	(34.82)
Scottsville	51,886	135,295	(61.65)	1,551,125	2,327,121	(775,996)	(33.35)
TOTAL GALLONS	1,562,875	1,618,837	(3.46)	21,067,894	24,986,814	(3,918,920)	(15.68)
GRAND TOTALS							
GALLONS	4,030,607	3,912,049	3.03	54,297,009	61,673,137	(7,376,128)	(11.96)

(1) The closure of certain service area restaurant locations has negatively impacted gallons delivered to the gas stations at those locations.
See Note E for additional information.

LEASE REVENUES (1)								Month		
NEW YORK STATE THRUWAY AUTHORITY								December		
								Year		
								2023		
			CURRENT YEAR - YEAR TO DATE			PREVIOUS YEAR - YEAR TO DATE				
Leasee Lease Number - Lease Term	Lease Revenue	Interest Income	Total Revenue	Lease Revenue	Interest Income	Total Revenue	Change in Total Revenue	% of Change		
FIBER OPTIC USER AGREEMENTS/SHORT-TERM USE PERMITS										
Crown Castle Fiber LLC X010623 - 9/30/22-9/29/45	\$ 40,455	\$ -	\$ 40,455	\$ 10,227	\$ 116	\$ 10,343	\$ 30,112	-		
FirstLight Fiber, Inc. X010629 - 10/11/22-10/10/42	91,441	66,394	157,835	20,402	15,536	35,938	121,897	-		
Level 3 Communications, LLC X010619 - 2/18/22-2/17/42	4,011,115	1,193,794	5,204,909	3,473,913	1,086,675	4,560,588	644,321	14.13		
Level 3 Communications, LLC X010620 - 3/23/22-3/22/27	703,272	18,747	722,019	544,468	19,257	563,725	158,294	28.08		
MCI Communications LLC X010624 - 11/22/22-11/21/25	211,104	4,903	216,007	22,870	1,129	23,999	192,008	-		
MCI Communications LLC X010625 - 12/20/22-12/19/32	1,234,749	374,593	1,609,342	39,831	17,924	57,755	1,551,587	-		
Power Authority of the State of New York X010566 - 11/21-11/2/41	194,217	68,531	262,748	179,877	74,945	254,822	7,926	3.11		
PEG Bandwidth NY Telephone Corp. X010628 - 7/20/22-7/20/42	108,537	63,706	172,243	48,725	29,534	78,259	93,984	-		
PEG Bandwidth NY Telephone Corp. X010675 - 8/3/22-8/2/27	331,250	39,846	371,096	136,241	19,921	156,162	214,934	-		
QCSTelecom, Inc. X010630 - 2/18/22-2/17/42	86,541	37,666	124,207	74,950	33,557	108,507	15,700	14.47		
Rogers Telecom (US) Inc. X010627 - 9/30/22-9/29/42	1,074,009	737,575	1,811,584	271,486	184,748	456,234	1,355,350	-		
Zayo Group LLC X010622 - 2/18/22-2/17/42	88,028	7,607	95,635	76,238	8,062	84,300	11,335	13.45		
Short-term User Permits (2)				3,876,377	-	3,876,377	(3,876,377)	(100.00)		
Total	\$ 8,174,718	\$ 2,613,362	\$ 10,788,080	\$ 8,775,605	\$ 1,491,404	\$ 10,267,009	\$ 521,071	5.08		
PUBLIC-PRIVATE PARTNERSHIP/LEASES - SERVICE AREA RESTAURANTS										
Empire State Thruway Partners, LLC X010535 - 3/30/21-6/30/54										
Rent provisions	\$ 1,079,942	\$ 914,549	\$ 1,994,491	\$ 935,605	\$ 894,643	\$ 1,830,248	\$ 164,243	8.97		
Investment in new Service Areas	2,878,300	-	2,878,300	235,908	-	235,908	2,642,392	-		
McDonald's Corporation (3) X197808 - 10/1/91-12/31/22	132,614	-	132,614	2,555,760	-	2,555,760	(2,423,146)	(94.81)		
Total	\$ 4,090,856	\$ 914,549	\$ 5,005,405	\$ 3,727,273	\$ 894,643	\$ 4,621,916	\$ 383,489	8.30		
FUEL STATION LEASES										
Sunoco, Inc. X100845 - 4/1/07-3/31/27	\$ 912,168	\$ 106,102	\$ 1,018,270	\$ 1,095,117	\$ 127,379	\$ 1,222,496	\$ (204,226)	(16.71)		
Dunne-Manning, Inc. X100844 - 4/1/07-3/31/27	2,012,601	209,613	2,222,214	1,948,262	251,649	2,199,911	22,303	1.01		
Total	\$ 2,924,769	\$ 315,715	\$ 3,240,484	\$ 3,043,379	\$ 379,028	\$ 3,422,407	\$ (181,923)	(5.32)		
Total Fiber Optic User Fees	\$ 8,174,718	\$ 2,613,362	\$ 10,788,080	\$ 8,775,605	\$ 1,491,404	\$ 10,267,009	\$ 521,071	5.08		
Total Service Areas	\$ 7,015,625	\$ 1,230,264	\$ 8,245,889	\$ 6,770,652	\$ 1,273,671	\$ 8,044,323	\$ 201,566	2.51		
GRAND TOTALS	\$ 15,190,343	\$ 3,843,626	\$ 19,033,969	\$ 15,546,257	\$ 2,765,075	\$ 18,311,332	\$ 722,637	3.95		
(1) Note B.										
(2) Prior to entering into long-term user agreements for the fiber optic system, the Authority entered into short-term permits with users.										
(3) 2023 lease revenue is comprised of an adjustment for minimum amounts guaranteed for prior years through December 31, 2022.										

NOTES TO FINANCIAL REPORT
NEW YORK STATE THRUWAY AUTHORITY

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NOTE A - TOTAL REVENUES (pages 1 & 2):

Total revenues for the month are \$76,739,276 an increase of \$125,273 or 0.16%. Toll revenues for the month are \$68,548,224 a decrease of \$2,730,362 or 3.83%. December 2022 toll revenues include an adjustment of \$6,523,214 related to tolls from prior periods.

Total revenues year-to-date are \$910,234,179, an increase of \$10,294,933 or 1.14%. Toll revenues year-to-date are \$825,458,777, an increase of \$5,059,814 or 0.62%. Year-to-date passenger revenues increased \$20,009,590 or 4.55% and commercial revenues decreased \$14,949,776 or 3.93%. Changes in year-to-date toll revenue are attributable to an increase in passenger traffic and a decrease in commercial traffic in 2023 compared to 2022. Additional information regarding traffic and E-ZPass usage is detailed on Pages 21-23.

NOTE B - GASB 87, LEASES AND GASB 94, PUBLIC-PRIVATE PARTNERSHIPS (pages 1, 2, 3, 4, 6 & 15):

Effective December 2022 the Authority adopted GASB Statement No. 87, Leases and GASB Statement 94, Public-Private Partnerships. The primary objective of these statements is to enhance the relevance and consistency of information about governments' leasing activities. The statements establish a single model for lease accounting based on the principle that leases are financings of the right to use an underlying asset.

Under GASB 87, a lessee is required to recognize a lease liability and an intangible right-to-use lease asset, and a lessor is required to recognize a lease receivable and a deferred inflow of resources for obligations and/or revenues that are fixed in nature.

Under GASB 94, if a government is a lessor in a public-private partnership that requires a lessee to make a significant capital investment to construct assets on behalf of a lessor, the lessor is required to recognize the capital assets at the time they are placed in service with a corresponding deferred inflow equal to the amount invested by a lessee. In addition, a lessor is required to recognize a lease receivable and a deferred inflow of resources for revenues that are fixed in nature.

As of December 31, 2023, the Authority is the lessee on several office space and communication tower leases with remaining terms of 1 to 9 years; and is the lessor on the agreements detailed on Page 15.

In addition, the Authority is the lessor in a public-private partnership agreement for the design, construction, finance, operation and maintenance of the Authority's 27 Service Areas. This agreement is subject to GASB 94.

NOTES TO FINANCIAL REPORT
NEW YORK STATE THRUWAY AUTHORITY

MONTH December
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NOTE C - TOTAL DEPARTMENTAL OPERATING EXPENSES (pages 4 & 10):

For the year, Departmental Operating Expenses are \$381,949,413, a decrease of \$100,267,588 or 20.79% compared with December 2022.

A summary of changes in Departmental Operating Expenses is as follows:

Personal Services Summary:

Personal Services	\$ 15,231,517
Allocations	(440,680)
Fringe Benefits:	
Health Insurance - Unfunded	(130,729,961)
Health Insurance - Funded	4,062,254
Unemployment Insurance	(127,986)
Employee Benefit Fund	(18,278)
Social Security	1,173,860
Workers' Compensation	(663,824)
Survivors' Benefits	719,000
Pensions - Unfunded	29,663,367
Pensions - Funded	(777,045)
Total Increase (Decrease) Personal Services	<u>\$ (81,907,776)</u>

Non-Personal Services Summary:

Highway and Bridge Maintenance Expense	\$ 7,157,541
E-Zpass Administration	2,342,028
Work Zone Safety Program	1,523,369
Provision for Doubtful A/R	1,986,000
Employee Vacation Leave	1,473,900
Special Contracts	1,196,941
Maintenance & Repair to Equipment	806,340
Automotive Supplies	739,490
Building Maintenance	571,975
Claims and Indemnity	571,543
Insurance Premium and Surety Bonds	562,496
Computer Equipment Replacement	448,543
Inventory Variance	300,689
Fiber Maintenance	220,050
Computer Equipment Replacement	210,914
Water	194,015
Telephone	177,489
Natural Gas	170,672
Inventory Reserve	167,496
Employee Development	137,358
Prompt Payment Interest	135,617
Reimbursement to Civil Service	128,240
Short-Term Virtual Leases	(154,857)
Special Events	(171,546)
Training	(190,619)
Electric	(442,408)
Tolls By Mail Administration	(440,922)
Reimbursement by Claim	(969,588)
Property Damage Repairs	(981,992)
Fuel	(1,246,684)
Dues, Books, and Subscriptions	(1,661,566)
Snow & Ice Control	(4,731,893)
Professional Fees/Fiber Optic Marketing Fees	(11,336,751)
Environmental Remediation Expense	(17,609,590)
Other Maintenance and Operating individually <\$100,000	355,898
Total Increase (Decrease) Non-Personal Services	<u>\$ (18,359,812)</u>

Total Increase (Decrease)	<u>\$ (100,267,588)</u>
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NOTES TO FINANCIAL REPORT
NEW YORK STATE THRUWAY AUTHORITY

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NOTE C - TOTAL DEPARTMENTAL OPERATING EXPENSES (pages 4 & 10): (continued)

A comparison of General Charges Undistributed for the year is as follows:

	<u>YTD 2023</u>	<u>YTD 2022</u>	<u>CHANGE</u>
Pensions - Funded	\$ 16,110,000	\$ 16,891,000	\$ (781,000)
Pensions - Unfunded	15,604,012	(14,059,355)	29,663,367
Total Pension Expense (Note D)	<u>31,714,012</u>	<u>2,831,645</u>	<u>28,882,367</u>
Health Insurance - Retirees - Funded	38,738,333	36,278,940	2,459,393
Health Insurance - Retirees - Unfunded	(10,905,960)	119,824,001	(130,729,961)
Total Retiree Health Insurance Expense (Note E)	<u>27,832,373</u>	<u>156,102,941</u>	<u>(128,270,568)</u>
Health Insurance - Active Employees	25,791,371	24,188,510	1,602,861
Employee Benefit Fund	5,530,753	5,549,031	(18,278)
Social Security	10,670,714	9,496,854	1,173,860
Compensation Insurance	6,490,988	7,154,812	(663,824)
Unemployment Insurance	45,390	173,376	(127,986)
Survivor's Benefits	767,000	48,000	719,000
Benefits Allocated to Other Funds	(9,251,963)	(9,014,010)	(237,953)
Insurance Premiums	4,816,116	4,253,619	562,497
Claims and Indemnity Expense	1,496,275	924,732	571,543
Reimbursement to Civil Service	487,176	358,936	128,240
Professional Services	511,630	3,751,380	(3,239,750)
Lease Expense	(16,545)	210,111	(226,656)
Environmental Expense	514,508	435,232	79,276
Net Remediation Expense	(4,089,572)	13,520,017	(17,609,589)
Employees Vacation Expense	895,700	(578,200)	1,473,900
Inventory Obsolescence	266,915	99,418	167,497
Prov for Doubtful AR	(14,000)	(2,000,000)	1,986,000
Other	408,523	426,383	(17,860)
Totals	<u>\$ 104,867,364</u>	<u>\$ 217,932,787</u>	<u>\$ (113,065,423)</u>

NOTE D - PENSIONS (pages 7, 8 & 10):

The Authority's financial results comply with Government Accounting Standards Board Statement 68 (GASB 68) "Accounting and Financial Reporting for Pensions" and GASB 71, "Pension Transition for Contributions Made Subsequent to the Measurement Date."

GASB 68 and 71 establish accounting and financial reporting standards for pensions provided to Thruway Authority employees via the New York State and Local Employees' Retirement System (NYSLRS). These accounting standards require the net pension liability for the entire NYSLRS to be measured as a portion of the present value of projected payments to be provided through the pension plan to current active and inactive employees that is attributed to those employees' past periods of service (total pension liability), less the amount of the pension plan's fiduciary net position. A proportionate share of this net pension liability is then allocated to the Thruway Authority based on Thruway Authority's pensionable wages in comparison to the NYSLRS's total pensionable wages.

To comply with GASB 68 and 71, our financial statements may include an adjustment to reflect the difference between the amount of revenues set aside to fund contributions to NYSLRS and the expense reported to comply with GASB 68 and 71.

	<u>YTD 2023</u>	<u>YTD 2022</u>
Revenues set aside for the annual required contribution for pensions	\$ 16,110,000	\$ 16,891,000
Adjustment to pension expense to comply with GASB 68 & 71	15,604,012	(14,059,355)
YTD Pension Expense	<u>\$ 31,714,012</u>	<u>\$ 2,831,645</u>

NOTES TO FINANCIAL REPORT
NEW YORK STATE THRUWAY AUTHORITY

MONTH December
YEAR 2023

NOTE E - RETIREE HEALTH INSURANCE (pages 7, 8 & 10):

The Authority's financial results comply with Government Accounting Standards Board Statement 75 (GASB 75) "Accounting and Financial Reporting for Postemployment Benefits Other Than Pensions." GASB 75 establishes accounting and reporting standards for "other post-employment benefits" offered by state and local governments. Other post-employment benefits (OPEB) are employee benefits other than pensions that are received after employment ends. OPEB benefits provided by the Authority consist of medical and prescription drug benefits provided via the New York State Health Insurance Program (NYSHIP).

The Authority is required to fund payments to NYSHIP to provide health insurance coverage, in the current year, for our current retirees. To comply with GASB 75, our financial statements may include an adjustment to reflect the difference between the amount paid to NYSHIP for retiree health insurance coverage and the expense reported to comply with GASB 75.

	<u>YTD 2022</u>	<u>YTD 2021</u>
Actual NYSHIP Contributions	\$ 38,738,333	\$ 36,278,940
Adjustment to comply with GASB 75	<u>(10,905,960)</u>	<u>119,824,001</u>
YTD OPEB Expense	<u>\$ 27,832,373</u>	<u>\$ 156,102,941</u>

NOTE F - REVENUE, DEPARTMENTAL OPERATING EXPENSES AND BUDGET (page 10):

Year to date revenues are over budget by \$29,810,940 or 3.24%. This is primarily due to passenger traffic exceeding the forecast in the Revised Financial Plan. Interest earnings also exceeded the Revised Financial Plan due to higher than expected interest rates on investments.

Year to date operating expenses are under budget by \$23,349,600 or 5.83%. This is primarily due to the delayed implementation of a new system for E-Zpass and Tolls by Mail administration, timing of marketing fees related to anticipated fiber optic user agreements, lower than anticipated costs for fuel remediation related to the Service Area Reconstruction project, and lower than budgeted costs for snow and ice control due to the mild 2023 winter weather.

Year to date State Police Operating Expenses are under budget by \$1,829,090 or 2.67%. This is primarily due to actual personal service costs being lower than expected.

NOTES TO FINANCIAL REPORT
NEW YORK STATE THRUWAY AUTHORITY

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NOTE G - SERVICE AREAS (pages 13, 14 & 16):

In January 2021 the Authority entered into a 33-year agreement with Empire State Thruway Partners, LLC (Empire) for the design, construction, finance, operation, and maintenance of the Authority's 27 Service Areas. Empire will rebuild 23 of the 27 service area restaurant buildings and perform significant renovations to the remaining four. Empire's initial investment to rebuild and renovate the service areas is estimated to be \$300 million. Additionally, over the life of the agreement Empire will invest another \$99 million into future renovations and improvements.

The construction work is occurring in two phases as detailed below. Phase 1 began in July 2021 when Empire assumed control of 16 service areas previously operated by HMSHost Corporation and Delaware North Corporation. Phase 2 began in January 2023 when Empire assumed control of the remaining 11 service areas that were operated by McDonald's Corporation until December 2022.

Commencing with the reopening of each new restaurant building, the agreement requires Empire to pay rent calculated as a percentage of gross sales, subject to a guaranteed annual minimum per location. In addition, the agreement has ancillary rent provisions tied to the operation of commercial vehicle fueling stations at 5 of the service areas and advertising opportunities at all service areas. Sales generated by Empire during the operation of a restaurant prior to reconstruction (interim operations) are not subject to rent provisions. Over the life of the agreement, base rent calculated as a percentage of sales is forecasted to be \$85 million, of which \$51 million is guaranteed.

	<u>Service Area</u>	<u>Status</u>	<u>Anticipated/Actual Re-Opening</u>
Phase 1	Indian Castle	Re-opened	August 26, 2022
	Chittenango	Re-opened	September 12, 2022
	Junius Ponds	Re-opened	October 6, 2022
	Iroquois	Re-opened	February 14, 2023
	Clifton Springs	Re-opened	March 30, 2023
	Plattekill	Re-opened	May 3, 2023
	Ardsley	Re-opened	June 8, 2023
	Clarence	Re-opened	June 28, 2023
	New Baltimore	Re-opened	June 28, 2023
	Pembroke	Re-opened	July 13, 2023
	Seneca	Re-opened	September 8, 2023
	Oneida	Re-opened	January 10, 2024
	Sloatsburg	Under Construction	Quarter 3 2024
	Pattersonville	Under Construction	Quarter 3 2024
	Ulster	Under Construction	Quarter 4 2024
	Scottsville	Under Construction	Quarter 2 2024
Phase 2	Schuyler	Re-opened	October 30, 2023
	Port Byron	Re-opened	February 19, 2024
	Warners	Under Construction	Quarter 1 2024
	Ramapo	Under Construction	Quarter 2 2024
	Malden	Under Construction	Quarter 3 2024
	Guilderland	Under Construction	Quarter 2 2024
	Angola	Under Construction	Quarter 4 2024
	Ontario	Under Construction	Quarter 3 2024
	Dewitt	Interim Operations	Quarter 3 2024
	Mohawk	Interim Operations	Quarter 4 2024
	Modena	Interim Operations	Quarter 4 2025

**VEHICLE TRIPS AND MILES
NEW YORK STATE THRUWAY AUTHORITY**

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LOCATION / TYPE OF TRAFFIC	PRESENT MONTH			YEAR-TO-DATE		
	CURRENT YEAR	PREVIOUS YEAR (1)	% OF CHANGE	CURRENT YEAR	PREVIOUS YEAR (1)	% OF CHANGE
PASSENGER						
Woodbury to Buffalo, Exits 15-50	16,254,133	14,999,055	8.37	212,680,515	203,346,916	4.59
Erie Section, Exits 55-61	1,435,279	1,196,130	19.99	18,608,384	17,265,266	7.78
Grand Island Bridges	988,487	808,695	22.23	13,024,112	12,176,659	6.96
Gov. Mario M. Cuomo Bridge (2)	1,757,399	1,702,294	3.24	21,501,400	20,719,427	3.77
Yonkers Barrier	1,252,338	1,174,288	6.65	14,967,295	14,415,593	3.83
New Rochelle Barrier (2)	1,487,179	1,443,319	3.04	18,183,951	17,585,785	3.40
Spring Valley Barrier (2)	6,981	6,736	3.64	118,530	126,403	(6.23)
Harriman Barrier	1,198,127	1,144,623	4.67	14,880,909	14,385,967	3.44
	<u>24,379,923</u>	<u>22,475,140</u>	<u>8.48</u>	<u>313,965,096</u>	<u>300,022,016</u>	<u>4.65</u>
COMMERCIAL						
Woodbury to Buffalo, Exits 15-50	3,411,349	3,431,063	(0.57)	44,503,984	44,900,303	(0.88)
Erie Section, Exits 55-61	272,399	265,078	2.76	3,662,974	3,696,142	(0.90)
Grand Island Bridges	107,622	105,460	2.05	1,457,174	1,430,498	1.86
Gov. Mario M. Cuomo Bridge (2)	191,910	202,766	(5.35)	2,500,343	2,600,774	(3.86)
Yonkers Barrier	216,690	222,240	(2.50)	2,770,046	2,781,539	(0.41)
New Rochelle Barrier (2)	183,068	193,849	(5.56)	2,370,197	2,440,856	(2.89)
Spring Valley Barrier (2)	159,372	174,346	(8.59)	2,102,301	2,154,635	(2.43)
Harriman Barrier	134,935	133,675	0.94	1,727,492	1,710,767	0.98
	<u>4,677,345</u>	<u>4,728,477</u>	<u>(1.08)</u>	<u>61,094,511</u>	<u>61,715,514</u>	<u>(1.01)</u>
NON-REVENUE						
Woodbury to Buffalo, Exits 15-50	111,748	120,033	(6.90)	1,333,208	1,383,615	(3.64)
Erie Section, Exits 55-61	10,770	12,478	(13.69)	117,759	126,471	(6.89)
Grand Island Bridges	4,214	4,648	(9.34)	50,278	55,236	(8.98)
Gov. Mario M. Cuomo Bridge (2)	9,833	9,220	6.65	115,894	118,967	(2.58)
Yonkers Barrier	5,242	5,775	(9.23)	64,199	66,454	(3.39)
New Rochelle Barrier (2)	3,489	3,276	6.50	41,134	40,300	2.07
Spring Valley Barrier (2)	1,710	1,616	5.82	19,467	20,245	(3.84)
Harriman Barrier	4,954	4,974	(0.40)	61,042	56,192	8.63
	<u>151,960</u>	<u>162,020</u>	<u>(6.21)</u>	<u>1,802,981</u>	<u>1,867,480</u>	<u>(3.45)</u>
COMMUTER						
Woodbury to Buffalo, Exits 15-50	430,412	446,873	(3.68)	4,898,525	5,498,864	(10.92)
Erie Section, Exits 55-61	49,954	46,867	6.59	553,950	589,396	(6.01)
Grand Island Bridges	677,815	617,414	9.78	8,376,252	8,092,071	3.51
Gov. Mario M. Cuomo Bridge (2)	337,323	344,185	(1.99)	4,241,084	4,235,627	0.13
Yonkers Barrier	41,965	42,994	(2.39)	519,749	507,216	2.47
New Rochelle Barrier (2)	19,614	19,766	(0.77)	250,258	245,017	2.14
Spring Valley Barrier (2)	-	-	-	-	-	-
Harriman Barrier	97,909	103,424	(5.33)	1,217,331	1,224,696	(0.60)
	<u>1,654,992</u>	<u>1,621,523</u>	<u>2.06</u>	<u>20,057,149</u>	<u>20,392,887</u>	<u>(1.65)</u>
TOTAL TRIPS	30,864,220	28,987,160	6.48	396,919,737	383,997,897	3.37
VEHICLE MILES						
Exits 15-61	446,618,978	402,842,355	10.87	5,934,030,054	5,589,481,032	6.16
New York Division Bridges and Barriers (est)	128,463,644	125,837,834	2.09	1,588,750,001	1,543,778,768	2.91
Williamsville-Lackawanna	29,939,427	25,767,275	16.19	388,587,169	369,728,429	5.10
Buffalo Division Bridges (est) (3)	17,829,120	15,380,240	15.92	229,639,140	218,337,400	5.18
Non-Revenue Bridges & Barriers	365,343	378,091	(3.37)	4,470,719	4,510,353	(0.88)
Non-Revenue Exits 15-61	1,516,391	1,297,054	16.91	13,232,659	13,155,744	0.58
TOTAL MILES	624,732,903	571,502,849	9.31	8,158,709,742	7,738,991,726	5.42
AVERAGE TRIP LENGTH ON TOLL TICKET SYSTEM						
Passenger	19.43	19.61	(0.92)	19.75	19.95	(1.00)
Commercial	25.41	25.49	(0.31)	25.85	25.88	(0.12)
Overall	20.44	20.67	(1.11)	20.78	21.03	(1.19)

(1) Traffic was revised from previous 2022 published report.

(2) Statistics reflect one way traffic, two way miles.

(3) Includes South Grand Island Bridge and North Grand Island Bridge.

**E-ZPASS VEHICLE TRIPS
NEW YORK STATE THRUWAY AUTHORITY**

MONTH
December
YEAR
2023

LOCATION / TYPE OF TRAFFIC	PRESENT MONTH			YEAR-TO-DATE		
	CURRENT YEAR	PREVIOUS YEAR (1)	% OF CHANGE	CURRENT YEAR	PREVIOUS YEAR (1)	% OF CHANGE
<u>PASSENGER</u>						
Woodbury to Buffalo, Exits 15-50	13,719,335	12,738,842	7.70	174,322,670	169,573,226	2.80
Erie Section, Exits 55-61	1,160,200	976,469	18.82	14,524,863	13,737,691	5.73
Grand Island Bridges	697,131	565,482	23.28	8,992,861	8,240,873	9.13
Gov. Mario M. Cuomo Bridge	1,560,922	1,511,240	3.29	18,909,659	18,165,741	4.10
Yonkers Barrier	1,134,109	1,065,327	6.46	13,469,432	12,945,386	4.05
New Rochelle Barrier	1,304,895	1,269,310	2.80	15,822,963	15,217,499	3.98
Spring Valley Barrier	4,804	4,721	1.76	85,237	87,781	(2.90)
Harriman Barrier	1,074,803	1,031,236	4.22	13,351,963	12,892,767	3.56
	20,656,199	19,162,627	7.79	259,479,648	250,860,964	3.44
<u>COMMERCIAL</u>						
Woodbury to Buffalo, Exits 15-50	3,083,769	3,125,786	(1.34)	39,685,888	40,817,756	(2.77)
Erie Section, Exits 55-61	243,455	238,703	1.99	3,213,997	3,252,457	(1.18)
Grand Island Bridges	97,081	95,003	2.19	1,305,823	1,271,070	2.73
Gov. Mario M. Cuomo Bridge	170,585	181,175	(5.85)	2,215,990	2,324,915	(4.69)
Yonkers Barrier	195,768	201,897	(3.04)	2,484,991	2,516,570	(1.25)
New Rochelle Barrier	163,055	174,949	(6.80)	2,104,889	2,189,692	(3.87)
Spring Valley Barrier	144,405	159,059	(9.21)	1,903,022	1,959,324	(2.87)
Harriman Barrier	123,070	123,286	(0.18)	1,573,847	1,563,716	0.65
	4,221,188	4,299,858	(1.83)	54,488,447	55,895,500	(2.52)
<u>NON-REVENUE</u>						
Woodbury to Buffalo, Exits 15-50	111,748	120,033	(6.90)	1,333,208	1,383,615	(3.64)
Erie Section, Exits 55-61	10,770	12,478	(13.69)	117,759	126,471	(6.89)
Grand Island Bridges	4,214	4,648	(9.34)	50,278	55,236	(8.98)
Gov. Mario M. Cuomo Bridge	9,833	9,220	6.65	115,894	118,967	(2.58)
Yonkers Barrier	5,242	5,775	(9.23)	64,199	66,454	(3.39)
New Rochelle Barrier	3,489	3,276	6.50	41,134	40,300	2.07
Spring Valley Barrier	1,710	1,616	5.82	19,467	20,245	(3.84)
Harriman Barrier	4,954	4,974	(0.40)	61,042	56,192	8.63
	151,960	162,020	(6.21)	1,802,981	1,867,480	(3.45)
<u>COMMUTER</u>						
Woodbury to Buffalo, Exits 15-50	430,412	446,873	(3.68)	4,898,525	5,498,864	(10.92)
Erie Section, Exits 55-61	49,954	46,867	6.59	553,950	589,396	(6.01)
Grand Island Bridges	677,815	617,414	9.78	8,376,252	8,092,071	3.51
Gov. Mario M. Cuomo Bridge	337,323	344,185	(1.99)	4,241,084	4,235,627	0.13
Yonkers Barrier	41,965	42,994	(2.39)	519,749	507,216	2.47
New Rochelle Barrier	19,614	19,766	(0.77)	250,258	245,017	2.14
Spring Valley Barrier	-	-	-	-	-	-
Harriman Barrier	97,909	103,424	(5.33)	1,217,331	1,224,696	(0.60)
	1,654,992	1,621,523	2.06	20,057,149	20,392,887	(1.65)
TOTAL TRIPS	26,684,339	25,246,028	5.70	335,828,225	329,016,831	2.07
% of E-ZPass Trips to Total Trips	86.46	87.09		84.61	85.68	

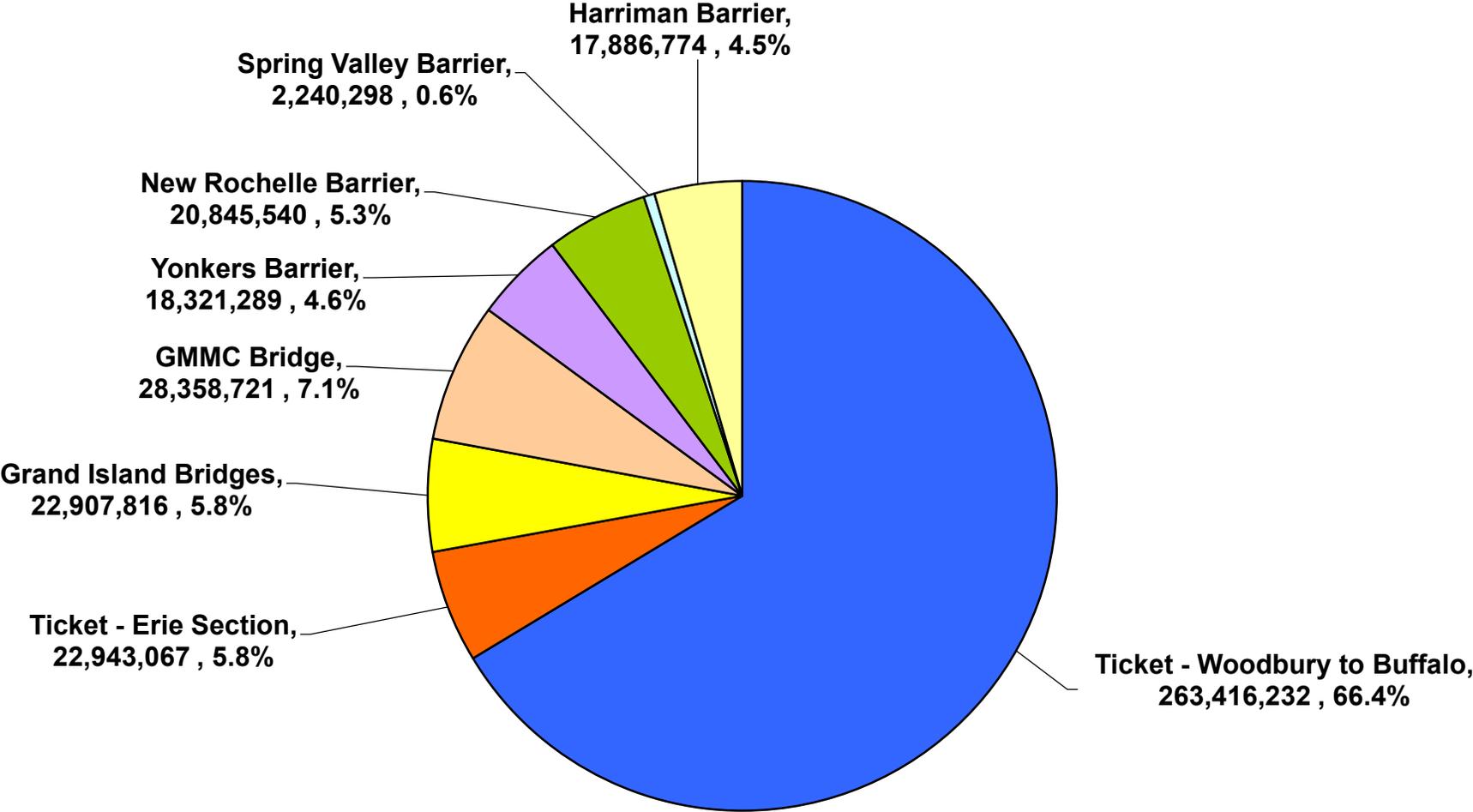
(1) Traffic was revised from previous 2022 published report.

**E-ZPASS REVENUE
NEW YORK STATE THRUWAY AUTHORITY**

MONTH
December
YEAR
2023

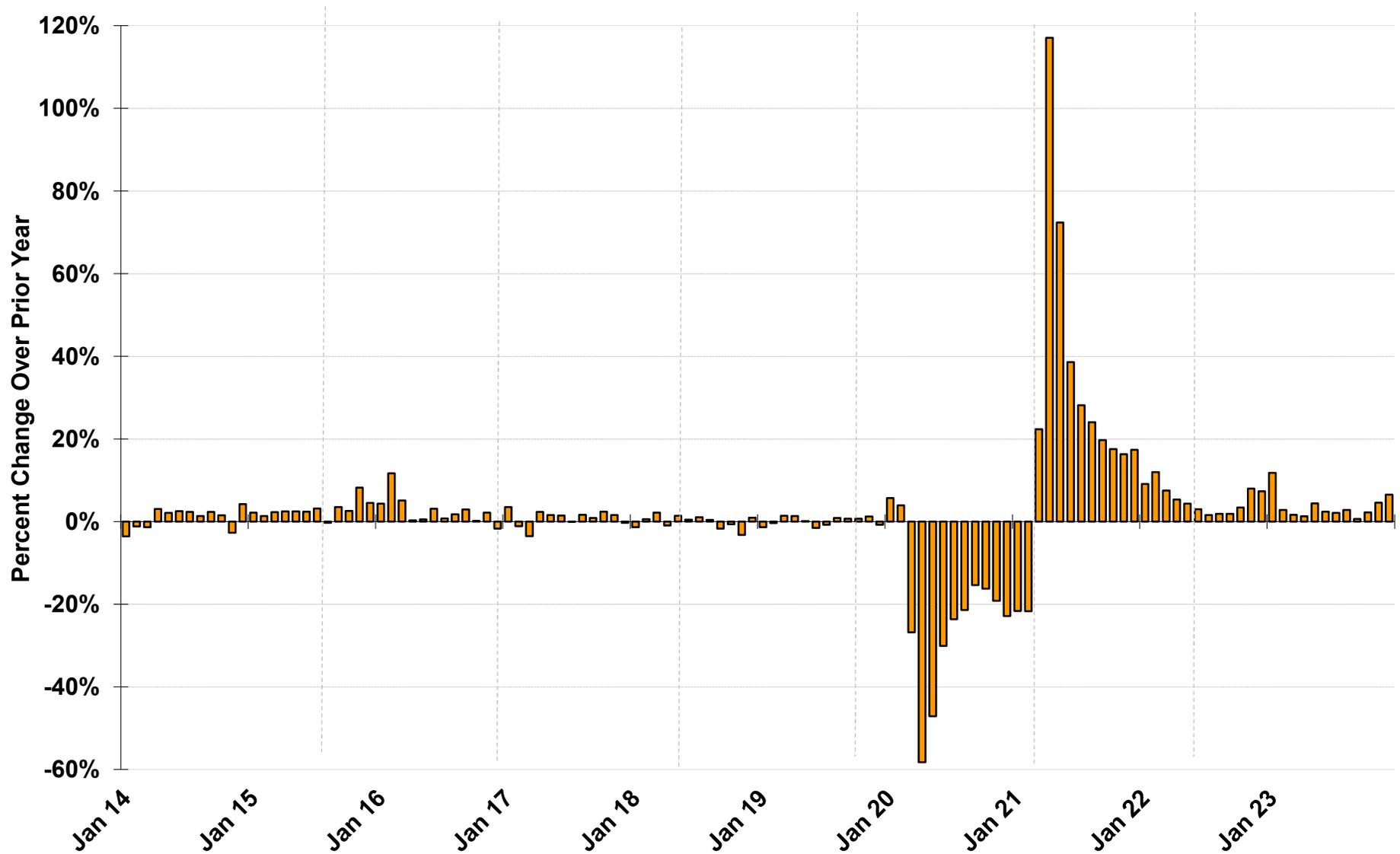
LOCATION / TYPE OF TRAFFIC	PRESENT MONTH			YEAR-TO-DATE		
	CURRENT YEAR	PREVIOUS YEAR	% OF CHANGE	CURRENT YEAR	PREVIOUS YEAR	% OF CHANGE
<u>PASSENGER</u>						
Exits 15-61	\$ 18,906,278	\$ 20,597,726	(8.21)	\$ 202,368,939	\$ 195,078,898	3.74
Grand Island Bridges	812,871	526,843	54.29	9,709,830	8,794,575	10.41
Gov. Mario M. Cuomo Bridge	10,843,836	8,437,434	28.52	121,074,787	113,038,020	7.11
Yonkers Barrier	1,573,827	1,242,931	26.62	17,428,529	16,459,946	5.88
New Rochelle Barrier	2,568,364	2,098,972	22.36	29,093,445	27,678,842	5.11
Spring Valley Barrier	16,919	14,106	19.94	277,464	270,440	2.60
Harriman Barrier	<u>1,509,831</u>	<u>1,217,326</u>	<u>24.03</u>	<u>17,427,438</u>	<u>16,527,968</u>	<u>5.44</u>
	36,231,926	34,135,338	6.14	397,380,432	377,848,689	5.17
<u>COMMERCIAL</u>						
Exits 15-61	21,245,504	23,326,273	(8.92)	249,039,264	255,514,223	(2.53)
Grand Island Bridges	313,774	299,243	4.86	4,187,414	4,050,114	3.39
Gov. Mario M. Cuomo Bridge	5,620,829	5,914,981	(4.97)	72,854,798	78,747,720	(7.48)
Yonkers Barrier	536,284	525,732	2.01	6,680,165	6,769,354	(1.32)
New Rochelle Barrier	894,196	928,261	(3.67)	11,440,406	11,957,546	(4.32)
Spring Valley Barrier	1,227,396	1,215,488	0.98	15,422,473	16,022,274	(3.74)
Harriman Barrier	<u>367,978</u>	<u>353,980</u>	<u>3.95</u>	<u>4,674,983</u>	<u>4,709,327</u>	<u>(0.73)</u>
	30,205,961	32,563,958	(7.24)	364,299,503	377,770,558	(3.57)
<u>COMMUTER</u>						
Exits 15-61	418,313	422,782	(1.06)	3,783,887	3,665,770	3.22
Grand Island Bridges	198,822	186,789	6.44	2,409,290	2,343,788	2.79
Gov. Mario M. Cuomo Bridge	1,591,414	1,594,757	(0.21)	19,411,405	19,154,895	1.34
Yonkers Barrier	51,038	49,620	2.86	596,542	566,763	5.25
New Rochelle Barrier	49,115	46,828	4.88	572,162	534,573	7.03
Spring Valley Barrier	-	-	-	-	-	-
Harriman Barrier	<u>87,071</u>	<u>87,252</u>	<u>(0.21)</u>	<u>1,034,902</u>	<u>1,023,619</u>	<u>1.10</u>
	2,395,773	2,388,028	0.32	27,808,188	27,289,408	1.90
TOTAL REVENUE	68,833,660	69,087,324	(0.37)	789,488,123	782,908,655	0.84
% of E-ZPass Trips to Total Revenue	96.52	93.62		92.12	91.40	

2023 YTD Total Thruway Trips, By Location



Percent Change in Monthly Thruway Traffic

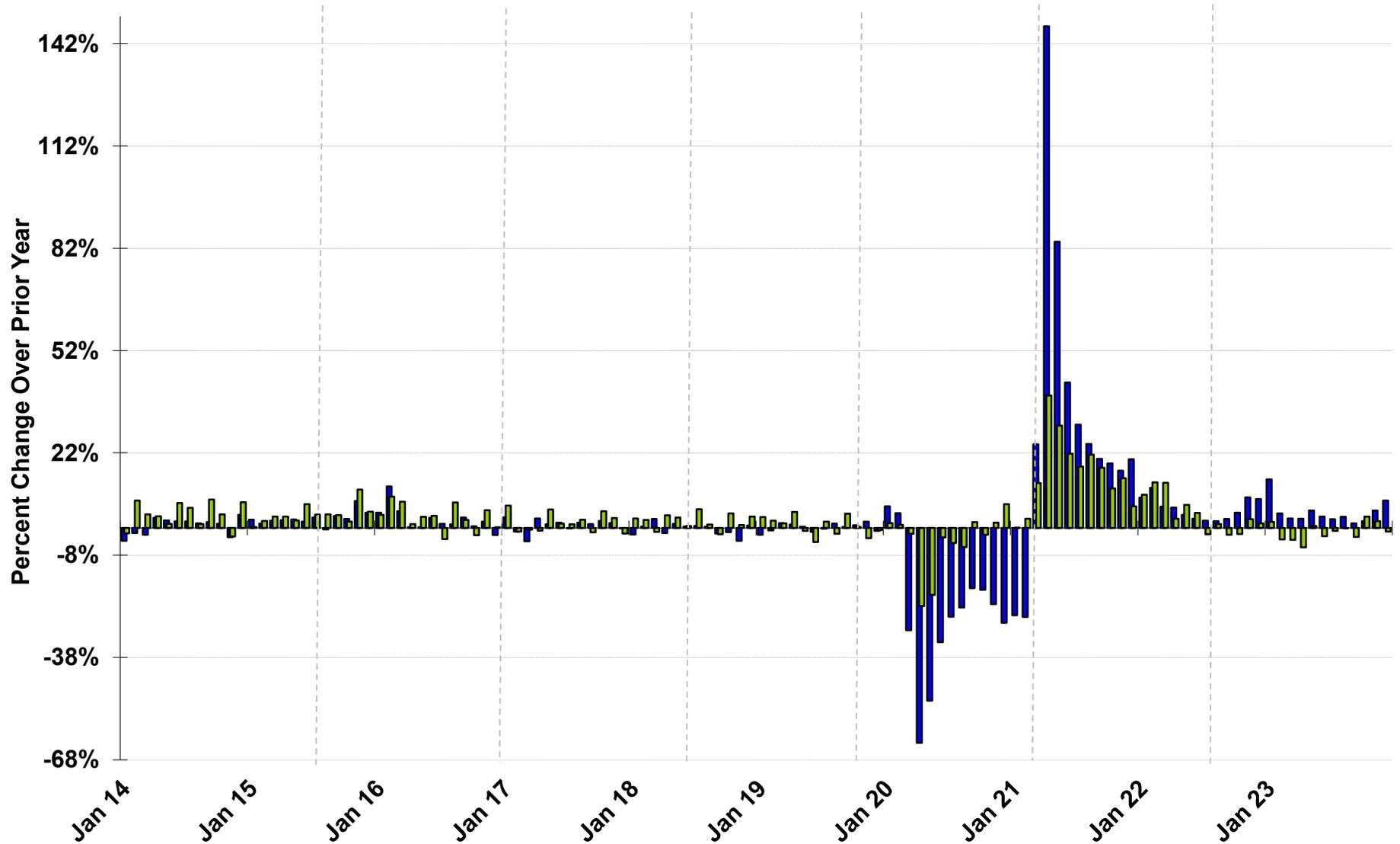
Total Passenger and Commercial Revenue Trips



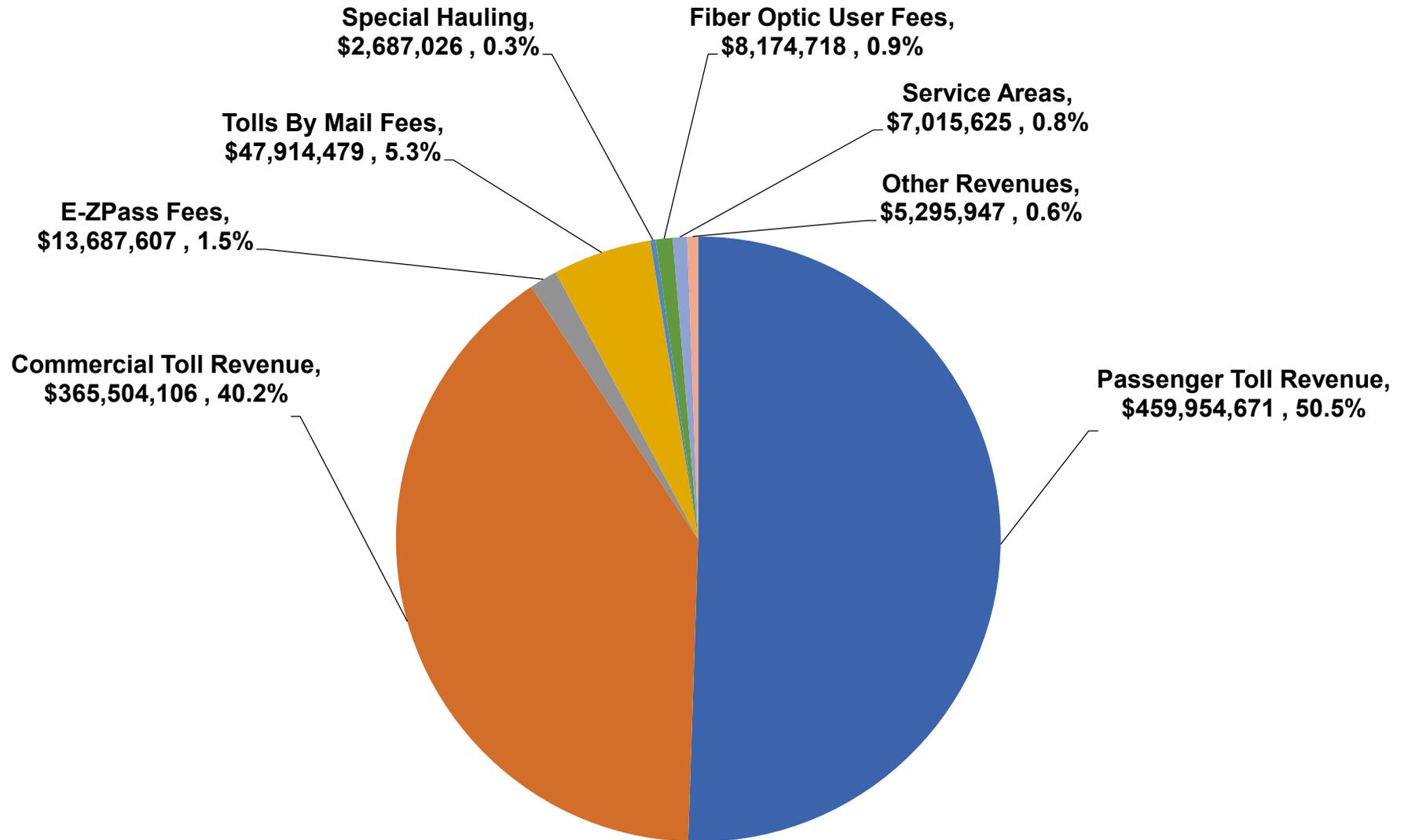
Percent Change in Monthly Thruway Traffic

By Passenger and Commercial Revenue Trips

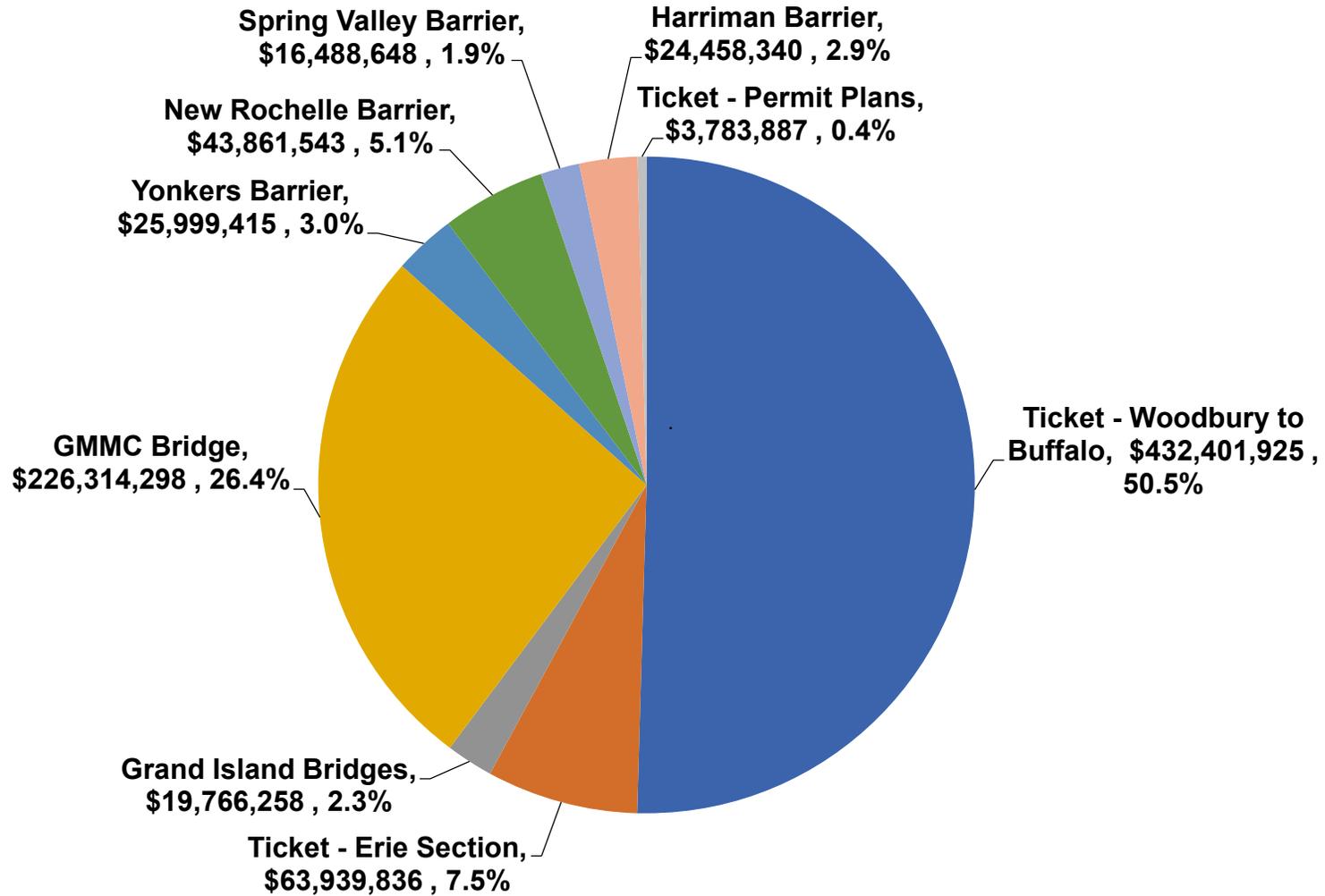
■ Passenger ■ Commercial



2023 YTD Operating Revenue

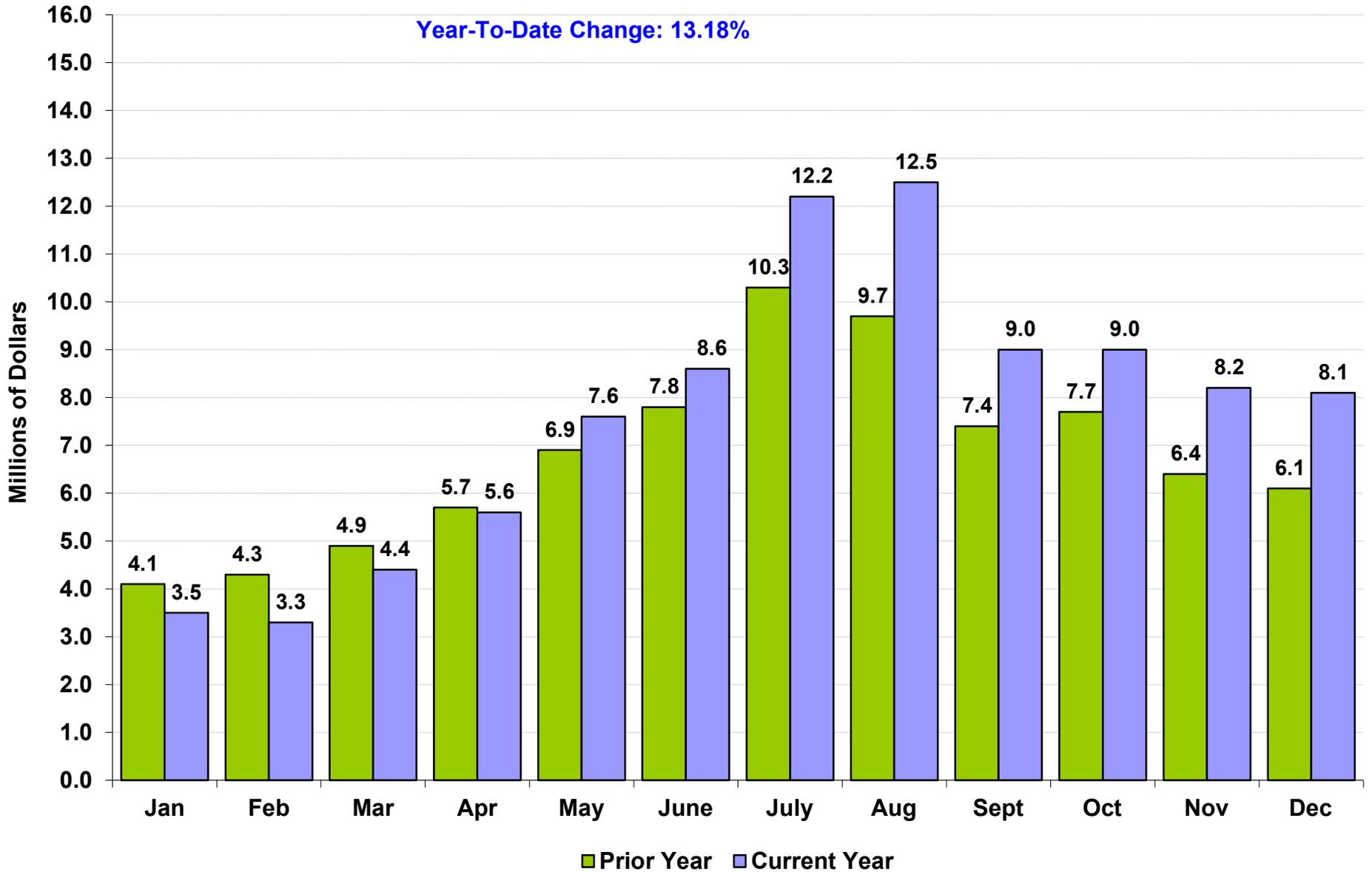


2023 YTD Toll Collections, By Location

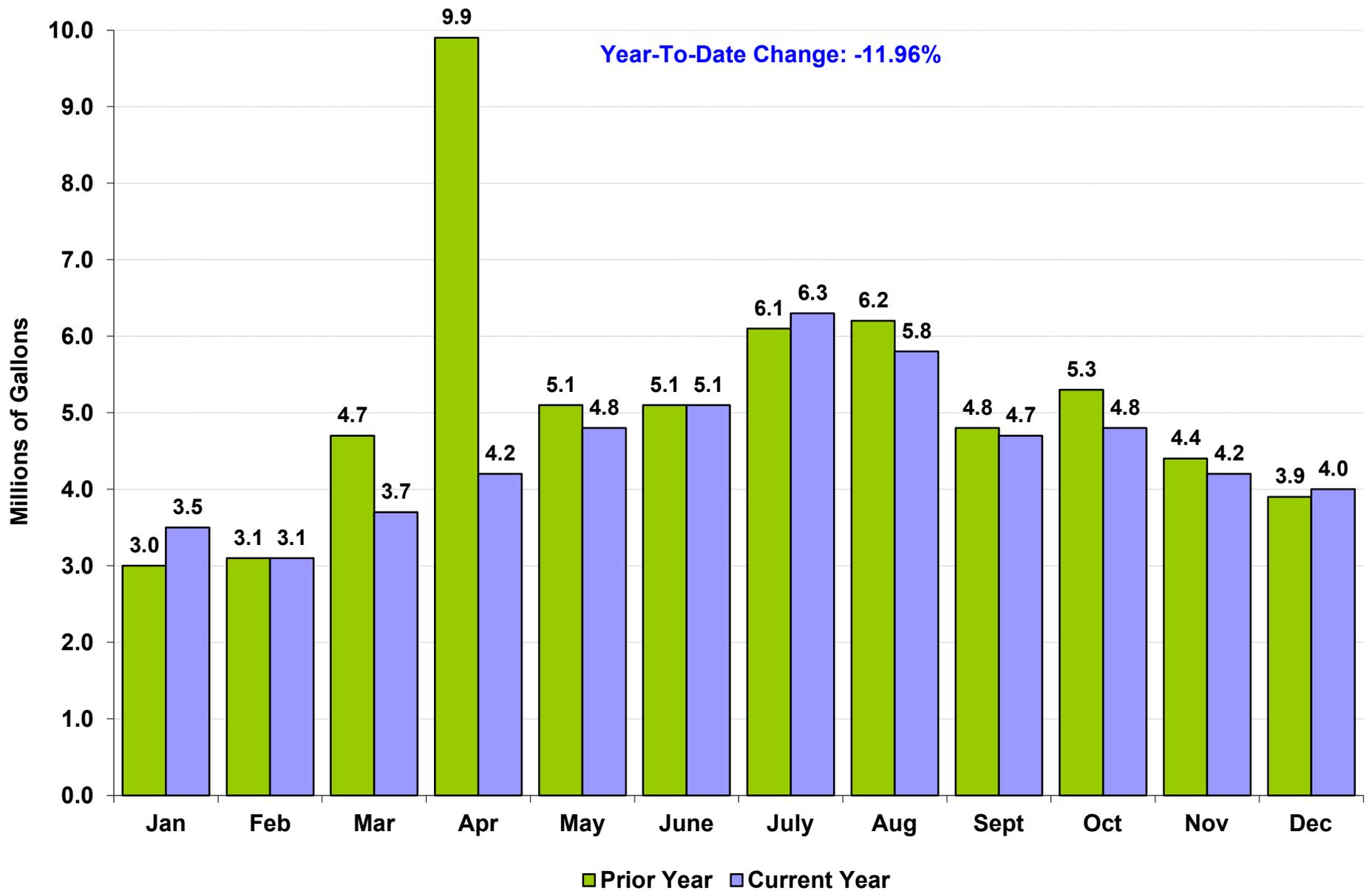


Note: Excludes Impact of Commercial Volume Discount Program.

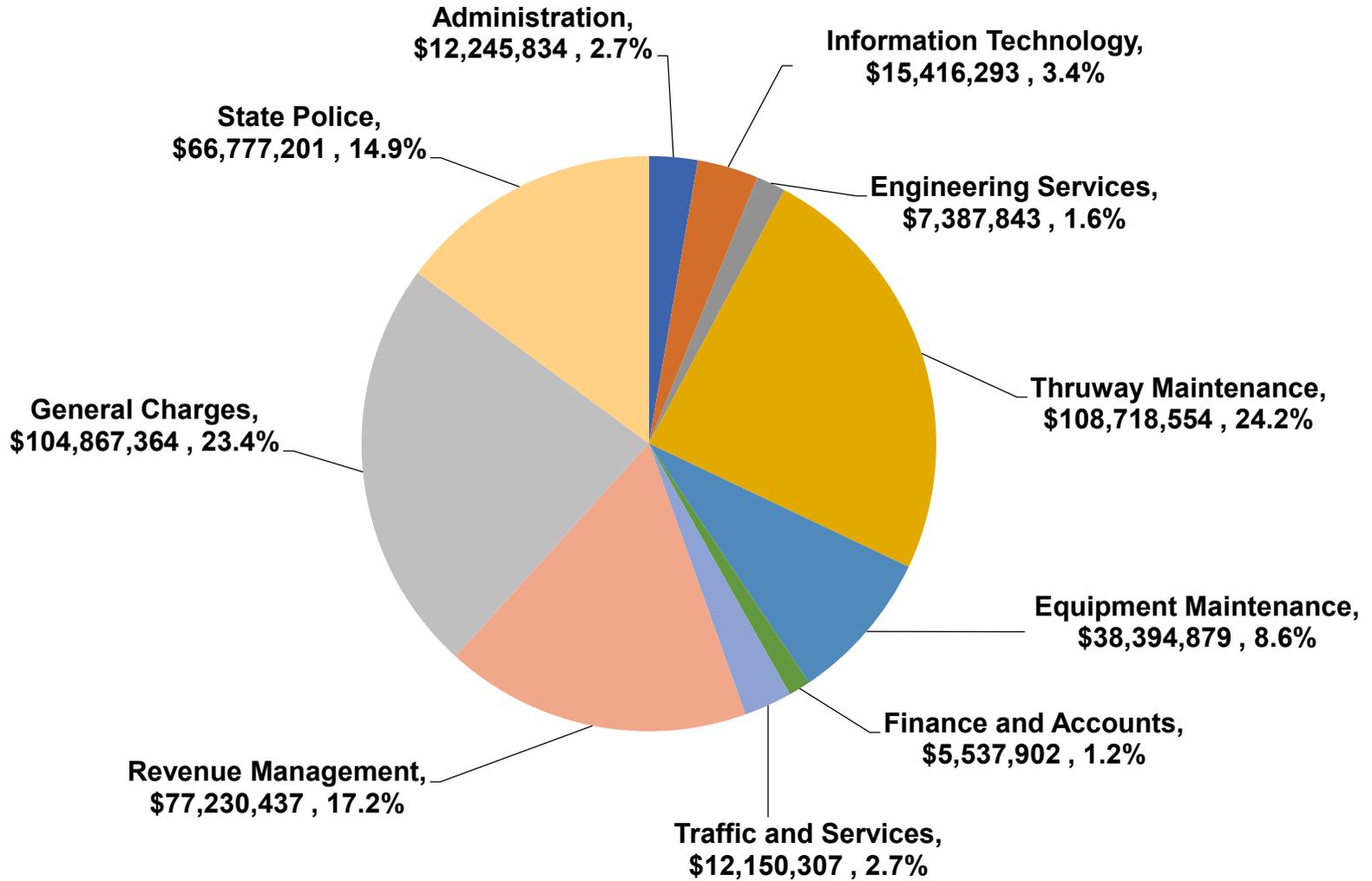
Restaurant Sales at Service Plazas



Fuel Deliveries to Service Areas



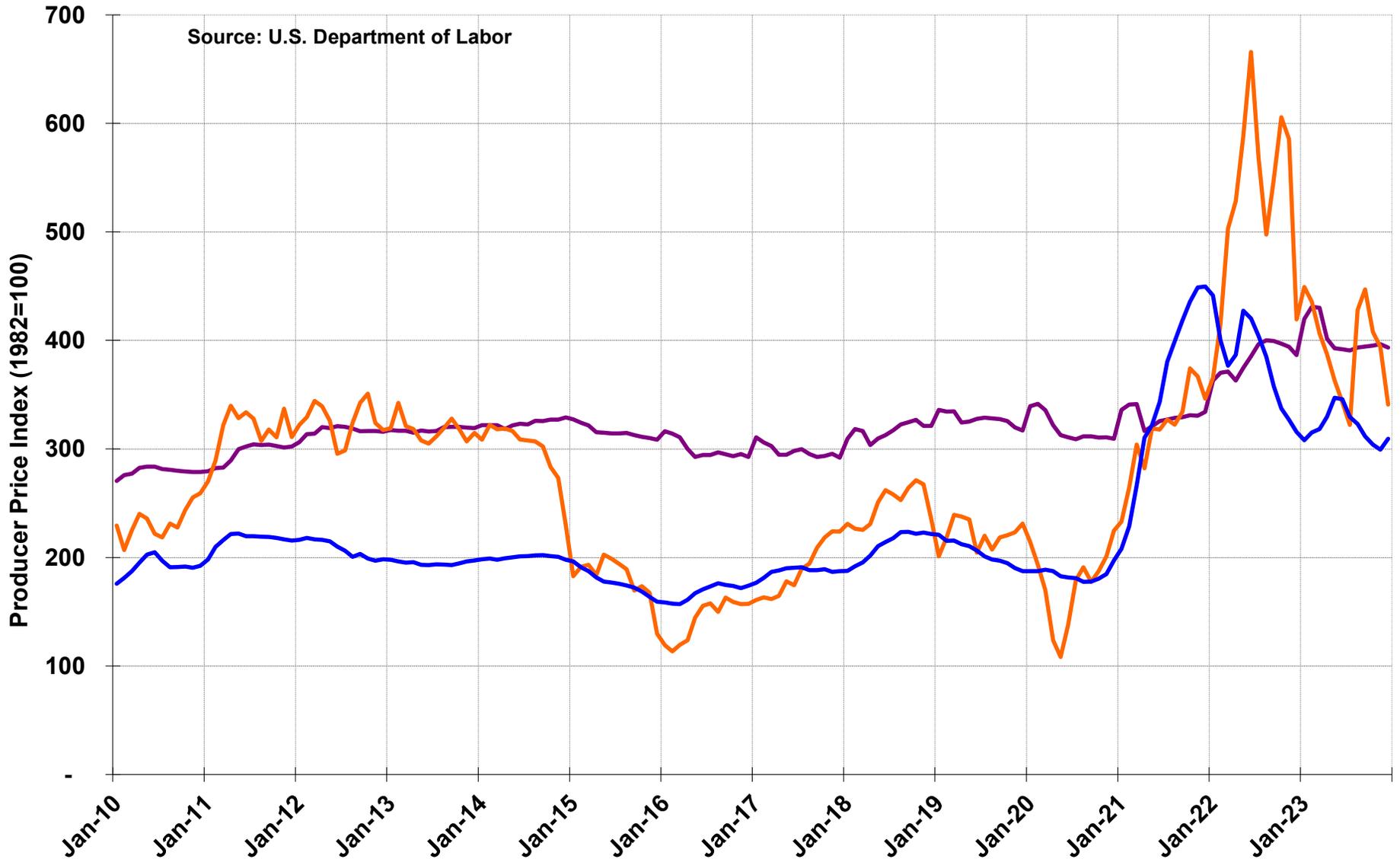
2023 YTD Operating Expenses



Note: General Charges include net Pension liability and unfunded OPEB

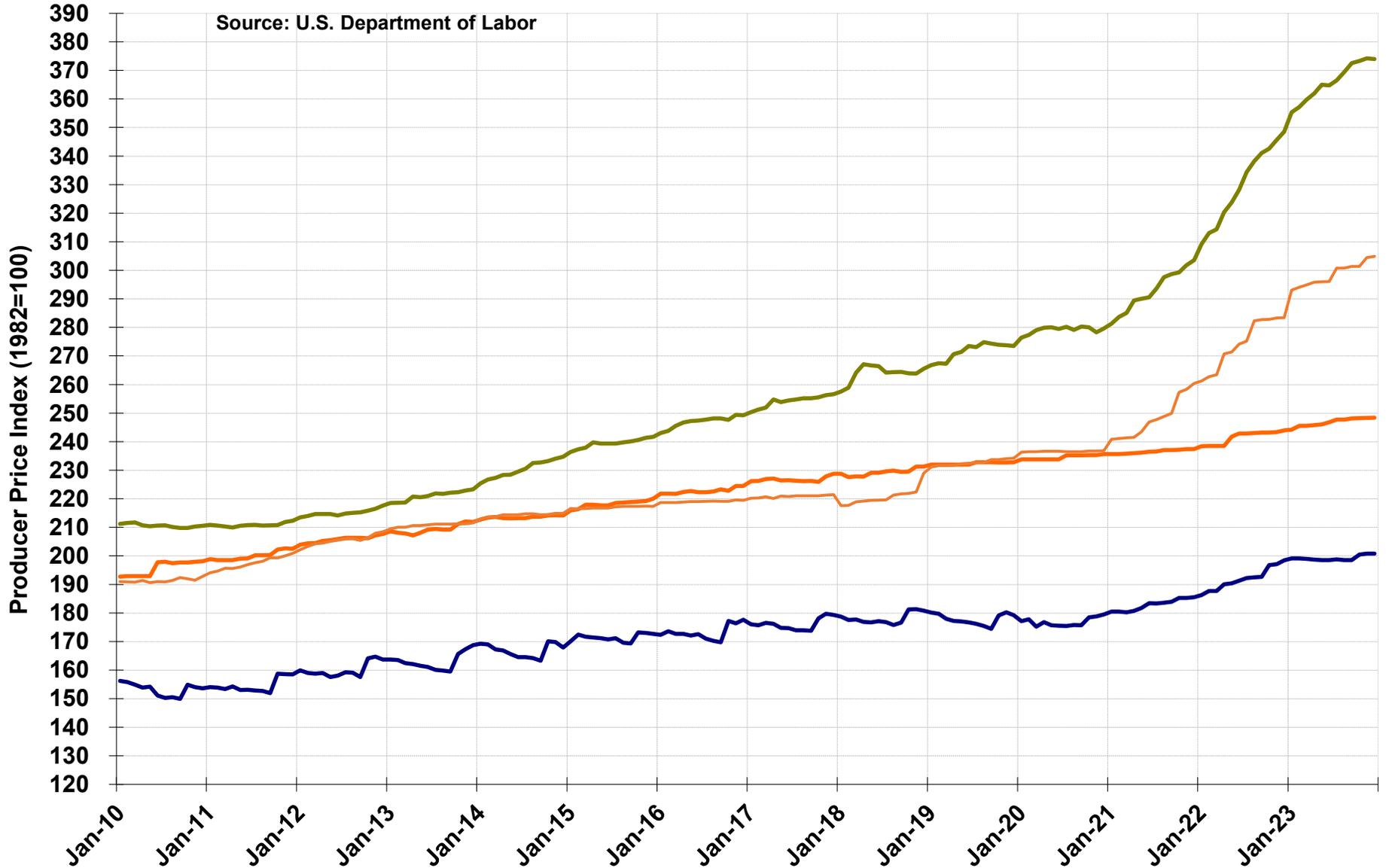
Producer Prices: Construction Inputs

Asphalt Paving Mixtures No. 2 Diesel Fuel Steel Mill Products

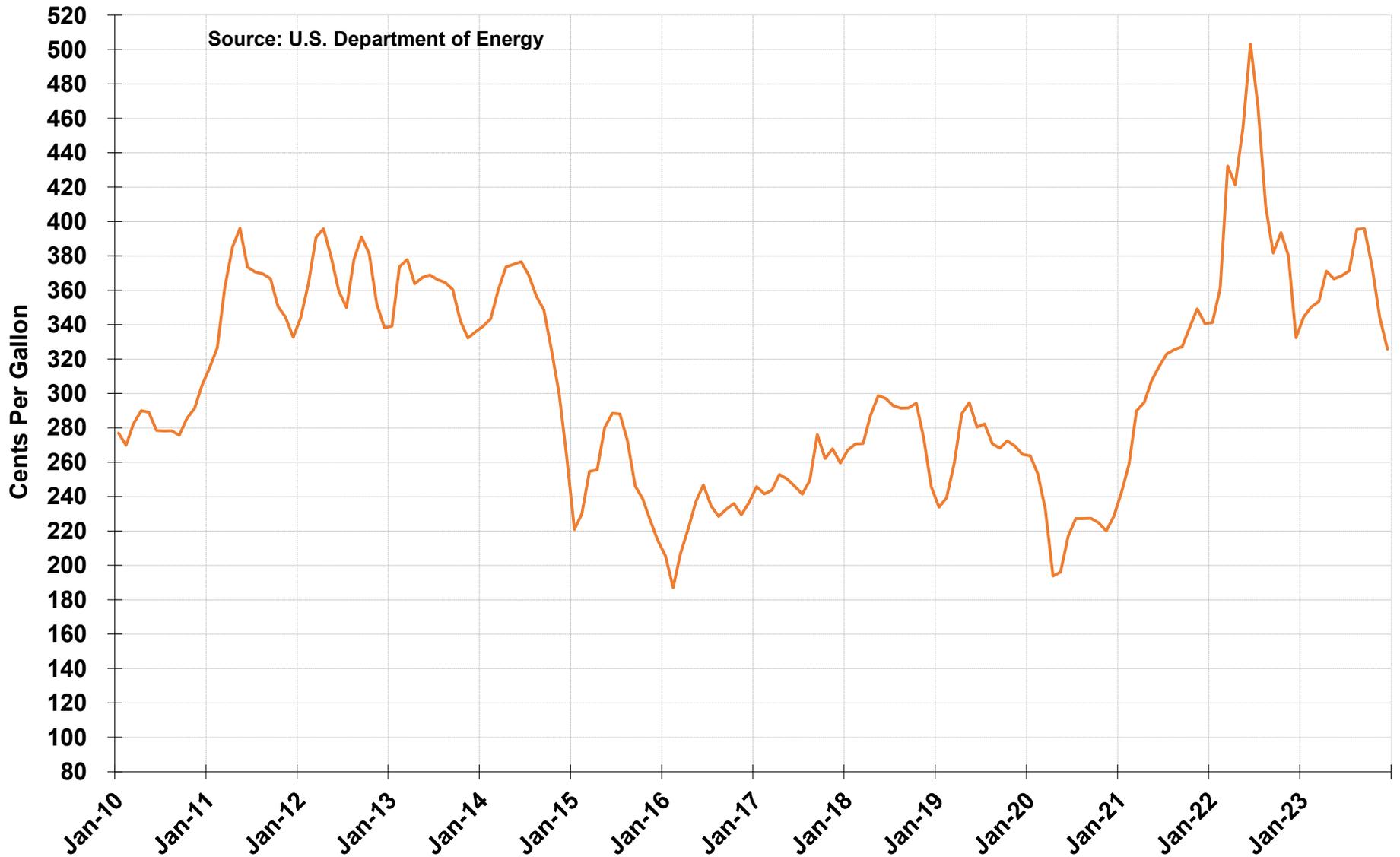


Producer Prices: Construction Inputs

Concrete Products Light Motor Trucks Heavy Trucks Const. Machinery & Equipment



Average Retail Price of Gasoline in U.S. All Grades





Monthly Financial Report

January 2024



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March 21, 2024

STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET POSITION - MONTH NEW YORK STATE THRUWAY AUTHORITY				MONTH
				January
				YEAR
				2024
REVENUES	PRESENT MONTH		AMOUNT OF CHANGE	% OF CHANGE
	CURRENT YEAR	PREVIOUS YEAR		
TOLL REVENUE				
<u>PASSENGER</u>				
Woodbury to Buffalo, Stations 15-50	\$ 17,149,853	\$ 13,597,661	\$ 3,552,192	26.12
Erie Section, Stations 55-61	1,735,000	1,387,068	347,932	25.08
Grand Island Bridges	1,055,641	964,022	91,619	9.50
Gov. Mario M. Cuomo Bridge	12,815,556	9,423,442	3,392,114	36.00
Yonkers Barrier	1,528,095	1,332,175	195,920	14.71
New Rochelle Barrier	2,736,296	2,254,347	481,949	21.38
Spring Valley Barrier	20,275	15,828	4,447	28.10
Harriman Barrier	1,574,602	1,347,198	227,404	16.88
	38,615,318	30,321,741	8,293,577	27.35
Permits, Stations 15-61	254,056	259,619	(5,563)	(2.14)
	38,869,374	30,581,360	8,288,014	27.10
<u>COMMERCIAL</u>				
Woodbury to Buffalo, Stations 15-50	19,759,178	17,439,206	2,319,972	13.30
Erie Section, Stations 55-61	3,553,695	3,143,720	409,975	13.04
Grand Island Bridges	408,326	377,522	30,804	8.16
Gov. Mario M. Cuomo Bridge	7,439,110	7,066,241	372,869	5.28
Yonkers Barrier	676,940	580,053	96,887	16.70
New Rochelle Barrier	1,170,883	1,083,955	86,928	8.02
Spring Valley Barrier	1,544,989	1,234,915	310,074	25.11
Harriman Barrier	470,133	407,635	62,498	15.33
	35,023,254	31,333,247	3,690,007	11.78
Less Volume Discount	3,456,418	1,703,354	1,753,064	102.92
	31,566,836	29,629,893	1,936,943	6.54
<u>SUMMARY</u>				
Woodbury to Buffalo, Stations 15-50	36,909,031	31,036,867	5,872,164	18.92
Erie Section, Stations 55-61	5,288,695	4,530,788	757,907	16.73
Grand Island Bridges	1,463,967	1,341,544	122,423	9.13
Gov. Mario M. Cuomo Bridge	20,254,666	16,489,683	3,764,983	22.83
Yonkers Barrier	2,205,035	1,912,228	292,807	15.31
New Rochelle Barrier	3,907,179	3,338,302	568,877	17.04
Spring Valley Barrier	1,565,264	1,250,743	314,521	25.15
Harriman Barrier	2,044,735	1,754,833	289,902	16.52
Permits, Stations 15-61	254,056	259,619	(5,563)	(2.14)
	73,892,628	61,914,607	11,978,021	19.35
Less Volume Discount	3,456,418	1,703,354	1,753,064	102.92
NET TOLLS	70,436,210	60,211,253	10,224,957	16.98
E-ZPass Fees	1,132,602	893,023	239,579	26.83
Tolls by Mail Fees	3,897,911	3,786,191	111,720	2.95
TOTAL TOLLS AND RELATED FEES	75,466,723	64,890,467	10,576,256	16.30
<u>LEASE REVENUES</u>				
Fiber Optic User Fees (1)	680,031	680,032	(1)	-
Service Areas (2)	697,116	392,794	304,322	77.48
TOTAL LEASE REVENUES	1,377,147	1,072,826	304,321	28.37
<u>OTHER REVENUES</u>				
Special Hauling	205,552	255,466	(49,914)	(19.54)
Short Term Rental Income	106,209	(371,749)	477,958	-
Sundry	229,007	72,756	156,251	-
TOTAL OTHER REVENUES	540,768	(43,527)	584,295	-
TOTAL OPERATING REVENUES (3)	\$ 77,384,638	\$ 65,919,766	\$ 11,464,872	17.39

(1) Please see Page 15 for additional details.

(2) Please see Page 14 for additional details.

(3) Note A.

STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET POSITION - YEAR-TO-DATE NEW YORK STATE THRUWAY AUTHORITY				MONTH
				January
				YEAR
				2024
REVENUES	YEAR-TO-DATE		AMOUNT OF CHANGE	% OF CHANGE
	CURRENT YEAR	PREVIOUS YEAR		
TOLL REVENUE				
<u>PASSENGER</u>				
Woodbury to Buffalo, Stations 15-50	\$ 17,149,853	\$ 13,597,661	\$ 3,552,192	26.12
Erie Section, Stations 55-61	1,735,000	1,387,068	347,932	25.08
Grand Island Bridges	1,055,641	964,022	91,619	9.50
Gov. Mario M. Cuomo Bridge	12,815,556	9,423,442	3,392,114	36.00
Yonkers Barrier	1,528,095	1,332,175	195,920	14.71
New Rochelle Barrier	2,736,296	2,254,347	481,949	21.38
Spring Valley Barrier	20,275	15,828	4,447	28.10
Harriman Barrier	1,574,602	1,347,198	227,404	16.88
	38,615,318	30,321,741	8,293,577	27.35
Permits, Stations 15-61	254,056	259,619	(5,563)	(2.14)
	38,869,374	30,581,360	8,288,014	27.10
<u>COMMERCIAL</u>				
Woodbury to Buffalo, Stations 15-50	19,759,178	17,439,206	2,319,972	13.30
Erie Section, Stations 55-61	3,553,695	3,143,720	409,975	13.04
Grand Island Bridges	408,326	377,522	30,804	8.16
Gov. Mario M. Cuomo Bridge	7,439,110	7,066,241	372,869	5.28
Yonkers Barrier	676,940	580,053	96,887	16.70
New Rochelle Barrier	1,170,883	1,083,955	86,928	8.02
Spring Valley Barrier	1,544,989	1,234,915	310,074	25.11
Harriman Barrier	470,133	407,635	62,498	15.33
	35,023,254	31,333,247	3,690,007	11.78
Less Volume Discount	3,456,418	1,703,354	1,753,064	102.92
	31,566,836	29,629,893	1,936,943	6.54
<u>SUMMARY</u>				
Woodbury to Buffalo, Stations 15-50	36,909,031	31,036,867	5,872,164	18.92
Erie Section, Stations 55-61	5,288,695	4,530,788	757,907	16.73
Grand Island Bridges	1,463,967	1,341,544	122,423	9.13
Gov. Mario M. Cuomo Bridge	20,254,666	16,489,683	3,764,983	22.83
Yonkers Barrier	2,205,035	1,912,228	292,807	15.31
New Rochelle Barrier	3,907,179	3,338,302	568,877	17.04
Spring Valley Barrier	1,565,264	1,250,743	314,521	25.15
Harriman Barrier	2,044,735	1,754,833	289,902	16.52
Permits, Stations 15-61	254,056	259,619	(5,563)	(2.14)
	73,892,628	61,914,607	11,978,021	19.35
Less Volume Discount	3,456,418	1,703,354	1,753,064	102.92
NET TOLLS	70,436,210	60,211,253	10,224,957	16.98
E-ZPass Fees	1,132,602	893,023	239,579	26.83
Tolls by Mail Fees	3,897,911	3,786,191	111,720	2.95
TOTAL TOLLS AND RELATED FEES	75,466,723	64,890,467	10,576,256	16.30
<u>LEASE REVENUES</u>				
Fiber Optic User Fees (1)	680,031	680,032	(1)	-
Service Areas (2)	697,116	392,794	304,322	77.48
TOTAL LEASE REVENUES	1,377,147	1,072,826	304,321	28.37
<u>OTHER REVENUES</u>				
Special Hauling	205,552	255,466	(49,914)	(19.54)
Short Term Rental Income	106,209	(371,749)	477,958	-
Sundry	229,007	72,756	156,251	-
TOTAL OTHER REVENUES	540,768	(43,527)	584,295	-
TOTAL OPERATING REVENUES (3)	\$ 77,384,638	\$ 65,919,766	\$ 11,464,872	17.39

(1) Please see Page 15 for additional details.

(2) Please see Page 14 for additional details.

(3) Note A.

STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET POSITION - MONTH				MONTH
NEW YORK STATE THRUWAY AUTHORITY				January
				YEAR
				2024
EXPENSES	PRESENT MONTH		AMOUNT OF CHANGE	% OF CHANGE
	CURRENT YEAR	PREVIOUS YEAR		
Total Operating Revenues	\$ 77,384,638	\$ 65,919,766	\$ 11,464,872	17.39
Thruway Operating Expenses				
Administrative and General	1,026,826	904,819	122,007	13.48
Information Technology	1,021,511	1,002,700	18,811	1.88
Engineering Services	545,264	436,212	109,052	25.00
Maintenance & Operations				
Thruway Maintenance	12,334,584	8,894,664	3,439,920	38.67
Equipment Maintenance	3,120,257	2,628,989	491,268	18.69
Traffic and Services	964,739	1,114,325	(149,586)	(13.42)
Finance and Accounts	482,130	449,332	32,798	7.30
Revenue Management	8,271,332	7,442,308	829,024	11.14
General Charges Undistributed	9,637,608	8,847,100	790,508	8.94
Thruway Operating Expenses	37,404,251	31,720,449	5,683,802	17.92
State Police	5,385,426	5,124,611	260,815	5.09
Thruway and State Police Operating Expenses	42,789,677	36,845,060	5,944,617	16.13
Operating Income before Depreciation	34,594,961	29,074,706	5,520,255	18.99
Depreciation & Amortization	31,044,745	29,085,593	1,959,152	6.74
Operating Gain (Loss)	3,550,216	(10,887)	3,561,103	-
Non-Operating Revenue (Expenses)				
Interest on Investments & Leases (1)	4,915,297	4,346,411	568,886	13.09
Interest & Fee Expenses	(17,263,606)	(17,144,468)	(119,138)	0.69
Debt Issuance Costs	(6,412)	-	(6,412)	-
Disposal of Assets and Other	(5,542)	96,035	(101,577)	(105.77)
Net Non-Operating Revenue (Expenses)	(12,360,263)	(12,702,022)	341,759	(2.69)
Gain (Loss) before other Revenue, Expenses and Transfers	(8,810,047)	(12,712,909)	3,902,862	(30.70)
Capital Contributions	-	13,964	(13,964)	(100.00)
Change in Net Position	(8,810,047)	(12,698,945)	3,888,898	(30.62)
Net Position, Beginning Balance	415,404,116	455,730,038	(40,325,922)	(8.85)
Net Position, Ending Balance	\$ 406,594,069	\$ 443,031,093	\$ (36,437,024)	(8.22)

(1) Please see Page 15 for additional details.

STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET POSITION - YEAR-TO-DATE				MONTH
				January
NEW YORK STATE THRUWAY AUTHORITY				YEAR
				2024
EXPENSES	YEAR-TO-DATE		AMOUNT OF CHANGE	% OF CHANGE
	CURRENT YEAR	PREVIOUS YEAR		
Total Operating Revenues	<u>\$ 77,384,638</u>	<u>\$ 65,919,766</u>	<u>\$ 11,464,872</u>	<u>17.39</u>
Thruway Operating Expenses				
Administrative and General	1,026,826	904,819	122,007	13.48
Information Technology	1,021,511	1,002,700	18,811	1.88
Engineering Services	545,264	436,212	109,052	25.00
Maintenance & Operations				
Thruway Maintenance	12,334,584	8,894,664	3,439,920	38.67
Equipment Maintenance	3,120,257	2,628,989	491,268	18.69
Traffic and Services	964,739	1,114,325	(149,586)	(13.42)
Finance and Accounts	482,130	449,332	32,798	7.30
Revenue Management	8,271,332	7,442,308	829,024	11.14
General Charges Undistributed	<u>9,637,608</u>	<u>8,847,100</u>	<u>790,508</u>	<u>8.94</u>
Thruway Operating Expenses (1)	<u>37,404,251</u>	<u>31,720,449</u>	<u>5,683,802</u>	<u>17.92</u>
State Police	<u>5,385,426</u>	<u>5,124,611</u>	<u>260,815</u>	<u>5.09</u>
Thruway and State Police Operating Expenses	<u>42,789,677</u>	<u>36,845,060</u>	<u>5,944,617</u>	<u>16.13</u>
Operating Income before Depreciation	<u>34,594,961</u>	<u>29,074,706</u>	<u>5,520,255</u>	<u>18.99</u>
Depreciation & Amortization	<u>31,044,745</u>	<u>29,085,593</u>	<u>1,959,152</u>	<u>6.74</u>
Operating Gain (Loss)	<u>3,550,216</u>	<u>(10,887)</u>	<u>3,561,103</u>	<u>-</u>
Non-Operating Revenue (Expenses)				
Interest on Investments & Leases (2)	4,915,297	4,346,411	568,886	13.09
Interest & Fee Expenses	(17,263,606)	(17,144,468)	(119,138)	0.69
Debt Issuance Costs	(6,412)	-	(6,412)	-
Disposal of Assets and Other	<u>(5,542)</u>	<u>96,035</u>	<u>(101,577)</u>	<u>(105.77)</u>
Net Non-Operating Revenue (Expenses)	<u>(12,360,263)</u>	<u>(12,702,022)</u>	<u>341,759</u>	<u>(2.69)</u>
Gain (Loss) before other Revenue, Expenses and Transfers	<u>(8,810,047)</u>	<u>(12,712,909)</u>	<u>3,902,862</u>	<u>(30.70)</u>
Capital Contributions	<u>-</u>	<u>13,964</u>	<u>(13,964)</u>	<u>(100.00)</u>
Change in Net Position	<u>(8,810,047)</u>	<u>(12,698,945)</u>	<u>3,888,898</u>	<u>(30.62)</u>
Net Position, Beginning Balance	<u>415,404,116</u>	<u>455,730,038</u>	<u>(40,325,922)</u>	<u>(8.85)</u>
Net Position, Ending Balance	<u>\$ 406,594,069</u>	<u>\$ 443,031,093</u>	<u>\$ (36,437,024)</u>	<u>(8.22)</u>

(1) Note B.

(2) Please see Page 15 for additional details.

STATEMENT OF NET POSITION
New York State Thruway Authority

AS OF
January 31
YEAR
2024

	REVENUE FUND	OPERATING FUND	OAP OPERATING FUNDS	SENIOR DEBT SERVICE FUNDS
ASSETS				
Current and Non-Current Assets:				
Cash & cash equivalents	\$ 360,126,247	\$ 63,686,908	\$ 302,105	\$ 10,996,351
Investments	-	10,884,512	-	244,811,327
Accounts receivable, net	242,495,752	25,158,220	-	-
Due from other funds	13,107,034	13,390,776	-	-
Material and other inventory	-	26,293,588	-	-
Prepaid insurance and expenses	-	10,070,824	-	163,967
Total current and non-current assets	615,729,033	149,484,828	302,105	255,971,645
Capital Assets:				
Land & land improvements	-	-	-	-
Construction in progress	-	-	-	-
Thruway system	-	-	-	-
Equipment	-	-	-	-
Less: accumulated depreciation	-	-	-	-
Net capital assets	-	-	-	-
Total Assets	615,729,033	149,484,828	302,105	255,971,645
DEFERRED OUTFLOWS				
Loss on bond refundings	-	-	-	-
Asset Retirement Obligations	-	-	-	-
OPEB Resources	-	102,284,694	-	-
Pension Resources	-	72,793,565	-	-
Total Deferred Outflows	-	175,078,259	-	-
LIABILITIES				
Current Liabilities:				
Accounts payable and accrued expenses	156,552,732	76,741,604	-	-
Accrued wages and benefits	-	3,756,006	-	-
Due to other funds	-	-	-	-
Unearned revenue	139,170,021	-	-	-
Accrued interest payable	-	-	-	11,473,667
Current amount due on bonds, notes, and loans	-	-	-	-
Total Current Liabilities	295,722,753	80,497,610	-	11,473,667
Long-Term Liabilities:				
Accounts payable and accrued expenses	-	1,090,799,581	-	-
Accrued wages and benefits	-	100,267,078	-	-
General revenue bonds, net of unamortized premiums	-	-	-	-
General revenue JIO, net of unamortized premiums (1)	-	-	-	-
Total Long-Term Liabilities	-	1,191,066,659	-	-
Total Liabilities	295,722,753	1,271,564,269	-	11,473,667
DEFERRED INFLOWS				
Gain on bond refundings	-	-	-	-
Leases	155,399,617	-	-	-
OPEB Resources	-	341,757,920	-	-
Pension Resources	-	7,751,089	-	-
Total Deferred Inflows	155,399,617	349,509,009	-	-
NET POSITION				
Total Net Position	\$ 164,606,663	\$ (1,296,510,191)	\$ 302,105	\$ 244,497,978

(1) JIO - Junior Indebtedness Obligation.

STATEMENT OF NET POSITION
New York State Thruway Authority

AS OF
January 31
YEAR
2024

CONSTRUCTION FUND	RESERVE MAINTENANCE FUND	JUNIOR INDEBTEDNESS FUND	FACILITIES CAPITAL IMPROVEMENT FUND	GENERAL RESERVE FUND	TOTAL 2024	TOTAL 2023
\$ 20,247,493	\$ 156,610,788	\$ 8,923	\$ 12,047,397	\$ 45,425,097	\$ 669,451,309	\$ 844,753,741
15,095,600	-	71,959,527	-	-	342,750,966	351,374,895
-	876,469	-	-	-	268,530,441	223,916,186
-	-	-	2,982,956	-	29,480,766	41,646,792
-	-	-	-	-	26,293,588	24,951,871
120,333	398,089	1,683,030	1,022,512	63,782	13,522,537	49,251,276
35,463,426	157,885,346	73,651,480	16,052,865	45,488,879	1,350,029,607	1,535,894,761
822,416,442	-	-	-	-	822,416,442	819,358,657
90,846,502	110,481,670	-	6,120,362	63,805	207,512,339	277,054,933
11,994,571,413	739,387,557	-	-	-	12,733,958,970	12,271,776,126
-	290,271,113	-	-	142,185	290,413,298	284,210,891
(6,062,195,800)	(440,054,311)	-	-	(39,342)	(6,502,289,453)	(6,172,060,687)
6,845,638,557	700,086,029	-	6,120,362	166,648	7,552,011,596	7,480,339,920
6,881,101,983	857,971,375	73,651,480	22,173,227	45,655,527	8,902,041,203	9,016,234,681
4,930,189	-	-	-	-	4,930,189	5,763,460
-	1,966,667	-	-	-	1,966,667	2,366,667
-	-	-	-	-	102,284,694	195,263,096
-	-	-	-	-	72,793,565	84,289,960
4,930,189	1,966,667	-	-	-	181,975,115	287,683,183
30,541,958	3,665,312	-	-	5,195,760	272,697,366	237,950,444
-	-	-	-	3,360	3,759,366	6,743,768
4,006,615	8,651,295	-	-	16,822,856	29,480,766	41,646,792
-	-	-	-	-	139,170,021	134,409,019
-	-	9,027,837	-	-	20,501,504	20,987,916
162,523,078	-	14,938,478	-	-	177,461,556	173,702,422
197,071,651	12,316,607	23,966,315	-	22,021,976	643,070,579	615,440,361
-	10,219,486	-	-	-	1,101,019,067	1,517,179,763
-	-	-	-	-	100,267,078	10,583,500
3,408,696,927	-	-	-	-	3,408,696,927	3,568,511,920
-	-	2,765,920,347	-	-	2,765,920,347	2,781,723,108
3,408,696,927	10,219,486	2,765,920,347	-	-	7,375,903,419	7,877,998,291
3,605,768,578	22,536,093	2,789,886,662	-	22,021,976	8,018,973,998	8,493,438,652
14,194,821	-	-	-	-	14,194,821	19,017,521
-	139,344,804	-	-	-	294,744,421	189,331,597
-	-	-	-	-	341,757,920	29,483,991
-	-	-	-	-	7,751,089	129,615,010
14,194,821	139,344,804	-	-	-	658,448,251	367,448,119
\$ 3,266,068,773	\$ 698,057,145	\$ (2,716,235,182)	\$ 22,173,227	\$ 23,633,551	\$ 406,594,069	\$ 443,031,093

FUNDS AVAILABLE FOR TRANSFER - MONTH
NEW YORK STATE THRUWAY AUTHORITY

MONTH
January
YEAR
2024

	PRESENT MONTH	
	CURRENT YEAR	PREVIOUS YEAR
TOLL REVENUE, CONCESSION REVENUE AND OTHER REVENUES	\$ 80,409,107	\$ 68,574,902
Adjustment to Cash Basis	(4,101,939)	477,388
	<hr/>	<hr/>
AVAILABLE REVENUE	76,307,168	69,052,290
Transfer to:		
Thruway Operating Fund (1)	37,352,834	31,720,449
Debt Service - Senior General Revenue Bonds	20,420,025	21,072,043
Reserve Maintenance Fund	5,000,000	5,000,000
Debt Service - General Revenue Junior Indebtedness Obligations	9,205,321	9,060,321
General Reserve Fund	4,328,988	2,199,477
	<hr/>	<hr/>
NET CASH REVENUES REMAINING AFTER TRANSFERS TO OTHER FUNDS	\$ -	\$ -
	<hr/> <hr/>	<hr/> <hr/>

(1) The following amounts are excluded from the transfer of Thruway Revenues to the Thruway Operating Fund: 1) Claims and indemnity expense of \$1,540, which is funded via transfers to the Public Liability Claims Reserve; and 2) Environmental Remediation expense of \$49,877, which is funded via transfers to the Environmental Remediation Reserve.

<u>FUNDS AVAILABLE FOR TRANSFER - YEAR-TO-DATE</u>			MONTH
NEW YORK STATE THRUWAY AUTHORITY			January
			YEAR
			2024
	YEAR-TO-DATE		
	CURRENT YEAR	PREVIOUS YEAR	
TOLL REVENUE, CONCESSION REVENUE			
AND OTHER REVENUES	\$ 80,409,107	\$ 68,574,902	
Adjustment to Cash Basis	(4,101,939)	477,388	
	76,307,168	69,052,290	
AVAILABLE REVENUE			
Transfer to:			
Thruway Operating Fund (1)	37,352,834	31,720,449	
Debt Service - Senior General Revenue Bonds	20,420,025	21,072,043	
Reserve Maintenance Fund	5,000,000	5,000,000	
Debt Service - General Revenue Junior Indebtedness Obligations	9,205,321	9,060,321	
General Reserve Fund	4,328,988	2,199,477	
	-	-	
NET CASH REVENUES REMAINING			
AFTER TRANSFERS TO OTHER FUNDS	\$ -	\$ -	
	-	-	

(1) The following amounts are excluded from the transfer of Thruway Revenues to the Thruway Operating Fund: 1) Claims and indemnity expense of \$1,540, which is funded via transfers to the Public Liability Claims Reserve; and 2) Environmental Remediation expense of \$49,877, which is funded via transfers to the Environmental Remediation Reserve.

DEBT SERVICE NEW YORK STATE THRUWAY AUTHORITY					AS OF January
					YEAR 2024
BONDS & NOTES	OUTSTANDING PRINCIPAL	CURRENT YEAR ACCRUAL REQUIREMENTS	CURRENT MONTH ACCRUALS	ACCRUALS YEAR TO DATE	PAYMENTS YEAR TO DATE
GENERAL REVENUE BONDS					
Principal					
Series J	\$ 517,965,000	\$ 57,300,000	\$ 4,775,000	\$ 4,775,000	\$ 42,090,000
Series K	598,515,000	19,260,000	1,605,000	1,605,000	30,860,000
Series L	366,285,000	37,450,000	3,120,833	3,120,833	35,675,000
Series M	839,705,000	23,075,000	1,922,917	1,922,917	17,920,000
Series N	450,000,000	-	-	-	-
Series O	540,090,000	-	-	-	-
Total Principal	<u>3,312,560,000</u>	<u>137,085,000</u>	<u>11,423,750</u>	<u>11,423,750</u>	<u>126,545,000</u>
Interest					
Series J	January 1 & July 1	\$ 24,959,300	\$ 2,079,942	\$ 2,079,942	\$ 13,527,350
Series K	January 1 & July 1	29,511,138	2,459,262	2,459,262	15,509,469
Series L	January 1 & July 1	17,278,363	1,439,864	1,439,864	9,531,056
Series M	January 1 & July 1	25,886,512	2,157,209	2,157,209	13,133,118
Series N	January 1 & July 1	18,585,000	1,548,750	1,548,750	9,292,500
Series O	January 1 & July 1	21,463,700	1,788,642	1,788,642	10,731,850
Total Interest		<u>137,684,013</u>	<u>11,473,668</u>	<u>11,473,668</u>	<u>71,725,343</u>
TOTAL GENERAL REVENUE BONDS	<u>\$ 3,312,560,000</u>	<u>\$ 274,769,013</u>	<u>\$ 22,897,418</u>	<u>\$ 22,897,418</u>	<u>\$ 198,270,343</u>
GENERAL REVENUE JUNIOR INDEBTEDNESS OBLIGATIONS					
Principal					
Series 2016A	\$ 849,000,000	\$ 2,000,000	\$ 166,667	\$ 166,667	\$ 250,000
Series 2019B	1,689,235,000	1,260,000	105,000	105,000	1,200,000
Total Principal	<u>2,538,235,000</u>	<u>3,260,000</u>	<u>271,667</u>	<u>271,667</u>	<u>1,450,000</u>
Interest					
Series 2016A	January 1 & July 1	40,912,250	3,503,535	3,503,535	20,461,125
Series 2019B	January 1 & July 1	66,291,600	5,524,300	5,524,300	33,175,800
Total Interest		<u>107,203,850</u>	<u>9,027,835</u>	<u>9,027,835</u>	<u>53,636,925</u>
TOTAL GENERAL REVENUE JUNIOR INDEBTEDNESS OBLIGATIONS 2016A & 2019B	<u>\$ 2,538,235,000</u>	<u>\$ 110,463,850</u>	<u>\$ 9,299,502</u>	<u>\$ 9,299,502</u>	<u>\$ 55,086,925</u>

**COMPARATIVE STATEMENT OF REVENUES AND
DEPARTMENTAL OPERATING EXPENSES TO BUDGET**

NEW YORK STATE THRUWAY AUTHORITY

MONTH
January
YEAR
2024

DEPARTMENT OR OFFICE	ANNUAL BUDGETED AMOUNT (1)	PRORATED BUDGET AMOUNT (2)	ACTUAL YTD	OVER/(UNDER) BUDGET	PERCENTAGE OVER/(UNDER)
REVENUES (3)					
Net Toll Revenue	\$ 986,020,000	\$ 71,923,034	\$ 70,436,210	\$ (1,486,824)	(2.07)
Toll Related Fees	51,800,000	4,387,432	5,030,513	643,081	14.66
Lease Revenues	12,406,605	1,033,884	998,123	(35,761)	(3.46)
Other Revenues	11,820,000	985,000	540,768	(444,232)	(45.10)
Interest Revenues	25,000,000	2,083,333	3,403,493	1,320,160	63.37
TOTAL REVENUES	1,087,046,605	80,412,683	80,409,107	(3,576)	-
OPERATING EXPENSES					
Board and Executive	1,068,530	89,044	54,751	(34,293)	(38.51)
Procurement Services	2,581,618	215,135	196,326	(18,809)	(8.74)
Media Relations & Communications	573,227	47,769	47,929	160	0.33
Strategic Excellence	692,694	57,725	103,553	45,828	79.39
Legal	2,668,302	222,359	199,392	(22,967)	(10.33)
Audit & Management Services	889,492	74,124	46,182	(27,942)	(37.70)
Administrative Services	6,493,274	541,106	378,693	(162,413)	(30.02)
Information Technology	24,637,239	2,053,103	1,021,511	(1,031,592)	(50.25)
Engineering Services	8,454,675	704,556	545,264	(159,292)	(22.61)
Maintenance & Operations					18.25
Thruway Maintenance	112,586,096	9,382,175	12,334,584	2,952,409	31.47
Equipment Maintenance	40,339,162	3,361,597	3,120,257	(241,340)	(7.18)
Traffic and Services	13,697,570	1,141,464	964,739	(176,725)	(15.48)
Finance and Accounts	6,889,234	574,103	482,130	(91,973)	(16.02)
Revenue Management	83,791,439	6,982,620	8,271,332	1,288,712	18.46
Subtotal	305,362,552	25,446,880	27,766,643	2,319,763	9.12
General Charges Undistributed	106,563,354	8,880,280	9,637,608	757,328	8.53
Total Departmental Expenses (4)	411,925,906	34,327,160	37,404,251	3,077,091	8.96
Adjustment for Claims, Environmental Remediation & Other Provisions	N/A	N/A	(51,417)	N/A	
Total Funded Thruway Operating Expenses and Provisions	411,925,906	34,327,160	37,352,834	3,077,091	8.96
State Police Operating Expense	71,029,518	5,919,127	5,385,426	(533,701)	(9.02)
TOTAL OPERATING EXPENSES AND PROVISIONS	\$ 482,955,424	\$ 40,246,287	\$ 42,738,260	\$ 2,543,390	6.32

(1) Total Annual Budgeted Amount includes Resolutions through Board Meeting Number 761 held on January 29, 2024.

(2) The January 2024 Prorated Budget for Net Toll Revenues is calculated as 7.29% of the annual budget, while Toll Related Fees are calculated as 8.47% of the annual budget. Lease Revenues and Other Revenues are calculated as 8.33% of the annual budgeted amounts. Normal Expense Percentage through this month is 8.33%.

(3) Revenues reported only reflect those recorded to the Revenue Fund.

(4) Note B.

CAPITAL PROGRAM SUMMARY
NEW YORK STATE THRUWAY AUTHORITY

MONTH
January
YEAR
2024

Funded From	Reserve Maintenance Fund	General Reserve Fund	Facilities Capital Improvement Fund ⁽¹⁾	Construction Fund ⁽²⁾	Summary Totals
	Capital Projects and Equipment	State Police	Governor Mario M. Cuomo Bridge	Capital Projects	
Beginning Balances	\$ 154,015,241	\$ 47,224,322	\$ 12,296,932	\$ 35,192,082	\$ 248,728,577
Receipts					
Provisions (3)	\$ 5,000,000	\$ 4,328,988	\$ -	N/A	\$ 9,328,988
Net Proceeds from Bond Issuance	-	-	-	-	-
Auction/Settlement Proceeds	-	N/A	N/A	-	-
Interest Earnings	N/A	N/A	-	154,974	154,974
Federal and Other Aid	-	-	-	-	-
Total	\$ 5,000,000	\$ 4,328,988	\$ -	\$ 154,974	\$ 9,483,962
Capital Expenditures					
January	\$ 12,982,334	\$ 23,680	\$ 544,589	\$ 12,732	\$ 13,563,335
February	-	-	-	-	-
March	-	-	-	-	-
April	-	-	-	-	-
May	-	-	-	-	-
June	-	-	-	-	-
July	-	-	-	-	-
August	-	-	-	-	-
September	-	-	-	-	-
October	-	-	-	-	-
November	-	-	-	-	-
December	-	-	-	-	-
Subtotal	\$ 12,982,334	\$ 23,680	\$ 544,589	\$ 12,732	\$ 13,563,335
State Police Operating Expense	N/A	5,385,426	N/A	N/A	5,385,426
Interest Expense	N/A	-	-	N/A	-
Total	\$ 12,982,334	\$ 5,409,106	\$ 544,589	\$ 12,732	\$ 18,948,761
Adjustments to Cash Basis					
Transfers to and from other funds	\$ 10,643,801	\$ 13,758,791	\$ 295,054	\$ 15,181	\$ 24,712,827
Change in Receivables and Payables	(65,920)	(14,477,898)	-	(6,412)	(14,550,230)
Total	\$ 10,577,881	\$ (719,107)	\$ 295,054	\$ 8,769	\$ 10,162,597
Ending Balances	\$ 156,610,788	\$ 45,425,097	\$ 12,047,397	\$ 35,343,093	\$ 249,426,375
Budgeted					
Provisions	\$ 239,882,207	\$ 71,174,518	\$ -	N/A	\$ 311,056,725
Expenditures	\$ 239,737,207	\$ 145,000	\$ 35,000,000	\$ 176,062,769	\$ 450,944,976

(1) The Facilities Capital Improvement Fund includes funds to pay Governor Mario M. Cuomo Bridge project costs. These project costs are detailed on page 12.

(2) The Construction Fund is used to account for proceeds from the issuance of General Revenue Bonds. It includes funds to pay Capital Program costs, as well as interest and issuance costs on the General Revenue Bonds, Series O.

(3) See page 8.

GOVERNOR MARIO M. CUOMO BRIDGE

New York State Thruway Authority

MONTH

January

YEAR

2024

	<u>Monthly</u>	<u>Year-to-Date</u>	<u>Life-to-Date</u>
Funding Sources:			
Thruway Revenues	\$ 544,589	\$ 544,589	\$ 138,441,458
Debt Proceeds	-	-	2,492,259,475
State of New York	-	-	1,200,000,000
NYS DOT	-	-	33,157,570
MTA	-	-	35,511,512
Other	-	-	1,561,452
Total Funding Sources	<u>\$ 544,589</u>	<u>\$ 544,589</u>	<u>\$ 3,900,931,467</u>
Expenditures: (1)			
Pre-Design-Build	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 152,801,305</u>
Design-Build:			
Design-Build Contract	\$ -	\$ -	\$ 3,446,457,071
Construction Contracts	224,970	224,970	60,127,180
Engineering Agreements	250,321	250,321	170,206,185
Financial & Legal Agreements	-	-	4,914,937
Governmental Support Services	-	-	4,368,858
Community Benefit	-	-	9,724,598
Thruway Staff	69,298	69,298	47,284,190
Real Property Acquisitions	-	-	2,727,295
Other	-	-	2,319,848
Total Design-Build	<u>\$ 544,589</u>	<u>\$ 544,589</u>	<u>\$ 3,748,130,162</u>
Total Expenditures	<u>\$ 544,589</u>	<u>\$ 544,589</u>	<u>\$ 3,900,931,467</u>

(1) Capitalized interest costs and costs associated with work performed, but not yet paid for, are excluded from this page.

GROSS SALES OF RESTAURANTS (1)
NEW YORK STATE THRUWAY AUTHORITY

Month
 January
 Year
 2024

GROSS SALES (Subject to audit of operator's records)

Service Area	CURRENT MONTH			YEAR-TO-DATE			
	Current Year	Previous Year	% of Change	Current Year	Previous Year	Amount of Change	% of Change
EMPIRE STATE THRUWAY PARTNERS, LLC							
Ardsley	\$ 600,097	\$ -	-	\$ 600,097	\$ -	\$ 600,097	-
Sloatsburg	-	489,849	(100.00)	-	489,849	(489,849)	(100.00)
Plattekill	1,197,577	-	-	1,197,577	-	1,197,577	-
Ulster	-	476,137	(100.00)	-	476,137	(476,137)	(100.00)
New Baltimore	1,302,369	-	-	1,302,369	-	1,302,369	-
Pattersonville	-	258,830	(100.00)	-	258,830	(258,830)	(100.00)
Indian Castle	325,789	369,267	(11.77)	325,789	369,267	(43,478)	(11.77)
Iroquois	574,552	-	-	574,552	-	574,552	-
Oneida	186,385	-	-	186,385	-	186,385	-
Chittenango	353,125	425,138	(16.94)	353,125	425,138	(72,013)	(16.94)
Junius Ponds	363,042	401,119	(9.49)	363,042	401,119	(38,077)	(9.49)
Clifton Springs	586,101	-	-	586,101	-	586,101	-
Seneca	171,589	-	-	171,589	-	171,589	-
Scottsville	-	227,446	(100.00)	-	227,446	(227,446)	(100.00)
Pembroke	431,793	-	-	431,793	-	431,793	-
Clarence	266,436	-	-	266,436	-	266,436	-
TOTAL SALES	\$ 6,358,855	\$ 2,647,786	140.16	\$ 6,358,855	\$ 2,647,786	\$ 3,711,069	-
EMPIRE STATE THRUWAY PARTNERS, LLC/McDONALD'S CORPORATION							
Ramapo	\$ -	\$ -	-	\$ -	\$ -	\$ -	-
Modena	175,754	128,101	37.20	175,754	128,101	47,653	37.20
Malden	-	212,439	(100.00)	-	212,439	(212,439)	(100.00)
Guilderland	-	58,492	(100.00)	-	58,492	(58,492)	(100.00)
Mohawk	39,569	40,613	(2.57)	39,569	40,613	(1,044)	(2.57)
Schuyler	67,934	61,603	10.28	67,934	61,603	6,331	10.28
DeWitt	36,022	53,855	(33.11)	36,022	53,855	(17,833)	(33.11)
Warners	-	-	-	-	-	-	-
Port Byron	-	65,152	(100.00)	-	65,152	(65,152)	(100.00)
Ontario	-	96,176	(100.00)	-	96,176	(96,176)	(100.00)
Angola	-	90,615	(100.00)	-	90,615	(90,615)	(100.00)
TOTAL SALES	\$ 319,279	\$ 807,046	(60.44)	\$ 319,279	\$ 807,046	\$ (487,767)	(60.44)
GRAND TOTALS							
SALES	\$ 6,678,134	\$ 3,454,832	93.30	\$ 6,678,134	\$ 3,454,832	\$ 3,223,302	93.30

(1) Note C.

GALLONS OF MOTOR FUEL DELIVERED TO GAS STATIONS (1)
NEW YORK STATE THRUWAY AUTHORITY

Month
 January
 Year
 2024

GALLONS OF MOTOR FUEL (Subject to audit of operator's records)

Service Area	CURRENT MONTH			YEAR-TO-DATE			
	Current Year	Previous Year	% of Change	Current Year	Previous Year	Amount of Change	% of Change
SUNOCO, INC.							
Ardsley	105,674	68,863	53.46	105,674	68,863	36,811	53.46
Ramapo	86,853	147,799	(41.24)	86,853	147,799	(60,946)	(41.24)
Sloatsburg	159,241	182,426	(12.71)	159,241	182,426	(23,185)	(12.71)
Modena	193,021	169,022	14.20	193,021	169,022	23,999	14.20
Plattekill	248,975	121,600	104.75	248,975	121,600	127,375	-
Ulster	89,976	238,077	(62.21)	89,976	238,077	(148,101)	(62.21)
Oneida	118,755	60,141	97.46	118,755	60,141	58,614	-
Chittenango	145,302	170,909	(14.98)	145,302	170,909	(25,607)	(14.98)
DeWitt	74,298	74,946	(0.86)	74,298	74,946	(648)	(0.86)
Junius Ponds	161,074	158,011	1.94	161,074	158,011	3,063	1.94
Clifton Springs	204,667	78,500	160.72	204,667	78,500	126,167	-
Ontario	58,506	118,235	(50.52)	58,506	118,235	(59,729)	(50.52)
Pembroke	165,961	64,952	155.51	165,961	64,952	101,009	-
Clarence	155,127	62,432	148.47	155,127	62,432	92,695	-
Angola E	47,625	103,923	(54.17)	47,625	103,923	(56,298)	(54.17)
Angola W	56,859	96,202	(40.90)	56,859	96,202	(39,343)	(40.90)
TOTAL GALLONS	2,071,914	1,916,038	8.14	2,071,914	1,916,038	155,876	-
DUNNE-MANNING, INC							
Malden	79,539	230,525	(65.50)	79,539	230,525	(150,986)	(65.50)
New Baltimore	316,666	156,815	101.94	316,666	156,815	159,851	-
Guilderland	55,506	111,912	(50.40)	55,506	111,912	(56,406)	(50.40)
Pattersonville	96,509	170,978	(43.55)	96,509	170,978	(74,469)	(43.55)
Mohawk	65,653	102,348	(35.85)	65,653	102,348	(36,695)	(35.85)
Indian Castle	135,121	170,949	(20.96)	135,121	170,949	(35,828)	(20.96)
Iroquois	166,812	69,407	140.34	166,812	69,407	97,405	-
Schuyler	62,010	121,208	(48.84)	62,010	121,208	(59,198)	(48.84)
Warners	79,077	139,999	(43.52)	79,077	139,999	(60,922)	(43.52)
Port Byron	45,999	140,500	(67.26)	45,999	140,500	(94,501)	(67.26)
Seneca	101,605	68,901	47.47	101,605	68,901	32,704	47.47
Scottsville	58,072	135,295	(57.08)	58,072	135,295	(77,223)	(57.08)
TOTAL GALLONS	1,262,569	1,618,837	(22.01)	1,262,569	1,618,837	(356,268)	(22.01)
GRAND TOTALS							
GALLONS	3,334,483	3,534,875	(5.67)	3,334,483	3,534,875	(200,392)	(5.67)

(1) The closure of certain service area restaurant locations has negatively impacted gallons delivered to the gas stations at those locations.
 See Note C for additional information.

LEASE REVENUES								Month		
NEW YORK STATE THRUWAY AUTHORITY								January		
								Year		
								2024		
			CURRENT YEAR - YEAR TO DATE			PREVIOUS YEAR - YEAR TO DATE				
Leasee Lease Number - Lease Term	Lease Revenue	Interest Income	Total Revenue	Lease Revenue	Interest Income	Total Revenue	Change in Total Revenue	% of Change		
FIBER OPTIC USER AGREEMENTS/SHORT-TERM USE PERMITS										
Crown Castle Fiber LLC X010623 - 9/30/22-9/29/45	\$ 3,371	\$ -	\$ 3,371	\$ 3,371	\$ -	\$ 3,371	\$ -	-		
FirstLight Fiber, Inc. X010629 - 10/11/22-10/10/42	7,620	5,382	13,002	7,620	5,476	13,096	(94)	(0.72)		
Level 3 Communications, LLC X010619 - 2/18/22-2/17/42	334,259	100,087	434,346	334,259	102,662	436,921	(2,575)	(0.59)		
Level 3 Communications, LLC X010620 - 3/23/22-3/22/27	58,605	1,465	60,070	58,606	1,899	60,505	(435)	(0.72)		
MCI Communications LLC X010624 - 11/22/22-11/21/25	17,592	217	17,809	17,592	418	18,010	(201)	(1.12)		
MCI Communications LLC X010625 - 12/20/22-12/19/32	102,896	28,206	131,102	102,896	30,577	133,473	(2,371)	(1.78)		
Power Authority of the State of New York X010566 - 11/2/21-11/2/41	14,990	5,493	20,483	14,990	5,666	20,656	(173)	(0.84)		
PEG Bandwidth NY Telephone Corp. X010628 - 7/20/22-7/20/42	9,045	5,245	14,290	9,045	5,336	14,381	(91)	(0.63)		
PEG Bandwidth NY Telephone Corp. X010675 - 8/3/22-8/2/27	27,604	2,772	30,376	27,604	3,561	31,165	(789)	(2.53)		
QCSTelecom, Inc. X010630 - 2/18/22-2/17/42	7,212	3,158	10,370	7,212	3,239	10,451	(81)	(0.78)		
Rogers Telecom (US) Inc. X010627 - 9/30/22-9/29/42	89,501	60,101	149,602	89,501	61,143	150,644	(1,042)	(0.69)		
Zayo Group LLC X010622 - 2/18/22-2/17/42	7,336	638	7,974	7,336	654	7,990	(16)	(0.20)		
Total	\$ 680,031	\$ 212,764	\$ 892,795	\$ 680,032	\$ 220,631	\$ 900,663	\$ (7,868)	(0.87)		
PUBLIC-PRIVATE PARTNERSHIP/LEASES - SERVICE AREA RESTAURANTS										
Empire State Thruway Partners, LLC (1) X010535 - 3/30/21-6/30/54										
Rent provisions	\$ 77,968	\$ 75,724	\$ 153,692	\$ 77,967	\$ 75,246	\$ 153,213	\$ 479	0.31		
Investment in new Service Areas	379,024	-	379,024	58,789	-	58,789	320,235	-		
Total	\$ 456,992	\$ 75,724	\$ 532,716	\$ 136,756	\$ 75,246	\$ 212,002	\$ 320,714	151.28		
FUEL STATION LEASES										
Sunoco, Inc. X100845 - 4/1/07-3/31/27	\$ 78,141	\$ 8,455	\$ 86,596	\$ 94,471	\$ 10,270	\$ 104,741	\$ (18,145)	(17.32)		
Dunne-Manning, Inc. X100844 - 4/1/07-3/31/27	161,983	16,703	178,686	161,567	20,289	181,856	(3,170)	(1.74)		
Total	\$ 240,124	\$ 25,158	\$ 265,282	\$ 256,038	\$ 30,559	\$ 286,597	\$ (21,315)	(7.44)		
Total Fiber Optic User Fees	\$ 680,031	\$ 212,764	\$ 892,795	\$ 680,032	\$ 220,631	\$ 900,663	\$ (7,868)	(0.87)		
Total Service Areas	\$ 697,116	\$ 100,882	\$ 797,998	\$ 392,794	\$ 105,805	\$ 498,599	\$ 299,399	60.05		
GRAND TOTALS	\$ 1,377,147	\$ 313,646	\$ 1,690,793	\$ 1,072,826	\$ 326,436	\$ 1,399,262	\$ 291,531	20.83		
(1) Note C.										

NOTES TO FINANCIAL REPORT
NEW YORK STATE THRUWAY AUTHORITY

MONTH January
YEAR 2024

NOTE A - TOTAL REVENUES (pages 1 & 2):

Total revenues for the month are \$77,384,638 an increase of \$11,464,872 or 17.39%. Toll revenues for the month are \$70,436,210 an increase of \$10,224,957 or 16.98%. Total toll revenues increased for January 2024 compared to January 2023 due to a Thruway wide toll adjustment implemented on January 1, 2024.

NOTE B - TOTAL DEPARTMENTAL OPERATING EXPENSES (pages 4 & 10): (continued)

For the year Departmental Operating Expenses are \$37,404,251, an increase of \$5,683,802 or 17.92% compared with January 2023.

The increase is due to several factors. Personal service costs are higher in 2024 due to salary increases included in recently approved collective bargaining agreements as well as other salary actions taken to ensure adequate staffing levels. Snow and ice control costs were also higher in January 2024 due to several winter storm events.

A comparison of General Charges Undistributed for the year is as follows:

	<u>YTD 2024</u>	<u>YTD 2023</u>	<u>CHANGE</u>
Pensions - Funded	\$ 1,658,333	\$ 1,500,000	\$ 158,333
Health Insurance - Retirees - Funded	3,228,194	3,023,245	204,949
Health Insurance - Active Employees	3,040,554	2,489,842	550,712
Employee Benefit Fund	61,160	461,677	(400,517)
Social Security	950,594	836,942	113,652
Compensation Insurance	599,968	600,000	(32)
Survivor's Benefits	9,000	21,000	(12,000)
Benefits Allocated to Other Funds	(661,485)	(595,298)	(66,187)
Insurance Premiums	470,702	375,489	95,213
Claims and Indemnity Expense	1,540	-	1,540
Reimbursement to Civil Service	39,583	40,416	(833)
Professional Services	(9,813)	-	(9,813)
Lease Expense	97,913	25,325	72,588
Environmental Expense	36,644	19,463	17,181
Net Remediation Expense	49,877	-	49,877
Other	64,844	48,999	15,845
Totals	\$ 9,637,608	\$ 8,847,100	\$ 790,508

NOTES TO FINANCIAL REPORT
NEW YORK STATE THRUWAY AUTHORITY

MONTH January
YEAR 2024

NOTE C - SERVICE AREAS (pages 1, 2, 13, 14 & 15):

In January 2021 the Authority entered into a 33-year agreement with Empire State Thruway Partners, LLC (Empire) for the design, construction, finance, operation, and maintenance of the Authority's 27 Service Areas. Empire will rebuild 23 of the 27 service area restaurant buildings and perform significant renovations to the remaining four. Empire's initial investment to rebuild and renovate the service areas is estimated to be \$300 million. Additionally, over the life of the agreement Empire will invest another \$99 million into future renovations and improvements.

The construction work is occurring in two phases as detailed below. Phase 1 began in July 2021 when Empire assumed control of 16 service areas previously operated by HMSHost Corporation and Delaware North Corporation. Phase 2 began in January 2023 when Empire assumed control of the remaining 11 service areas that were operated by McDonald's Corporation until December 2022.

Commencing with the reopening of each new restaurant building, the agreement requires Empire to pay rent calculated as a percentage of gross sales, subject to a guaranteed annual minimum per location. In addition, the agreement has ancillary rent provisions tied to the operation of commercial vehicle fueling stations at 5 of the service areas and advertising opportunities at all service areas. Sales generated by Empire during the operation of a restaurant prior to reconstruction (interim operations) are not subject to rent provisions. Over the life of the agreement, base rent calculated as a percentage of sales is forecasted to be \$85 million, of which \$51 million is guaranteed.

	<u>Service Area</u>	<u>Status</u>	<u>Anticipated/Actual Re-Opening</u>
Phase 1	Indian Castle	Re-opened	August 26, 2022
	Chittenango	Re-opened	September 12, 2022
	Junius Ponds	Re-opened	October 6, 2022
	Iroquois	Re-opened	February 14, 2023
	Clifton Springs	Re-opened	March 30, 2023
	Plattekill	Re-opened	May 3, 2023
	Ardsley	Re-opened	June 8, 2023
	Clarence	Re-opened	June 28, 2023
	New Baltimore	Re-opened	June 28, 2023
	Pembroke	Re-opened	July 13, 2023
	Seneca	Re-opened	September 8, 2023
	Oneida	Re-opened	January 10, 2024
	Sloatsburg	Under Construction	Quarter 3 2024
	Pattersonville	Under Construction	Quarter 3 2024
	Ulster	Under Construction	Quarter 4 2024
	Scottsville	Under Construction	Quarter 2 2024
Phase 2	Schuyler	Re-opened	October 30, 2023
	Port Byron	Re-opened	February 19, 2024
	Warners	Under Construction	Quarter 1 2024
	Ramapo	Under Construction	Quarter 2 2024
	Malden	Under Construction	Quarter 3 2024
	Guilderland	Under Construction	Quarter 2 2024
	Angola	Under Construction	Quarter 1 2025
	Ontario	Under Construction	Quarter 3 2024
	Dewitt	Interim Operations	Quarter 3 2024
	Mohawk	Interim Operations	Quarter 4 2024
	Modena	Interim Operations	Quarter 4 2025

**VEHICLE TRIPS AND MILES
NEW YORK STATE THRUWAY AUTHORITY**

MONTH
January
YEAR
2024

LOCATION / TYPE OF TRAFFIC	PRESENT MONTH			YEAR-TO-DATE		
	CURRENT YEAR	PREVIOUS YEAR	% OF CHANGE	CURRENT YEAR	PREVIOUS YEAR	% OF CHANGE
PASSENGER						
Woodbury to Buffalo, Exits 15-50	13,808,166	14,157,454	(2.47)	13,808,166	14,157,454	(2.47)
Erie Section, Exits 55-61	1,138,063	1,257,542	(9.50)	1,138,063	1,257,542	(9.50)
Grand Island Bridges	776,574	850,668	(8.71)	776,574	850,668	(8.71)
Gov. Mario M. Cuomo Bridge (1)	1,455,940	1,519,053	(4.15)	1,455,940	1,519,053	(4.15)
Yonkers Barrier	1,110,540	1,108,739	0.16	1,110,540	1,108,739	0.16
New Rochelle Barrier (1)	1,351,400	1,381,556	(2.18)	1,351,400	1,381,556	(2.18)
Spring Valley Barrier (1)	6,398	6,274	1.98	6,398	6,274	1.98
Harriman Barrier	1,037,878	1,068,012	(2.82)	1,037,878	1,068,012	(2.82)
	<u>20,684,959</u>	<u>21,349,298</u>	<u>(3.11)</u>	<u>20,684,959</u>	<u>21,349,298</u>	<u>(3.11)</u>
COMMERCIAL						
Woodbury to Buffalo, Exits 15-50	3,458,373	3,443,722	0.43	3,458,373	3,443,722	0.43
Erie Section, Exits 55-61	275,989	278,896	(1.04)	275,989	278,896	(1.04)
Grand Island Bridges	110,685	110,671	0.01	110,685	110,671	0.01
Gov. Mario M. Cuomo Bridge (1)	189,932	191,024	(0.57)	189,932	191,024	(0.57)
Yonkers Barrier	210,230	212,486	(1.06)	210,230	212,486	(1.06)
New Rochelle Barrier (1)	188,775	188,661	0.06	188,775	188,661	0.06
Spring Valley Barrier (1)	157,528	162,137	(2.84)	157,528	162,137	(2.84)
Harriman Barrier	133,776	130,385	2.60	133,776	130,385	2.60
	<u>4,725,288</u>	<u>4,717,982</u>	<u>0.15</u>	<u>4,725,288</u>	<u>4,717,982</u>	<u>0.15</u>
NON-REVENUE						
Woodbury to Buffalo, Exits 15-50	139,326	126,788	9.89	139,326	126,788	9.89
Erie Section, Exits 55-61	16,243	11,524	40.95	16,243	11,524	40.95
Grand Island Bridges	5,429	5,188	4.65	5,429	5,188	4.65
Gov. Mario M. Cuomo Bridge (1)	5,994	9,739	(38.45)	5,994	9,739	(38.45)
Yonkers Barrier	6,311	5,771	9.36	6,311	5,771	9.36
New Rochelle Barrier (1)	3,841	3,291	16.71	3,841	3,291	16.71
Spring Valley Barrier (1)	930	1,666	(44.18)	930	1,666	(44.18)
Harriman Barrier	5,654	5,167	9.43	5,654	5,167	9.43
	<u>183,728</u>	<u>169,134</u>	<u>8.63</u>	<u>183,728</u>	<u>169,134</u>	<u>8.63</u>
COMMUTER						
Woodbury to Buffalo, Exits 15-50	402,167	418,644	(3.94)	402,167	418,644	(3.94)
Erie Section, Exits 55-61	42,605	45,915	(7.21)	42,605	45,915	(7.21)
Grand Island Bridges	621,621	668,965	(7.08)	621,621	668,965	(7.08)
Gov. Mario M. Cuomo Bridge (1)	359,734	363,119	(0.93)	359,734	363,119	(0.93)
Yonkers Barrier	45,334	45,121	0.47	45,334	45,121	0.47
New Rochelle Barrier (1)	20,531	20,947	(1.99)	20,531	20,947	(1.99)
Spring Valley Barrier (1)	-	-	-	-	-	-
Harriman Barrier	104,244	108,804	(4.19)	104,244	108,804	(4.19)
	<u>1,596,236</u>	<u>1,671,515</u>	<u>(4.50)</u>	<u>1,596,236</u>	<u>1,671,515</u>	<u>(4.50)</u>
TOTAL TRIPS	27,190,211	27,907,929	(2.57)	27,190,211	27,907,929	(2.57)
VEHICLE MILES						
Exits 15-61	388,588,728	402,181,378	(3.38)	388,588,728	402,181,378	(3.38)
New York Division Bridges and Barriers (est)	115,515,483	119,108,189	(3.02)	115,515,483	119,108,189	(3.02)
Williamsville-Lackawanna	24,774,972	27,313,265	(9.29)	24,774,972	27,313,265	(9.29)
Buffalo Division Bridges (est) (2)	15,088,800	16,381,420	(7.89)	15,088,800	16,381,420	(7.89)
Non-Revenue Bridges & Barriers	436,347	392,294	11.23	436,347	392,294	11.23
Non-Revenue Exits 15-61	1,988,914	1,319,177	50.77	1,988,914	1,319,177	50.77
TOTAL MILES	546,393,244	566,695,723	(3.58)	546,393,244	566,695,723	(3.58)
AVERAGE TRIP LENGTH ON TOLL TICKET SYSTEM						
Passenger	19.11	19.35	(1.24)	19.11	19.35	(1.24)
Commercial	25.28	25.50	(0.86)	25.28	25.50	(0.86)
Overall	20.32	20.52	(0.97)	20.32	20.52	(0.97)

(1) Statistics reflect one way traffic, two way miles.

(2) Includes South Grand Island Bridge and North Grand Island Bridge.

**E-ZPASS VEHICLE TRIPS
NEW YORK STATE THRUWAY AUTHORITY**

MONTH
January
YEAR
2024

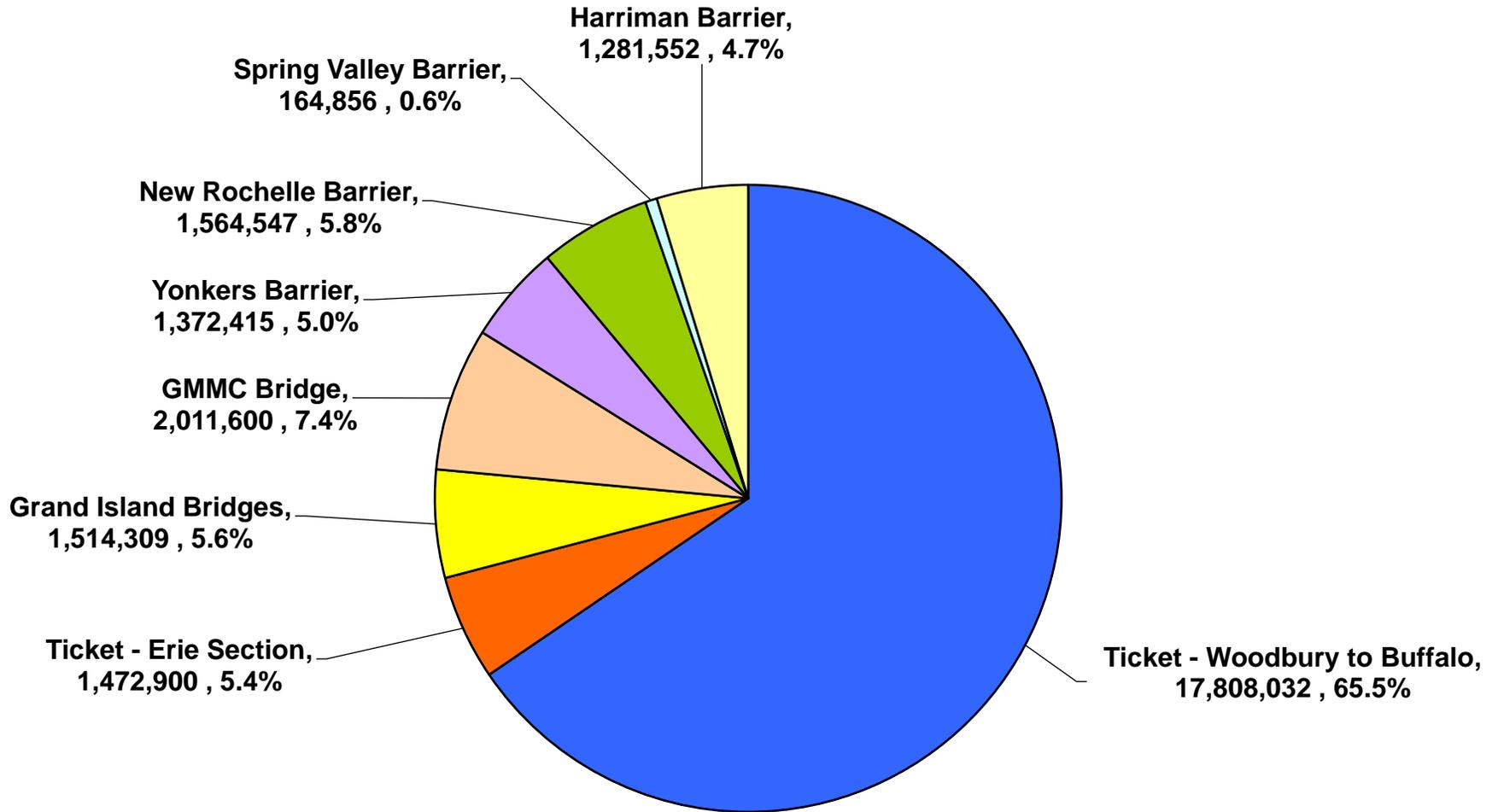
LOCATION / TYPE OF TRAFFIC	PRESENT MONTH			YEAR-TO-DATE		
	CURRENT YEAR	PREVIOUS YEAR	% OF CHANGE	CURRENT YEAR	PREVIOUS YEAR	% OF CHANGE
<u>PASSENGER</u>						
Woodbury to Buffalo, Exits 15-50	11,748,125	12,079,346	(2.74)	11,748,125	12,079,346	(2.74)
Erie Section, Exits 55-61	927,958	1,030,062	(9.91)	927,958	1,030,062	(9.91)
Grand Island Bridges	548,313	597,588	(8.25)	548,313	597,588	(8.25)
Gov. Mario M. Cuomo Bridge	1,296,998	1,357,205	(4.44)	1,296,998	1,357,205	(4.44)
Yonkers Barrier	1,010,492	1,010,880	(0.04)	1,010,492	1,010,880	(0.04)
New Rochelle Barrier	1,189,131	1,214,931	(2.12)	1,189,131	1,214,931	(2.12)
Spring Valley Barrier	4,359	4,388	(0.66)	4,359	4,388	(0.66)
Harriman Barrier	936,001	966,985	(3.20)	936,001	966,985	(3.20)
	<u>17,661,377</u>	<u>18,261,385</u>	<u>(3.29)</u>	<u>17,661,377</u>	<u>18,261,385</u>	<u>(3.29)</u>
<u>COMMERCIAL</u>						
Woodbury to Buffalo, Exits 15-50	3,126,790	3,162,502	(1.13)	3,126,790	3,162,502	(1.13)
Erie Section, Exits 55-61	245,037	252,458	(2.94)	245,037	252,458	(2.94)
Grand Island Bridges	99,315	101,317	(1.98)	99,315	101,317	(1.98)
Gov. Mario M. Cuomo Bridge	169,666	171,890	(1.29)	169,666	171,890	(1.29)
Yonkers Barrier	191,240	193,966	(1.41)	191,240	193,966	(1.41)
New Rochelle Barrier	168,383	170,230	(1.09)	168,383	170,230	(1.09)
Spring Valley Barrier	143,314	148,528	(3.51)	143,314	148,528	(3.51)
Harriman Barrier	122,117	120,719	1.16	122,117	120,719	1.16
	<u>4,265,862</u>	<u>4,321,610</u>	<u>(1.29)</u>	<u>4,265,862</u>	<u>4,321,610</u>	<u>(1.29)</u>
<u>NON-REVENUE</u>						
Woodbury to Buffalo, Exits 15-50	139,326	126,788	9.89	139,326	126,788	9.89
Erie Section, Exits 55-61	16,243	11,524	40.95	16,243	11,524	40.95
Grand Island Bridges	5,429	5,188	4.65	5,429	5,188	4.65
Gov. Mario M. Cuomo Bridge	5,994	9,739	(38.45)	5,994	9,739	(38.45)
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	<u>183,728</u>	<u>169,134</u>	<u>8.63</u>	<u>183,728</u>	<u>169,134</u>	<u>8.63</u>
<u>COMMUTER</u>						
Woodbury to Buffalo, Exits 15-50	402,167	418,644	(3.94)	402,167	418,644	(3.94)
Erie Section, Exits 55-61	42,605	45,915	(7.21)	42,605	45,915	(7.21)
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Spring Valley Barrier	-	-	-	-	-	-
Harriman Barrier	104,244	108,804	(4.19)	104,244	108,804	(4.19)
	<u>1,596,236</u>	<u>1,671,515</u>	<u>(4.50)</u>	<u>1,596,236</u>	<u>1,671,515</u>	<u>(4.50)</u>
TOTAL TRIPS	23,707,203	24,423,644	(2.93)	23,707,203	24,423,644	(2.93)
% of E-ZPass Trips to Total Trips	87.19	87.52		87.19	87.52	

**E-ZPASS REVENUE
NEW YORK STATE THRUWAY AUTHORITY**

MONTH
January
YEAR
2024

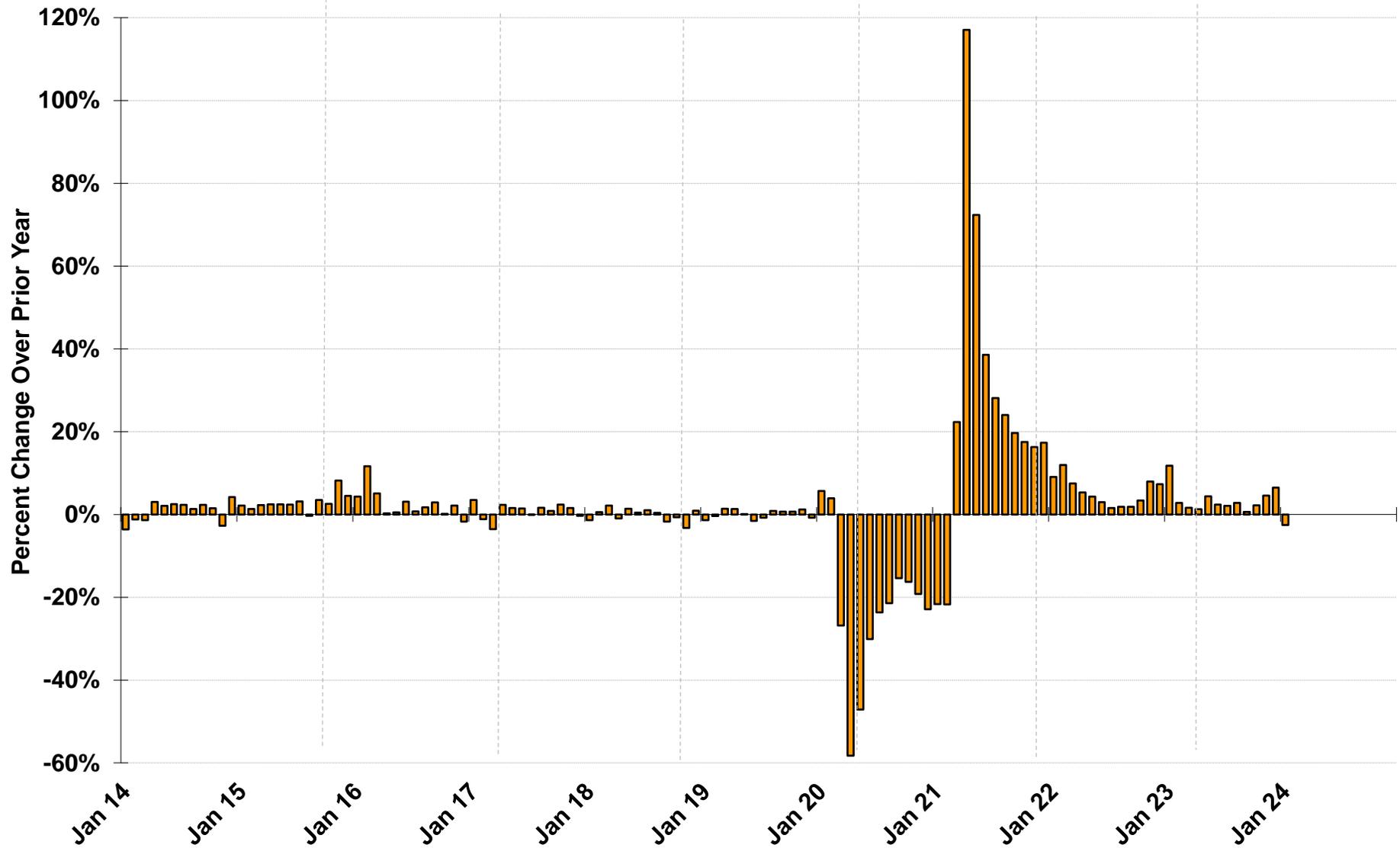
LOCATION / TYPE OF TRAFFIC	PRESENT MONTH			YEAR-TO-DATE		
	CURRENT YEAR	PREVIOUS YEAR	% OF CHANGE	CURRENT YEAR	PREVIOUS YEAR	% OF CHANGE
<u>PASSENGER</u>						
Exits 15-61	\$ 14,392,422	\$ 13,144,959	9.49	\$ 14,392,422	\$ 13,144,959	9.49
Grand Island Bridges	666,181	617,214	7.93	666,181	617,214	7.93
Gov. Mario M. Cuomo Bridge	10,473,599	8,393,492	24.78	10,473,599	8,393,492	24.78
Yonkers Barrier	1,398,882	1,278,131	9.45	1,398,882	1,278,131	9.45
New Rochelle Barrier	2,497,630	2,169,555	15.12	2,497,630	2,169,555	15.12
Spring Valley Barrier	17,094	14,209	20.30	17,094	14,209	20.30
Harriman Barrier	<u>1,396,626</u>	<u>1,235,034</u>	<u>13.08</u>	<u>1,396,626</u>	<u>1,235,034</u>	<u>13.08</u>
	30,842,434	26,852,594	14.86	30,842,434	26,852,594	14.86
<u>COMMERCIAL</u>						
Exits 15-61	23,466,283	19,810,090	18.46	23,466,283	19,810,090	18.46
Grand Island Bridges	375,369	328,075	14.42	375,369	328,075	14.42
Gov. Mario M. Cuomo Bridge	6,900,781	5,681,227	21.47	6,900,781	5,681,227	21.47
Yonkers Barrier	644,361	513,666	25.44	644,361	513,666	25.44
New Rochelle Barrier	1,091,710	932,814	17.03	1,091,710	932,814	17.03
Spring Valley Barrier	1,485,127	1,186,519	25.17	1,485,127	1,186,519	25.17
Harriman Barrier	<u>445,304</u>	<u>357,544</u>	<u>24.55</u>	<u>445,304</u>	<u>357,544</u>	<u>24.55</u>
	34,408,935	28,809,935	19.43	34,408,935	28,809,935	19.43
<u>COMMUTER</u>						
Exits 15-61	254,056	259,619	(2.14)	254,056	259,619	(2.14)
Grand Island Bridges	193,203	199,661	(3.23)	193,203	199,661	(3.23)
Gov. Mario M. Cuomo Bridge	1,801,880	1,669,760	7.91	1,801,880	1,669,760	7.91
Yonkers Barrier	54,317	50,361	7.86	54,317	50,361	7.86
New Rochelle Barrier	51,737	47,370	9.22	51,737	47,370	9.22
Spring Valley Barrier	-	-	-	-	-	-
Harriman Barrier	<u>92,758</u>	<u>89,250</u>	<u>3.93</u>	<u>92,758</u>	<u>89,250</u>	<u>3.93</u>
	2,447,951	2,316,021	5.70	2,447,951	2,316,021	5.70
TOTAL REVENUE	67,699,320	57,978,550	16.77	67,699,320	57,978,550	16.77
% of E-ZPass Trips to Total Revenue	89.29	93.30		89.29	93.30	

2024 YTD Total Thruway Trips, By Location



Percent Change in Monthly Thruway Traffic

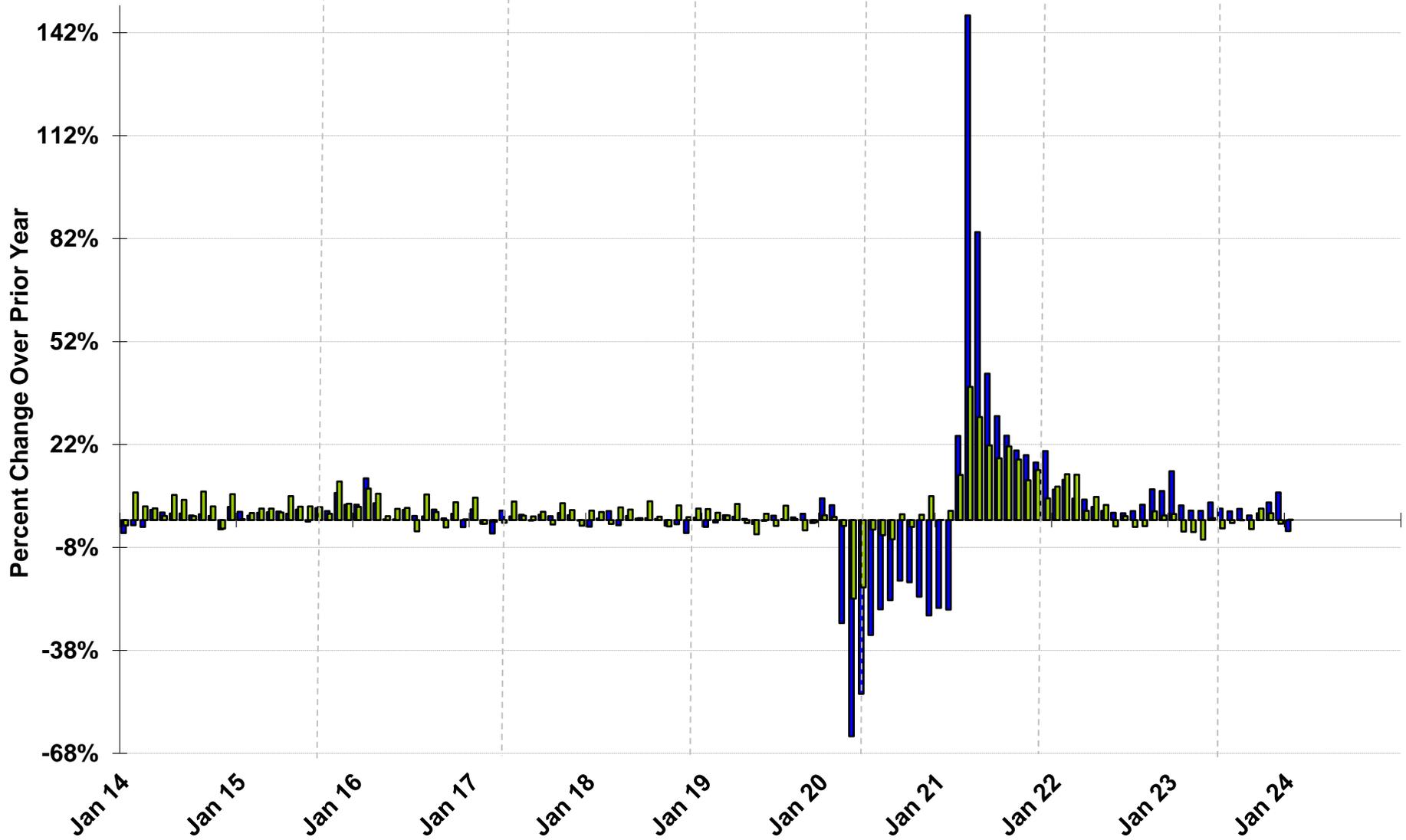
Total Passenger and Commercial Revenue Trips



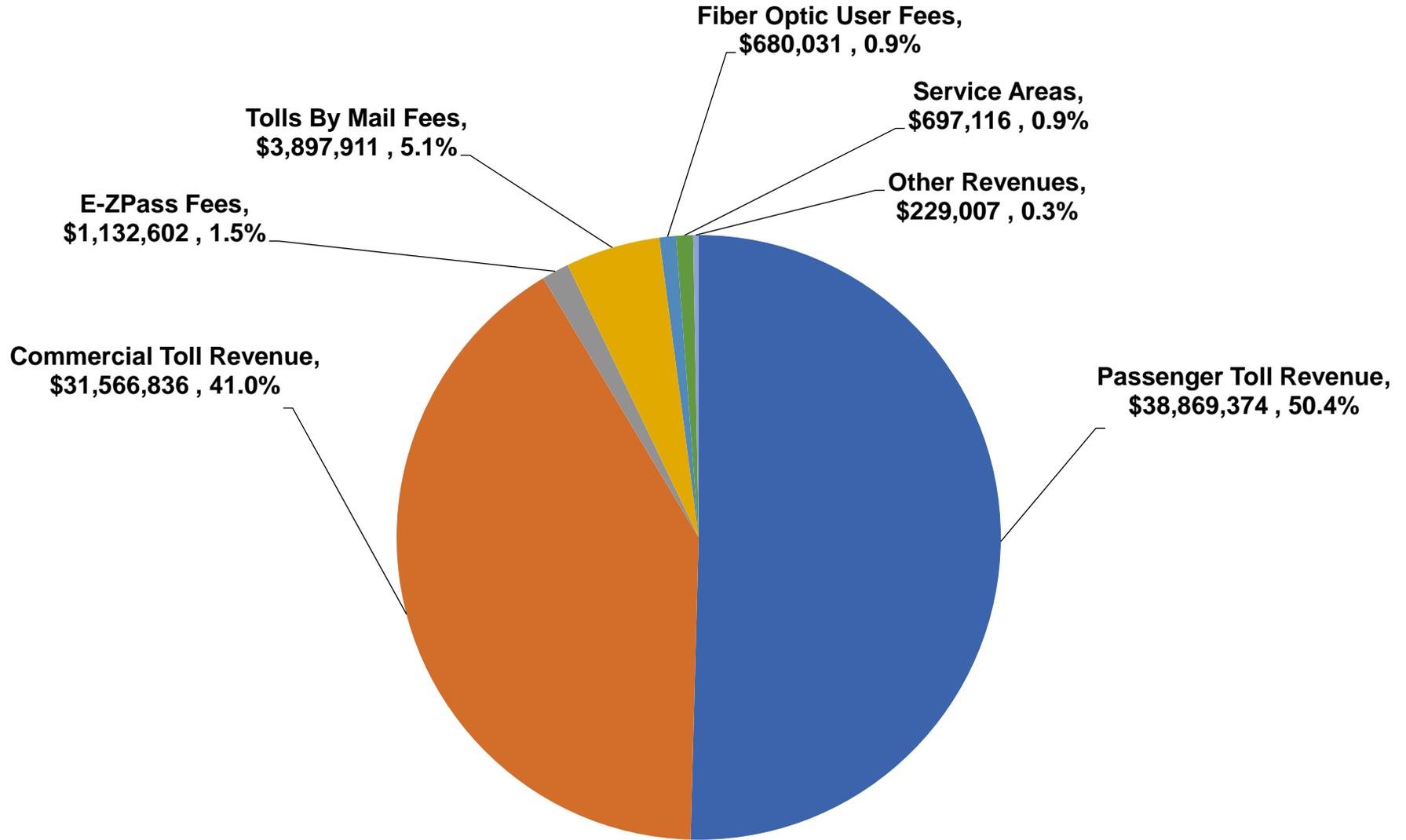
Percent Change in Monthly Thruway Traffic

By Passenger and Commercial Revenue Trips

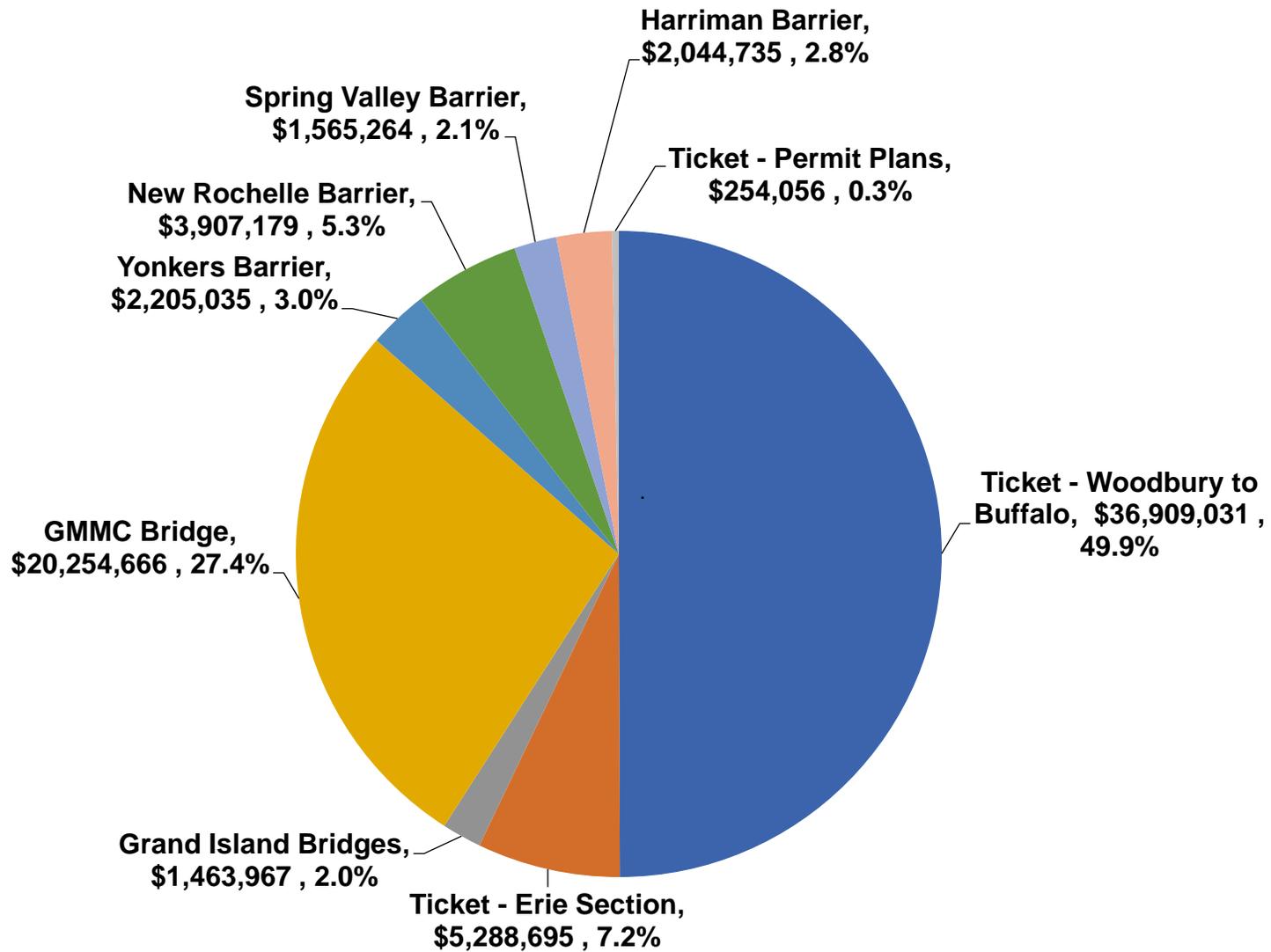
■ Passenger ■ Commercial



2024 YTD Operating Revenue

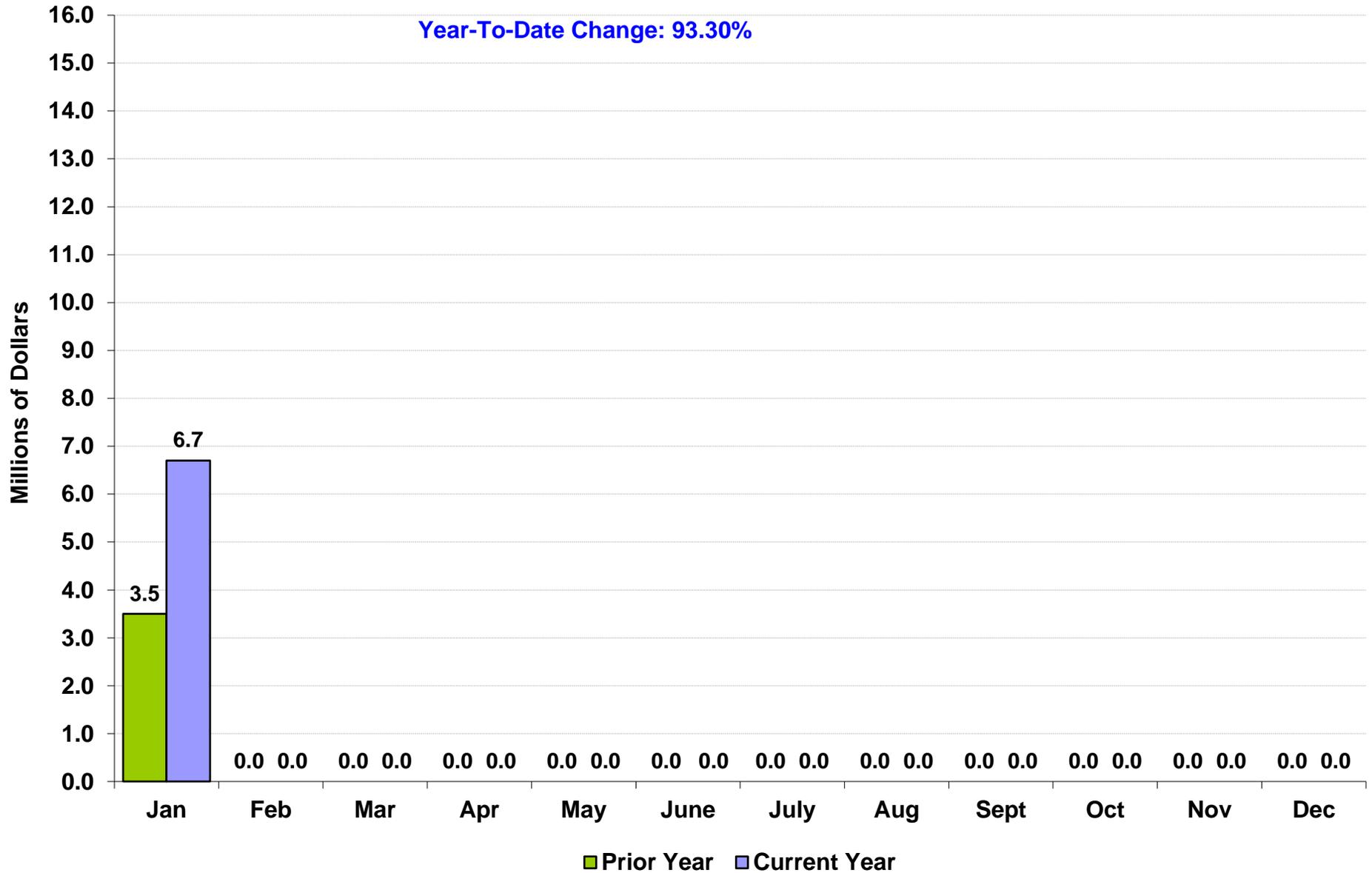


2024 YTD Toll Collections, By Location

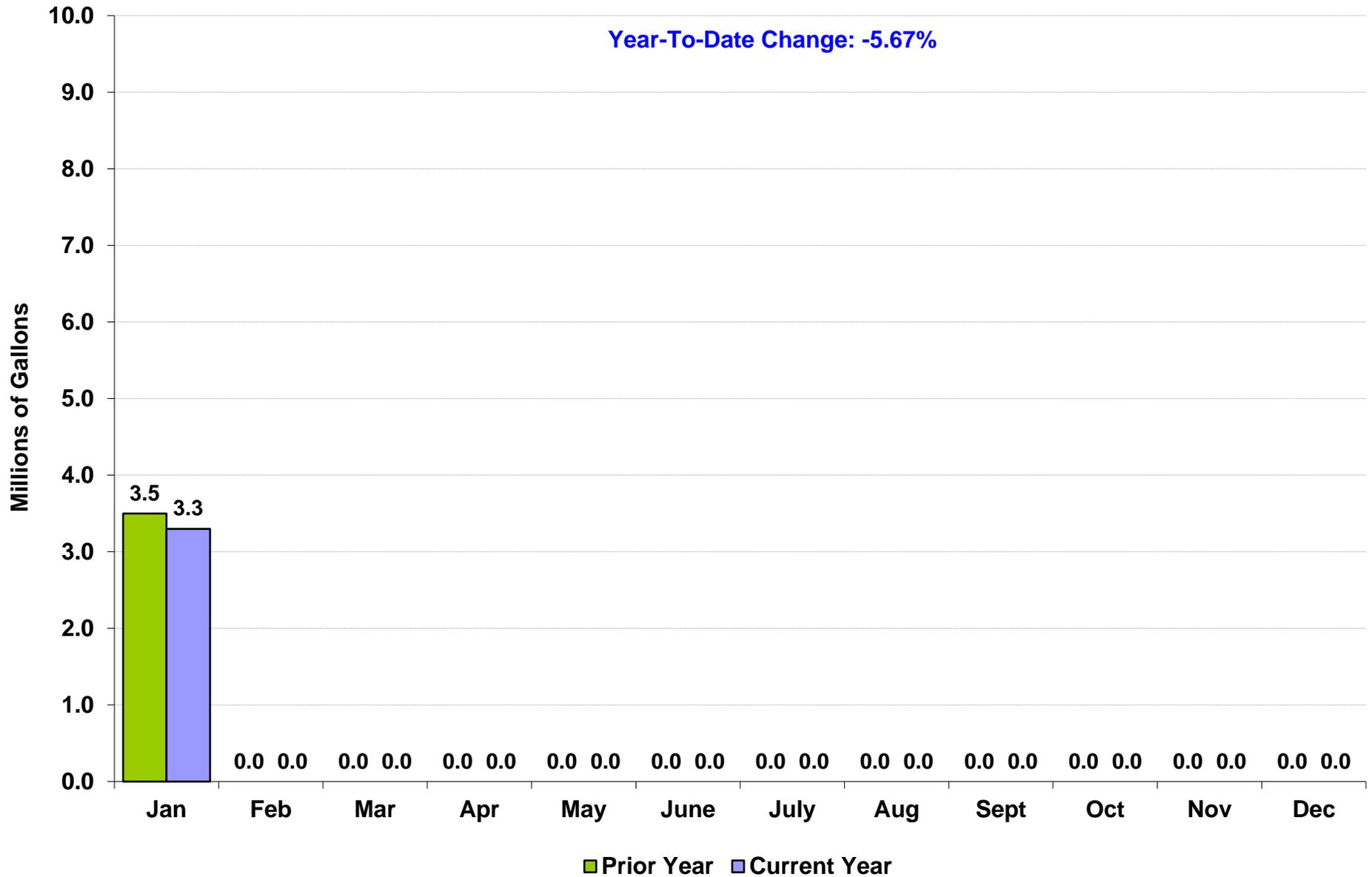


Note: Excludes Impact of Commercial Volume Discount Program.

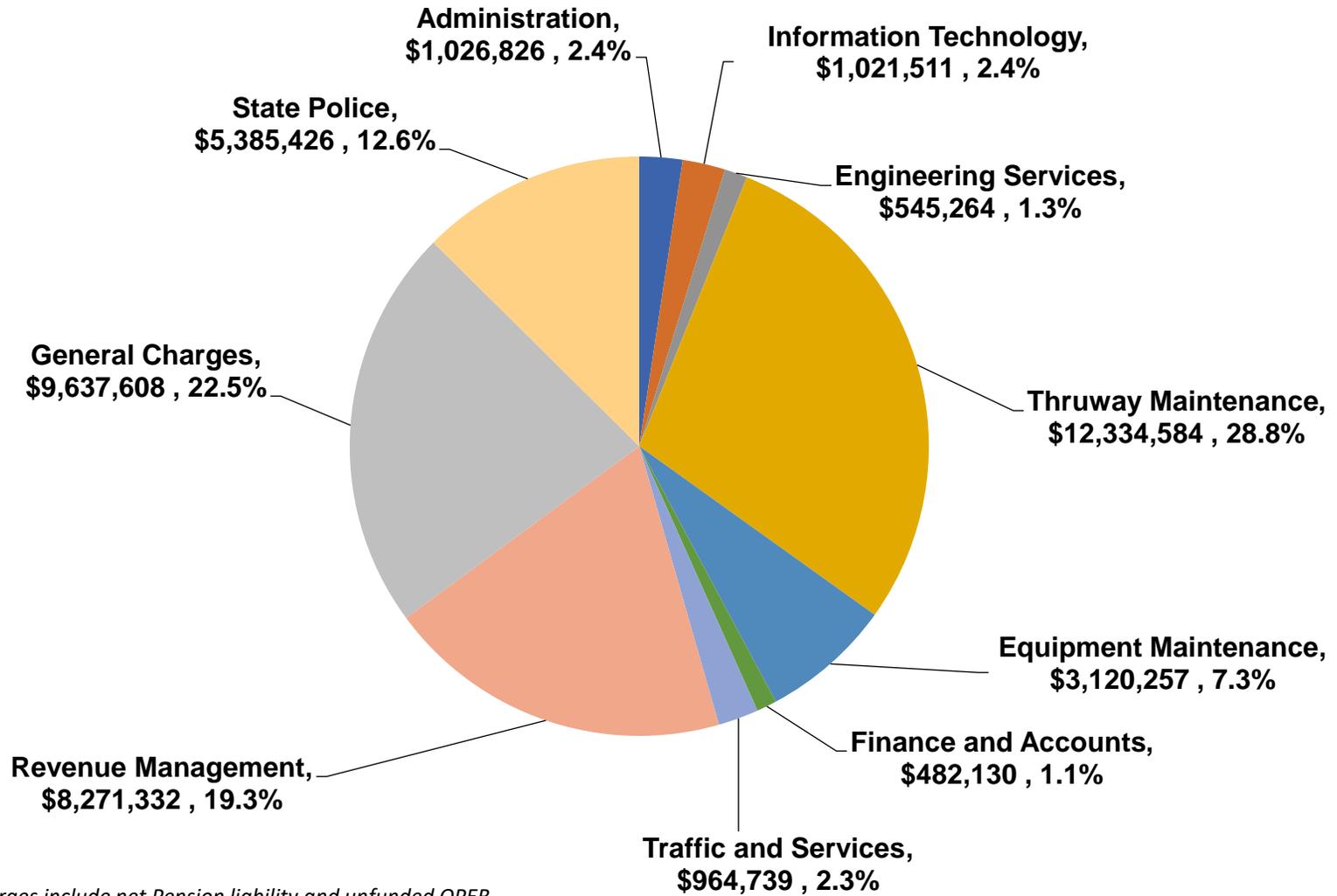
Restaurant Sales at Service Plazas



Fuel Deliveries to Service Areas



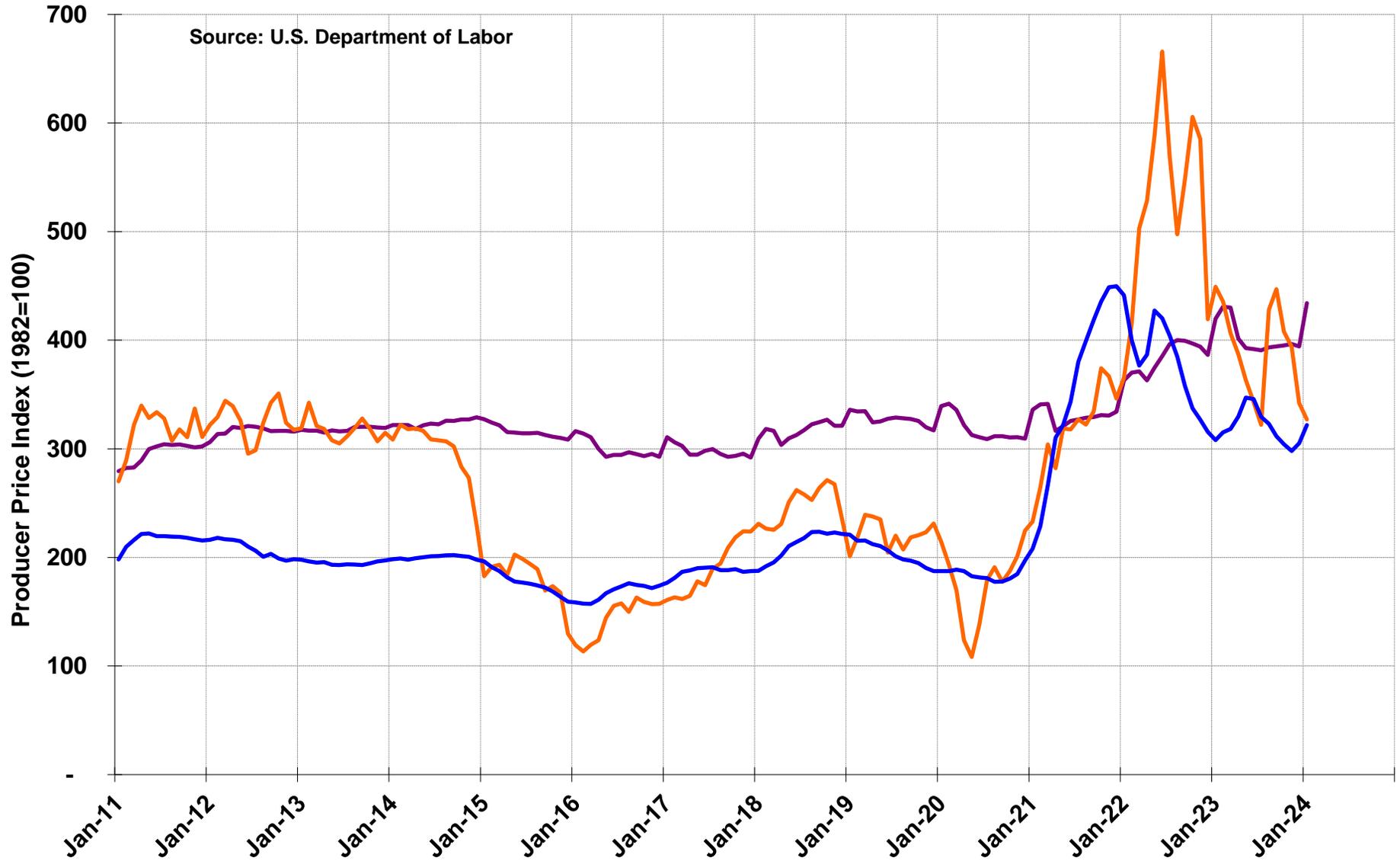
2024 YTD Operating Expenses



Note: General Charges include net Pension liability and unfunded OPEB

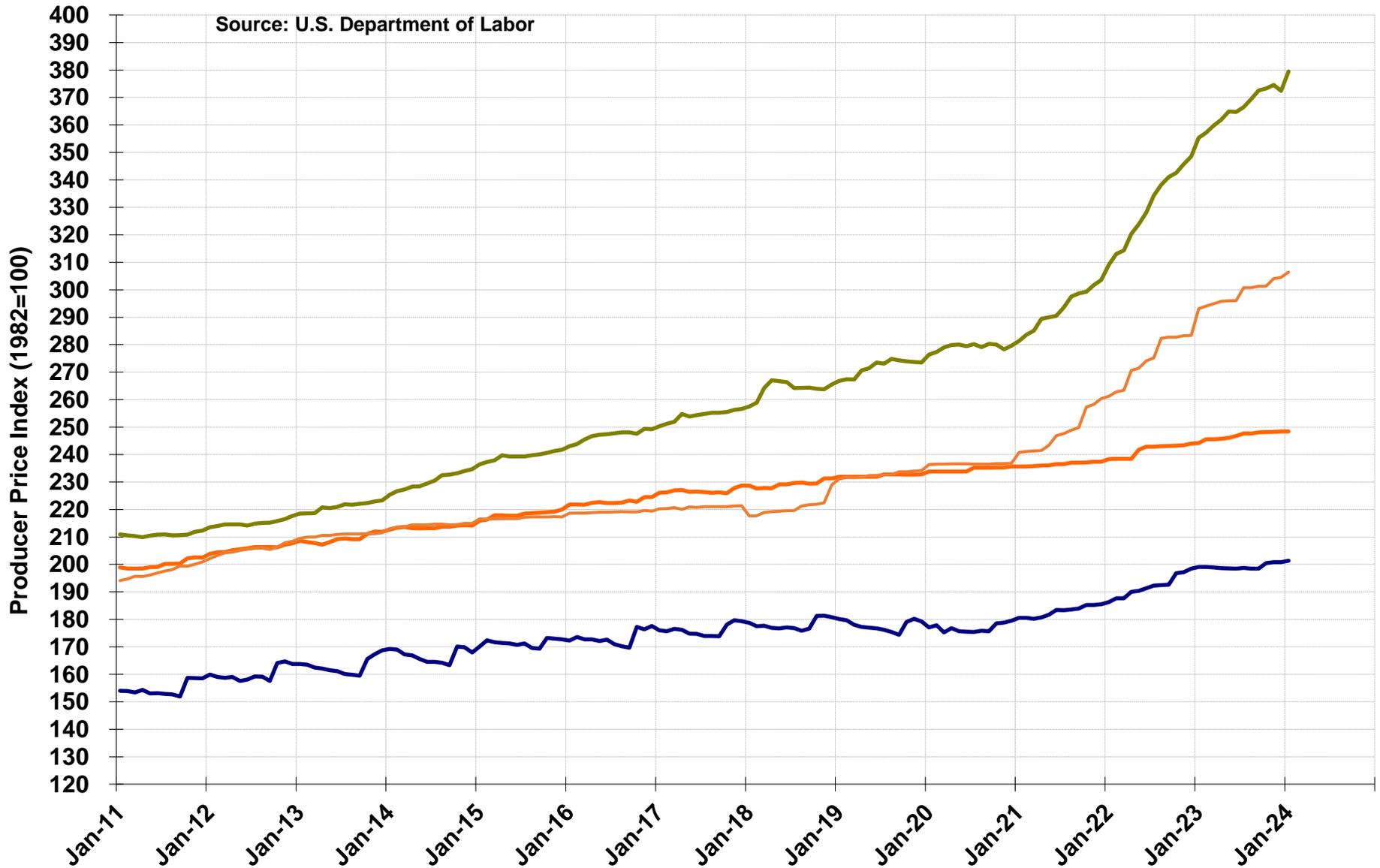
Producer Prices: Construction Inputs

Asphalt Paving Mixtures No. 2 Diesel Fuel Steel Mill Products

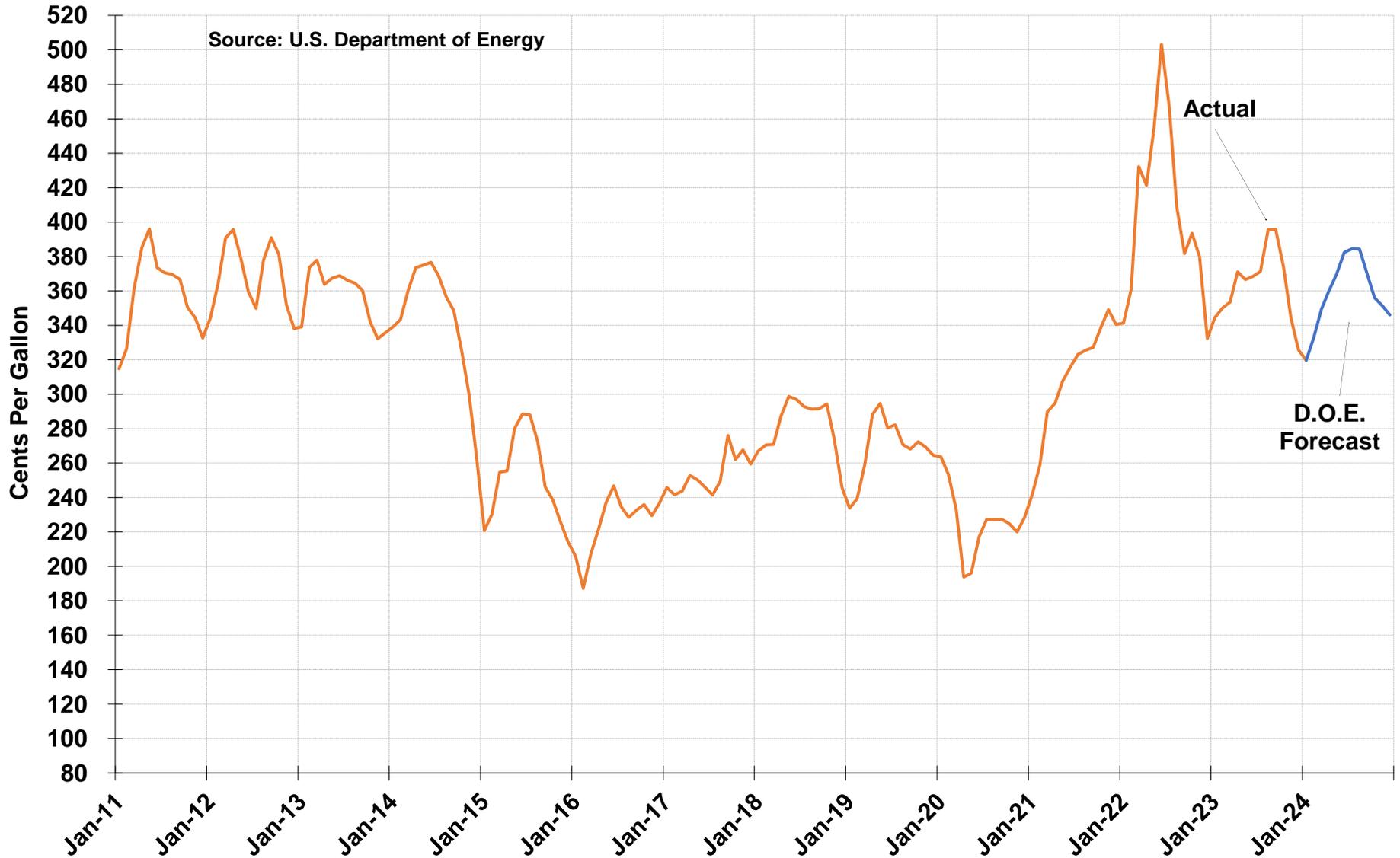


Producer Prices: Construction Inputs

Concrete Products Light Motor Trucks Heavy Trucks Const. Machinery & Equipment



Average Retail Price of Gasoline in U.S. All Grades



TO: The Finance Committee

DATE: April 9, 2024

FROM: David Malone
Chief Financial Officer

SUBJECT: Review and Approval of the Authority's Annual Investment Report

In accordance with Section 2925 of the Public Authorities Law, the Authority is required to annually prepare, approve and submit to the New York State Division of the Budget a report on investments which includes the guidelines for making investments, the results of the annual independent audit, the investment income record and a list of the total fees, commissions or other charges paid to each investment banker, agent, dealer and adviser rendering investment associated services since the last report. A copy of this report must be submitted to the Office of the State Comptroller, the Senate Finance Committee and the Assembly Ways and Means Committee.

Exhibit A is the policy statement FINANCIAL INVESTMENTS. This policy was last approved at Board Meeting 757 on March 27, 2023 and there have been no updates since.

Exhibit B covers the annual independent audit by BST, LLC and Exhibit C is a listing of investment income for the calendar year 2023. The Authority does not pay fees or commissions on its investment transactions.

The Thruway Authority is responsible for the security and investment of all monies held in the various funds established by bond resolutions. The specific bond resolutions authorize the Authority to perform this function.

Authority funds are invested in accordance with the policy statement, investment guidelines issued by the State Comptroller and the respective bond resolutions. Funds administered by the Authority may be invested in obligations of or guaranteed by the United States

of America or the State of New York (other than obligations of the Authority), debt of state or local governments, agencies of the federal government, commercial paper, bankers' acceptances, time deposits and repurchase agreements. All bond resolutions permit such investments.

The policy statement FINANCIAL INVESTMENTS, attached hereto, provides the basic rules and structure for the investment of funds by the New York State Thruway Authority. The policy states the investment objectives and provides the guidelines for making investments and administering the program.

The Department of Finance and Accounts has reviewed the policy statement and believes that it meets all requirements established by the State Legislature and the Investment Guidelines for Public Authorities developed by the Office of the State Comptroller.

RECOMMENDATION:

It is recommended that the Finance Committee approve this item and authorize Authority staff to present this item to the Authority Board.

 Thruway Authority	NUMBER: 25-4-01	
ADMINISTRATIVE MANUAL	SECTION: POLICIES - FINANCIAL	
FROM INTERIM CHIEF FINANCIAL OFFICER	SUBJECT: INVESTMENTS	
DocuSigned by: <i>Karen Osborn</i>	3/30/2023	Page 1 of 14
CE83A5E37349446...	DATE	PAGE

ADMINISTRATIVE POLICY

A. General Information

1. All Thruway Authority funds authorized in Section 502 of the General Revenue Bond Resolution adopted August 3, 1992, as amended January 5, 2007, are applicable to the production of investment income. These funds are either administered by the Authority or by the appointed Trustee.
 - a. Sections 503-514 of the General Revenue Bond Resolution describe the purpose of these funds and provide for the investment of such funds.
 - b. Section 1303 of the General Revenue Bond Resolution includes the authorization to place revenues and other moneys of the Authority held or set aside under the Resolution in time deposits as well as demand deposits. Such deposits shall be secured by direct obligations of the United States of America or the State of New York of a market value at least equal to the amount of such deposits.
2. Sections 502-511 of the Local Highway and Bridge Service Contract Bond Resolution, adopted August 23, 1991, as further amended and supplemented on September 17, 2003, describe the purpose of these funds. Sections 701 and 702 provide for the security and investment of such funds to be administered by the Thruway Authority.
3. Sections 503-511 of the Highway and Bridge Trust Fund Bond Resolution, adopted May 19, 1994, describe the purpose of these funds. Sections 701 and 702 provide for the security and investment of such funds to be administered by the Thruway Authority.
4. Sections 503-510 of the State Personal Income Tax Revenue Bonds General Bond Resolution, adopted June 27, 2002, as amended and supplemented on June 6, 2022, describe the purpose of these funds. Section A-701 of Annex A, which contains the State Personal Income Tax Revenue Bonds Standard Resolution Provisions, provides for the security and investment of such funds to be administered by the Thruway Authority.
5. Sections 503-511 of the Second Highway and Bridge Trust Fund Bond Resolution, adopted February 27, 2003, describe the purpose of these funds. Sections 701 and 702 provide for the security and investment of such funds to be administered by the Thruway Authority.

SECTION: **POLICIES - FINANCIAL****Thruway
Authority**NUMBER: **25-4-01**SUBJECT: **INVESTMENTS**

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B. Investment of Funds Administered by the Authority

1. In accordance with Chapter 330 of the Laws of 1991, moneys of the Authority and funds administered by the Authority may be invested in obligations in which the Comptroller may invest pursuant to Section 98-a of the State Finance Law. Investments in commercial paper, agencies of the federal government and bankers acceptances are now permitted by statute if defined in the authorizing bond resolution. All Bond Resolutions permit such investments.
2. The Authority may sell such investments at any time.
3. Interest earned is credited to the appropriate fund in accordance with the respective Bond Resolution.

C. Investment of Funds Administered by the Trustee

1. The following funds administered by the Trustee are invested by the Trustee, if, and as the Authority directs, pursuant to the respective Bond Resolution:
 - a. Senior Debt Service Reserve Fund;
 - b. Senior Debt Service Fund;
 - c. Junior Indebtedness Debt Service Reserve Account;
 - d. Junior Indebtedness Debt Service Payment Account;
 - e. Local Highway and Bridge Service Contract Bond Service Funds;
 - f. Highway and Bridge Trust Fund Debt Service Fund;
 - g. State Personal Income Tax Revenue Bonds Debt Service Fund.
2. The Trustee sells any of such investments if directed by the Authority.
3. Interest earned on all funds is credited to the appropriate fund in accordance with the respective Bond Resolution.

SECTION: **POLICIES - FINANCIAL****Thruway
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D. Investment Objectives

The basic investment objectives of the Thruway Authority provide for:

1. The protection of funds by investing as outlined by the Bond Resolutions (see Section A above);
2. The preservation of principal;
3. Proper application of funds;
4. The maintenance of demand bank balances at minimum levels consistent with sound operations;
5. The maximization of income from all Authority funds available for investment.

E. Investment Practices

1. Authority Bond Resolutions define investment obligations. Currently, in accordance with Chapter 330 of the Laws of 1991, moneys of the Authority may be invested in obligations in which the Comptroller may invest pursuant to Section 98-a of the State Finance Law.

Demand and time deposits can be placed with a bank or trust company as defined by law and must be secured by direct obligations of the United States or of the State of New York having a market value at least equal to the deposits. These statutory investment requirements serve as the basic guidelines followed for all Authority investments.

2. The Authority has a designated custodian and third-party fiduciary of collateral held in escrow for demand and time deposits.

The Authority also has designated an institution to hold in safekeeping securities purchased by the Authority, including securities purchased under repurchase agreements, for all funds except Debt Service and Debt Service Reserve Funds. The safekeeping of securities for these funds is administered by the Trustee.

3. It is the Authority's policy to purchase securities with the intention of holding them to maturity. In addition to the security of the funds invested, the goal of the investment program is to maximize investment income through interest earnings.

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4. The factors considered for each investment made by the Authority are as follows:

a. Maximizing Interest Income

Knowledge of market conditions is maintained daily. Current interest yields by type of investment together with forecasts of future interest trends are reviewed and analyzed to determine investment policy that will maximize earnings. As a result, the Authority's concentration of investments may vary depending on market yields of various types of investment and the availability of collateral.

b. Cash Disbursement Requirements

To insure cash availability, near term and annual disbursement requirements are continually updated. Monthly cash requirements for operating expenses are projected and forecasts of capital expenditures and reserve maintenance disbursements are made. Investments for one month or less are made in time deposits, discount notes, commercial paper, repurchase agreements and Treasury bills with maturities coinciding with payroll dates and projected payment needs. Longer term investments are also made in commercial paper, time deposits and U.S. Treasuries or government agencies with maturities allowing for cash availability during the construction season, on debt service payment dates and other periods requiring large cash availability. Funds are also available in the Authority's checking accounts for current disbursement requirements.

c. Term of Investments

Market conditions and cash flow requirements are considered in determining the term of an investment. In addition, rates offered by institutions are often contingent on the length of time funds are required by them. As a result, depositories may request a specific term for the investment which could be agreeable to the Authority because of the attractive rate offered. The term of an investment is also influenced by the maturity dates of other investments within the fund. An over concentration of short or long-term maturities within some funds is avoided.

d. Type of Investment and Amount Available for Investment

- Each type of investment is typically associated with a different minimum amount. Treasury bills, Treasury notes and Treasury bonds require a minimum purchase of \$100 and then can be purchased in increments of \$100. Agency issues require a minimum purchase of \$1,000 and then can be purchased in increments of \$1,000. (Note: Some agency or Treasury issues may require a minimum purchase of \$5,000.)

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Repurchase agreements generally must be for amounts of at least \$1,000,000 and certificates of deposit start at \$100,000. Usually, Authority investments are made for amounts of at least \$100,000.

- The typical term or maturity for each type of investment varies as well. Treasury bills when first sold usually carry terms of four weeks, three months, six months or one year. Treasury notes when first sold usually carry a maturity of two to ten years while Treasury bonds carry maturities of 30 years.
- Certificates of deposit generally range in term from seven days to one year. Repurchase agreements generally range from overnight to six months. The Authority generally utilizes repurchase agreements for investments normally not exceeding 60 days.
- Also, some institutions have minimum investment amounts required for time deposits and repurchase agreement transactions. Therefore, the amount available for investment may influence the institutions contacted for rate quotations from time to time.

e. Availability of Collateral

U.S. Government securities available for sale under repurchase agreements or available as collateral for time deposits are held in different proportions by banks. Therefore, the availability of appropriate collateral influences the type of investment and the bank in which an investment is placed.

f. Revenue Projections

Near term and annual revenue projections are used as a basis for current investment decisions. Monthly charge account payments and other revenue receipts are anticipated and invested or are used for current disbursements as required. Short-term revenue investments are made for the period prior to the date revenues are required to be paid into the Operating Fund or remitted to the Trustee for allocation as required by Section 504 of the General Revenue Bond Resolution.

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g. Investment Concentration

- Funds in the Senior Debt Service, Senior Debt Service Reserve Funds, the Junior Indebtedness Debt Service Payment Account and the Junior Indebtedness Debt Service Reserve Account must be held by the Trustee. Inasmuch as the balance in the Senior Debt Service Fund and the Junior Indebtedness Debt Service Payment Account represents accrued senior debt service and accrued junior debt service, respectively, for the current year, investments in this fund are generally less than one year.
- The Authority may maintain a higher concentration of deposits in demand accounts if market conditions are such that the earnings rate credit earned on these accounts is higher than what could be earned on permitted investments. (Demand accounts are fully collateralized with the pledged securities held by a third party custodian.)
- The Authority's bond proceeds, operating reserves, retirement funding, debt service and revenue fund balances generally represent almost all of the investment portfolio and are required for near term disbursements. Investments purchased with these funds normally mature within 18 months. As a result, the portfolio relationship of U.S. Treasuries, agencies or time deposits will vary depending on market conditions. Working capital in the Operating Fund is invested in short-term instruments.
- The Authority will maintain an investment concentration in each portfolio not to exceed the percentages noted for the authorized investment instruments. The Chief Financial Officer is authorized to approve any deviation from these limits on a case by case basis.

Category	Concentration Percentages	
	Sector Limit	Issuer Limit as a Percent of Total Portfolio
U.S. Treasury Securities	100%	100%
Municipal Debt	50%	5%
Federal Agencies	85%	40%
Commercial Paper	50%	20%
Repurchase Agreements	50%	25%
Certificates of Deposit	75%	25%
Bankers' Acceptance	25%	5%

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For example, if the Authority had a total of \$100 million in its investment portfolio to be invested, \$85 million could be invested in Federal Agencies of which only \$40 million could be invested in FHLB paper.

- The Authority has established Investment Guidelines that include standards for the diversification of investments both by type and investment institution. These Guidelines are periodically reviewed and approved by the Authority's Chief Financial Officer.

h. Financial Strength of Institutions

- The Authority has established a list of approved banks and broker/dealers. Banks and broker/dealers must meet established criteria and be approved by the Chief Financial Officer to be placed on this list. The list specifies the investment instruments that may be purchased from the approved bank or broker/dealer.
- Investments in time deposits are made only with commercial banks. Their annual reports are reviewed to determine satisfactory financial strength. The required collateral is held by the Authority's third party custodian.
- Purchases of U.S. Treasuries and other securities require the seller to transfer securities to the Authority's custodian. The financial strength of the dealer or bank is not a factor in the purchase of securities inasmuch as they are only the seller of the obligations which are transferred through the Federal Reserve Bank or Depository Trust Company book entry system.
- The Authority enters into repurchase agreements only with dealers or banks on the Federal Reserve's most recent list of primary reporting dealers in government securities and executes a master written agreement with each dealer or bank prior to the initial repurchase transaction.

F. Description of Investments Used by the New York State Thruway Authority

1. U.S. Treasury Securities

a. Treasury Bills

Short-term, direct obligations of the U.S. Government that are usually issued with maturities of four weeks, three months, six months or one year. Bills are issued in amounts of \$100 and up in multiples of \$100. Bills do not bear a stated interest rate; the interest is calculated by taking the difference between the discount price paid at purchase and the face amount (par) collected at maturity.

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b. Treasury Notes

Direct obligations of the U.S. Government to pay a specified rate of interest for a specified period of time on the face value (par) of the instrument. Interest is paid semi-annually and the life of notes is usually limited to ten years or under. Treasury notes can usually be purchased in \$100 denominations and require a \$100 minimum purchase.

c. Treasury Bonds

Direct obligations of the U.S. Government to pay a specified rate of interest for a specified period of time on the face value (par) of the bond. Interest is paid semi-annually and the life of the bonds is 30 years. Treasury bonds can usually be purchased in \$100 denominations and require a \$100 minimum purchase.

2. Federal Agencies

Obligations sponsored or guaranteed by government agencies and not direct obligations of the United States. Securities invested in may include bonds with a stated coupon for a fixed period of time paying interest at maturity or discount notes. Discount notes, the more common, are sold at a discount from par and earn interest on the basis of the actual number of days until maturity. At the time of investment, such agencies or its obligations must be rated at the highest rating of all independent rating agencies. In addition, no more than \$250,000,000 may be invested in the obligations of any one agency.

3. Municipal Debt

Obligations of state or local government municipal bond issuers which are rated in one of the two highest Rating Categories by S&P and Moody's or obligations of state or local government municipal bond issuers, the principal of and interest on which, when due and payable, have been insured by an insurance policy or guaranteed by a letter of credit and which are rated in one of the two highest Rating Categories by S&P and Moody's.

4. Certificate of Deposit

A negotiable or non-negotiable receipt from a bank for deposit of funds for a specified period of time at a specified rate of interest. Certificates of deposit generally range from seven days to one year and the minimum amount is \$100,000.

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5. Repurchase Agreement

Consists of a primary reporting bank or dealer selling U.S. Treasury securities or securities of a federal agency for cash to the Authority which, at the same time, agrees to buy them back on an established date and at an agreed upon rate of interest. Generally, the Authority uses this type of investment for periods ranging from overnight to 60 days.

Repurchase agreements generally start at \$1,000,000. Payment is made against the delivery of the securities. Delivery is required. Substitution of the securities is permitted by the Authority in accordance with the Authority's repurchase agreement with the bank or broker.

6. Commercial Paper

Negotiable unsecured promissory notes issued for a specific face amount that mature on a specific future date. Maturities range from 1 to 270 days. Commercial paper is generally sold at a discount with the full face value paid at maturity. It is generally issued in book entry form, with minimum denominations beginning at \$100,000. The Authority can only purchase commercial paper in the highest Rating Category by S&P and Moody's (A-1+/P-1 and A-1/P-1). In addition, the issuer must have maintained such rating for similar obligations during the preceding six month period. No more than \$250,000,000 may be invested in the obligations of any one corporation.

7. Bankers' Acceptances

A bankers' acceptance is a promised future payment, or time draft, which is accepted and guaranteed by a bank and drawn on a deposit at the bank. After acceptance, the draft becomes an unconditional liability of the bank. The holder of the draft can sell it for cash at a discount to a buyer who is willing to wait until the maturity date for the funds in the deposit. They are widely used in international trade for payments that are due for a future shipment of goods and services. Maturities range from 30 to 180 days, but the Authority may only invest in bankers' acceptances maturing within 90 days. Bankers' acceptances are typically sold in multiples of \$100,000. No more than \$250,000,000 may be invested in such bankers' acceptances of any one bank or trust company.

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G. Procedures Used in Making Investments

As approved by Board Resolution, a written contract is not required for certain investment transactions entered into by the Authority as it is neither practical nor a regular business practice for the types of investment instruments purchased by the Authority. Detailed records are maintained by the Authority indicating the banks or broker/dealers contacted for the purchase of each investment and the investment instrument and firm selected for the purchase.

1. U.S. Treasury Securities

When purchasing U.S. Treasury obligations (bills, notes and bonds), the Authority contacts a minimum of four security dealers or banks for the best rate offered for the investment. When a determination is made regarding which dealer or bank the Authority will make the purchase from, funds are transferred to the appropriate bank, for use by the bank to purchase the securities. The bank releases the funds covering the purchase only after advice from the Federal Reserve Bank that the securities have been received through the Federal Reserve book entry system.

2. Federal Agencies

When purchasing federal agency obligations (bonds, debentures and notes) the Authority contacts a minimum of four security dealers or banks for the best rate offered for the investment. When a determination is made regarding which dealer or bank the Authority will make the purchase from, funds are transferred to the appropriate bank, similar to the procedure for purchasing Treasury securities noted in number 1 above. The bank releases the funds covering the purchase only after advice from the Federal Reserve Bank that the securities have been received through the Federal Reserve book entry system.

3. Certificates of Deposit

- a. When certificates of deposit are purchased, a determination is made regarding the length of time for which the investment should be purchased.
- b. In order to determine current market rates, interest rate quotations are obtained from at least two banks or trust companies as defined by law. There are currently a number of banks in which the Authority has contracted to purchase certificates of deposit in which appropriate collateral has been pledged in accordance with the General Bond Resolutions. The determination regarding which banks are to be contacted is based upon the availability of collateral and the amount of monies already invested with that bank.

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- c. Funds are generally invested with the bank paying the highest rate of interest, providing there is sufficient collateral to cover such investment. These banks, in addition to posting collateral, have expressed interest in issuing certificates of deposit, have demonstrated an ability and willingness to provide competitive interest rates and are financially sound.
 - d. Funds to cover the certificates of deposit are wired directly to the bank in which the certificate of deposit is purchased. There are two written agreements executed between the Authority and each bank. These agreements are an undertaking and an assignment of securities. These agreements commit the bank from which the Authority has purchased a certificate of deposit to the Authority's terms and conditions of sale and indemnifies and holds the Authority harmless for any loss of funds. Only with the approval of the Authority are adjustments made in the amount of collateral held by the designated custodian and third party fiduciary. Collateral is priced to insure that the market value of the securities pledged is equal to or greater than the amount invested in certificates of deposit.
 - e. When the market value of the collateral is not sufficient to cover the investments currently held, additional collateral is immediately pledged.
 - f. When a certificate of deposit matures, and the funds are not immediately needed, they are generally rolled over with the same bank. However, if the bank is not paying a competitive rate of interest, the funds are transferred to another bank or invested in other authorized investments.
4. Repurchase Agreements
- a. The Authority enters into repurchase agreements with those banks or brokers appearing on the Federal Reserve Bank's most current list of primary reporting dealers in government securities. In addition, the Authority enters into a written agreement with each bank or broker prior to investing in repurchase agreements. The written agreement commits the broker or bank to the repurchase of the securities and provides for the Authority's right to sell the securities should the bank or broker default at the time the repurchase agreement matures.
 - b. When a repurchase agreement investment is made by the Authority, funds are wired to the appropriate bank. The bank releases the funds covering the purchase only after the securities (direct obligations of, or guaranteed by, the U.S. Government or Agencies of the Government if allowed by the authorizing Bond Resolution) are received by the bank based on advice from the Federal Reserve Bank that the securities have been received through the Federal Reserve book entry system. The requirement is payment only against delivery, which is the same requirement used when Treasury or Agency securities are

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purchased outright. The Authority also receives written confirmation of the securities purchased underlying the repurchase agreement. The description of the securities is provided at the time the investment is made to enable the Authority to price the securities and determine that the market value is sufficient to cover the principal and interest payment to be made at maturity and provide a margin of safety.

- c. During the term of a repurchase agreement, the Authority will allow the bank or broker to substitute securities for the original securities purchased in accordance with the Authority's repurchase agreement with the bank or broker.
- d. Repurchase agreements may be for overnight investments or periods generally not exceeding 60 days. This requirement protects the Authority from significant fluctuations occurring in the market value of the securities. Should the market value not be equal to the amount of the investment and the interest to be paid at maturity, additional securities are delivered to cover the investment.

5. Commercial Paper

Generally, purchases of commercial paper are used for investments not exceeding 180 days. When purchasing commercial paper the Authority contacts a minimum of four security dealers for the highest yield offered. Upon determining the successful dealer, funds are wire transferred to the appropriate bank. The bank releases the funds covering the purchase only after receipt of the actual securities or advice from the Depository Trust Company that the securities are in the Authority's name in the book entry system.

6. Bankers' Acceptances

Bankers' acceptances would be purchased using the same procedure for purchasing commercial paper, however, the maximum term is 90 days from date of purchase.

H. Procedure for Receiving Securities Through Federal Reserve Book Entry

The appropriate bank receives advice per Fed Wire that their securities account at the Fed is credited and their cash position at the Fed is charged. Payment is made at the same time to the dealer or bank through their cash position at the Fed. When the bank receives this advice, they charge the Thruway account only if authorized by the Authority and reimburse their cash position at the Fed. If securities are not for the Thruway account, the account is not charged and the bank recovers the cost of securities plus interest, if appropriate, from the seller of the securities.

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I. Responsibilities

1. Chief Financial Officer

- a. Approves the selection of depository banks and broker/dealers for the purchase and sale of securities and for securing all types of time deposits. Approval will be made based on the response from the bank or firm to the Authority's Broker/Dealer Questionnaire and submission of other required information.
- b. Gives instructions to brokers or bank agents to buy or sell such securities.
- c. Binds and obligates the banking agent or brokers and the Authority at all times in every way for the completion of any contract, arrangement or transaction entered into for or on behalf of the Authority.
- d. Establishes and maintains an internal control structure that provides assurance that the investment objectives of the Authority are met and that the Authority's investments are protected from loss, theft or misuse.
- e. Approves Investment Guidelines as submitted by the Director of Investments and Asset Management.
- f. Submits to the Authority Board and Finance Committee on a quarterly basis a report of the investments purchased within the quarter, the inventory of existing investments and the banks, brokers, agents or dealers from whom the investments were purchased.
- g. Ensures that an independent audit is completed of the Authority's investment activities to ensure compliance with this Policy.
- h. Makes an annual report to the Authority Board and Finance Committee of all investment transactions made by the Chief Financial Officer during the calendar year.
- i. Submits for Authority Board and Finance Committee approval an annual report which includes guidelines for making investments, the results of the annual independent audit, the investment income record and a list of the total fees, commissions or other charges paid to each investment banker, agent, dealer and adviser rendering investment associated services since the last report.

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NOTE: Consistent with Title 7, Section 2925 of the Public Authority Law, formal approval of these General and Administrative Policies for Investments (25-4-01), by the Authority Board is required on at least an annual basis.

- j. After approval of the annual report by the Authority Board, submits the report to the New York State Division of the Budget, and sends copies to the New York State Office of the State Comptroller, the Senate Finance Committee and the Assembly Ways and Means Committee.

2. Director of Investments and Asset Management

Senior Investment Officer

Investment Officer

Associate Investment Officer/Tax Compliance Officer

Assistant Investment Officer

Or any other titles as designated by the Chief Financial Officer are authorized to act, in the absence of or as directed by the Chief Financial Officer, as to the investment of funds.

EXHIBIT B

Report on Compliance With Section 201.3 of Title Two of the Official Compilation of Codes, Rules and Regulations of the State of New York

Board of Directors
New York State Thruway Authority
Albany, New York

We have examined the New York State Thruway Authority's (Authority), a component unit of the State of New York, compliance with the requirements of Section 201.3 of Title Two of the *Official Compilation of Codes, Rules and Regulations of the State of New York* (Section 201.3) during the year ended December 31, 2022. Management of the Authority is responsible for the Authority's compliance with Section 201.3. Our responsibility is to express an opinion on the Authority's compliance with Section 201.3 based on our examination.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants and standards applicable to attestation engagements contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the examination to obtain reasonable assurance about whether the Authority complied, in all material respects, with the specified requirements referenced above. An examination involves performing procedures to obtain evidence about whether the Authority complied with the specified requirements. The nature, timing, and extent of the procedures selected depend on our judgment, including an assessment of the risks of material noncompliance, whether due to fraud or error. We believe that the evidence we obtained is sufficient and appropriate to provide a reasonable basis for our opinion.

Our examination does not provide a legal determination on the Authority's compliance with Section 201.3.

We are required to be independent and to meet our other ethical responsibilities, in accordance with relevant ethical requirements relating to the engagement.

In our opinion, the Authority complied, in all material respects, with Section 201.3 during the year ended December 31, 2022.

In accordance with *Government Auditing Standards*, we are required to report material weaknesses and significant deficiencies in internal control, violations of provisions of laws, regulations, contracts, and grant agreements, and abuse that are material to the Authority's compliance with Section 201.3 and any fraud or illegal acts that are more than inconsequential that come to our attention during our examination. We are also required to obtain views of management on those matters. We performed our examination to express an opinion on the Authority's compliance with Section 201.3 and not for the purpose of expressing an opinion on internal control over compliance with Section 201.3 or other matters; accordingly, we express no such opinion. The results of our tests disclosed no matters that are required to be reported under *Government Auditing Standards*.

This report is intended solely for the information and use of Authority management, the Board of Directors, and New York State and is not intended to be and should not be used by anyone other than these specified parties.

Albany, New York
March __, 2023

EXHIBIT C

Summary - Yearly Investments by Fund
For the Year Ending December 31, 2023
All Programs
New York State Thruway Authority

Program	Cost	Interest for Year	Avg. Yield	Weighted Average Maturity
Thruway	\$ 10,315,451,315.66	\$ 58,377,360.34	5.2230%	41
Trust Fund	45,343,907.94	1,077,092.06	5.1474%	162
PIT	<u>10,797,585,449.25</u>	<u>101,521,550.75</u>	4.9310%	68
Grand Total - Thruway All Programs	<u>\$ 21,158,380,672.85</u>	<u>\$ 160,976,003.15</u>		

**Summary - Yearly Investments by Fund
For the Year Ending December 31, 2023
Thruway Programs - New York State Thruway Authority**

Fund	Cost	Interest for Year	Avg. Yield
Sr. Debt Service	\$ 481,183,679.64	\$ 4,488,320.36	5.0644%
Sr. Debt Service Reserve	457,673,378.73	10,744,621.27	5.1356%
JIO - Debt Service 2016A	58,466,114.73	448,885.27	4.9677%
JIO - Debt Service Reserve 2016A	124,426,240.78	3,195,759.22	5.1510%
JIO Debt Service 2019B	91,674,776.48	742,223.52	4.9709%
Construction Fund	54,494,134.44	505,865.56	10.7504%
Reserve Maintenance	990,141,151.32	10,858,848.68	5.0275%
Retirement Pension Accrual	49,274,798.50	725,201.50	11.0554%
Public Liability Claims	125,635,986.18	630,013.82	12.2470%
Insurance Fund	13,299,717.93	65,958.07	3.5434%
Capitalized Interest - Series O	37,981,695.69	1,001,304.31	5.1591%
Series O Bond Proceeds	548,723,051.53	2,276,948.47	4.7073%
Operating Regular	358,164,626.86	835,373.14	45.5658%
Facilities Capital Improvement	136,193,300.03	306,699.97	4.9915%
Environmental Remediation Reserve	166,115,536.40	884,463.60	17.2019%
General Reserve	568,826,380.07	2,173,619.93	4.9555%
Revenue Fund	1,394,965,871.30	3,174,128.70	4.7302%
E-ZPass Fund (Revenue)	4,658,210,875.05	15,319,124.95	4.9781%
Grand Total - Thruway	<u>\$ 10,315,451,315.66</u>	<u>\$ 58,377,360.34</u>	

Weighted Average Maturity

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Yearly Investments By Fund
New York State Thruway Authority
For the Period January 01, 2023 thru December 31, 2023

Security Description	Maturity Date	Purchase Date	Broker / Dealer / Depository	Earnings for the Year	Total Cost	Yield to Maturity
Fund - Senior Debt Service						
Treasury Bill	06/29/2023	01/31/2023	Piper Sandler & Co	\$ 111,267.24	\$ 5,768,732.76	4.5720
Treasury Bill	06/29/2023	02/28/2023	Piper Sandler & Co	91,906.34	5,762,093.66	4.6710
Treasury Bill	06/29/2023	03/31/2023	Santander US Capital Markets LLC	67,630.97	5,761,369.03	4.6410
Treasury Bill	06/29/2023	04/28/2023	CastleOak Securities, LP	48,537.99	5,762,462.01	4.8500
Federal Home Loan Bank	06/26/2023	05/31/2023	Stern Brothers & Co.	18,788.25	5,762,211.75	4.5000
Koch Industries	06/29/2023	06/26/2023	Wells Fargo Securities, LLC	2,627.21	6,366,372.79	4.9500
Treasury Bill	12/28/2023	07/05/2023	The Williams Capital Group, LP	552,179.04	21,051,820.96	5.2280
Treasury Bill	12/28/2023	07/31/2023	The Williams Capital Group, LP	128,914.72	5,762,085.28	5.2520
Treasury Bill	12/28/2023	08/31/2023	CastleOak Securities, LP	101,978.05	5,762,021.95	5.2610
Treasury Bill	12/28/2023	09/29/2023	Santander US Capital Markets LLC	77,439.74	5,761,560.26	5.3050
Treasury Bill	12/28/2023	10/31/2023	Santander US Capital Markets LLC	49,684.20	5,762,315.80	5.3060
Treasury Bill	12/28/2023	11/30/2023	The Williams Capital Group, LP	23,720.67	5,762,279.33	5.2710
Treasury Bill	01/02/2024	12/28/2023	Wells Fargo Securities, LLC	37,120.39	51,459,379.61	5.1900
Treasury Bill	06/29/2023	01/31/2023	Piper Sandler & Co	99,856.67	5,177,143.33	4.5720
Treasury Bill	06/29/2023	02/28/2023	Piper Sandler & Co	82,250.99	5,156,749.01	4.6710
Treasury Bill	06/29/2023	03/31/2023	Santander US Capital Markets LLC	60,530.24	5,156,469.76	4.6410
Treasury Bill	06/29/2023	04/28/2023	CastleOak Securities, LP	43,434.44	5,156,565.56	4.8500
Federal Home Loan Bank	06/26/2023	05/31/2023	Stern Brothers & Co.	16,812.25	5,156,187.75	4.5000
Treasury Bill	06/29/2023	06/16/2023	CastleOak Securities, LP	3,355.78	1,920,644.22	4.8300
Koch Industries	06/29/2023	06/26/2023	Wells Fargo Securities, LLC	2,134.28	5,171,865.72	4.9500
Treasury Bill	12/28/2023	07/05/2023	The Williams Capital Group, LP	405,265.27	15,450,734.73	5.2280
Treasury Bill	12/28/2023	07/31/2023	The Williams Capital Group, LP	115,368.93	5,156,631.07	5.2520
Treasury Bill	12/28/2023	08/31/2023	CastleOak Securities, LP	91,265.49	5,156,734.51	5.2610
Treasury Bill	12/28/2023	09/29/2023	Santander US Capital Markets LLC	69,309.83	5,156,690.17	5.3050
Treasury Bill	12/28/2023	10/31/2023	Santander US Capital Markets LLC	44,461.04	5,156,538.96	5.3060
Treasury Bill	12/28/2023	11/30/2023	The Williams Capital Group, LP	21,228.07	5,156,771.93	5.2710
Treasury Bill	01/02/2024	12/28/2023	Wells Fargo Securities, LLC	32,003.20	44,365,496.80	5.1900
Treasury Bill	06/29/2023	01/31/2023	Piper Sandler & Co	88,086.57	4,566,913.43	4.5720
Treasury Bill	06/29/2023	02/28/2023	Piper Sandler & Co	72,768.33	4,562,231.67	4.6710
Treasury Bill	06/29/2023	03/31/2023	Santander US Capital Markets LLC	53,545.54	4,561,454.46	4.6410
Treasury Bill	06/29/2023	04/28/2023	CastleOak Securities, LP	38,414.43	4,560,585.57	4.8500
Federal Home Loan Bank	06/26/2023	05/31/2023	Stern Brothers & Co.	14,875.25	4,562,124.75	4.5000
Koch Industries	06/29/2023	06/26/2023	Wells Fargo Securities, LLC	1,888.84	4,577,111.16	4.9500
Treasury Bill	12/28/2023	07/05/2023	The Williams Capital Group, LP	468,038.44	17,843,961.56	5.2280
Treasury Bill	12/28/2023	07/31/2023	The Williams Capital Group, LP	102,041.98	4,560,958.02	5.2520
Treasury Bill	12/28/2023	08/31/2023	CastleOak Securities, LP	80,726.83	4,561,273.17	5.2610
Treasury Bill	12/28/2023	09/29/2023	Santander US Capital Markets LLC	61,312.54	4,561,687.46	5.3050
Treasury Bill	12/28/2023	10/31/2023	Santander US Capital Markets LLC	39,331.90	4,561,668.10	5.3060
Treasury Bill	12/28/2023	11/30/2023	The Williams Capital Group, LP	18,776.47	4,561,223.53	5.2710
Treasury Bill	01/02/2024	12/28/2023	Wells Fargo Securities, LLC	29,878.90	41,420,621.10	5.1900
Treasury Bill	06/29/2023	01/31/2023	Piper Sandler & Co	71,528.94	3,708,471.06	4.5720
Treasury Bill	06/29/2023	02/28/2023	Piper Sandler & Co	58,717.07	3,681,282.93	4.6710
Treasury Bill	06/29/2023	03/31/2023	Santander US Capital Markets LLC	43,230.92	3,682,769.08	4.6410
Treasury Bill	06/29/2023	04/28/2023	CastleOak Securities, LP	31,013.86	3,681,986.14	4.8500
Federal Home Loan Bank	06/26/2023	05/31/2023	Stern Brothers & Co.	12,005.50	3,681,994.50	4.5000
Koch Industries	06/29/2023	06/26/2023	Wells Fargo Securities, LLC	1,524.60	3,694,475.40	4.9500
Treasury Bill	12/28/2023	07/05/2023	The Williams Capital Group, LP	235,680.56	8,985,319.44	5.2280
Treasury Bill	12/28/2023	07/31/2023	The Williams Capital Group, LP	62,390.75	3,682,609.25	5.2520
Treasury Bill	12/28/2023	08/31/2023	CastleOak Securities, LP	65,162.31	3,681,837.69	5.2610
Treasury Bill	12/28/2023	09/29/2023	Santander US Capital Markets LLC	49,495.65	3,682,504.35	5.3050
Treasury Bill	12/28/2023	10/31/2023	Santander US Capital Markets LLC	31,749.34	3,682,250.66	5.3060
Treasury Bill	12/28/2023	11/30/2023	The Williams Capital Group, LP	15,156.47	3,681,843.53	5.2710
Treasury Bill	01/02/2024	12/28/2023	Wells Fargo Securities, LLC	20,108.73	27,876,391.27	5.1900
Treasury Bill	06/29/2023	01/31/2023	Piper Sandler & Co	30,730.95	1,593,269.05	4.5720

Security Description	Maturity Date	Purchase Date	Broker / Dealer / Depository	Earnings for the Year	Total Cost	Yield to Maturity
Treasury Bill	06/29/2023	02/28/2023	Piper Sandler & Co	24,711.41	1,549,288.59	4.6710
Treasury Bill	06/29/2023	03/31/2023	Santander US Capital Markets LLC	18,181.12	1,548,818.88	4.6410
Treasury Bill	06/29/2023	04/28/2023	CastleOak Securities, LP	13,038.69	1,547,961.31	4.8500
Federal Home Loan Bank	06/26/2023	05/31/2023	Stern Brothers & Co.	5,050.50	1,548,949.50	4.5000
Koch Industries	06/29/2023	06/26/2023	Wells Fargo Securities, LLC	815.10	1,975,184.90	4.9500
Treasury Bill	12/28/2023	07/05/2023	The Williams Capital Group, LP	1,175.72	44,824.28	5.2280
Treasury Bill	12/28/2023	07/31/2023	The Williams Capital Group, LP	34,641.32	1,548,358.68	5.2520
Treasury Bill	12/28/2023	08/31/2023	CastleOak Securities, LP	27,424.86	1,549,575.14	5.2610
Treasury Bill	12/28/2023	09/29/2023	Santander US Capital Markets LLC	20,808.86	1,548,191.14	5.3050
Treasury Bill	12/28/2023	10/31/2023	Santander US Capital Markets LLC	13,352.84	1,548,647.16	5.3060
Treasury Bill	12/28/2023	11/30/2023	The Williams Capital Group, LP	6,374.98	1,548,625.02	5.2710
Treasury Bill	01/02/2024	12/28/2023	Wells Fargo Securities, LLC	5,693.14	7,892,306.86	5.1900
Treasury Bill	06/29/2023	01/31/2023	Piper Sandler & Co	6,944.74	360,055.26	4.5720
Treasury Bill	06/29/2023	02/28/2023	Piper Sandler & Co	5,761.81	361,238.19	4.6710
Treasury Bill	06/29/2023	03/31/2023	Santander US Capital Markets LLC	4,234.91	360,765.09	4.6410
Treasury Bill	06/29/2023	04/28/2023	CastleOak Securities, LP	3,048.76	361,951.24	4.8500
Federal Home Loan Bank	06/26/2023	05/31/2023	Stern Brothers & Co.	1,176.50	360,823.50	4.5000
Treasury Bill	06/29/2023	06/16/2023	CastleOak Securities, LP	3,688.91	2,111,311.09	4.8300
Koch Industries	06/29/2023	06/26/2023	Wells Fargo Securities, LLC	149.33	361,850.67	4.9500
Treasury Bill	12/28/2023	07/05/2023	The Williams Capital Group, LP	46,543.14	1,774,456.86	5.2280
Treasury Bill	12/28/2023	07/31/2023	The Williams Capital Group, LP	8,074.95	360,925.05	5.2520
Treasury Bill	12/28/2023	08/31/2023	CastleOak Securities, LP	6,382.33	360,617.67	5.2610
Treasury Bill	12/28/2023	09/29/2023	Santander US Capital Markets LLC	4,854.07	361,145.93	5.3050
Treasury Bill	12/28/2023	10/31/2023	Santander US Capital Markets LLC	3,111.68	360,888.32	5.3060
Treasury Bill	12/28/2023	11/30/2023	The Williams Capital Group, LP	1,488.19	361,511.81	5.2710
Treasury Bill	01/02/2024	12/28/2023	Wells Fargo Securities, LLC	4,339.54	6,016,660.46	5.1900
				\$ 4,488,320.36	\$ 481,183,679.64	

Fund - Senior Debt Service Reserve

Treasury Bill	12/14/2023	06/15/2023	Piper Sandler & Co	\$ 1,897,397.47	\$ 71,620,602.53	5.1050
Treasury Bill	12/14/2023	06/16/2023	CastleOak Securities, LP	26,308.35	999,691.65	5.1000
Treasury Bill	03/19/2024	12/14/2023	BNY Mellon Capital Markets, LLC	1,025,138.40	72,619,861.60	5.2200
Toyota Motor Credit Corporation	12/14/2023	06/09/2023	Wells Fargo Securities, LLC	663,157.47	23,072,842.53	5.3500
Treasury Bill	03/19/2024	12/14/2023	BNY Mellon Capital Markets, LLC	325,714.08	23,073,285.92	5.2200
Federal Home Loan Bank	12/29/2023	06/08/2023	Piper Sandler & Co	538,610.15	17,971,389.85	5.1350
Treasury Bill	06/06/2024	12/29/2023	BNY Mellon Capital Markets, LLC	413,458.22	17,971,541.78	5.0600
Treasury Bill	12/07/2023	06/15/2023	BNY Mellon Capital Markets, LLC	443,448.54	17,443,551.46	5.1000
Treasury Bill	06/06/2024	12/07/2023	Multi-Bank Securities Inc.	466,487.84	17,443,512.16	5.1520
Federal Home Loan Bank	12/21/2023	06/15/2023	Santander US Capital Markets LLC	2,334,799.29	84,610,200.71	5.1150
Treasury Bill	12/26/2023	11/30/2023	BNY Mellon Capital Markets, LLC	50,166.42	13,117,833.58	5.2750
Treasury Bill	06/20/2024	12/21/2023	BNY Mellon Capital Markets, LLC	2,238,159.04	84,610,840.96	5.0975
Treasury Bill	06/13/2024	12/26/2023	BNY Mellon Capital Markets, LLC	321,776.00	13,118,224.00	5.0700
				\$ 10,744,621.27	\$ 457,673,378.73	

Fund - JIO - Debt Service 2016A

Treasury Bill	06/29/2023	01/31/2023	Piper Sandler & Co	\$ 66,703.58	\$ 3,458,296.42	4.5720
Treasury Bill	06/29/2023	02/28/2023	Piper Sandler & Co	54,729.33	3,431,270.67	4.6710
Treasury Bill	06/29/2023	03/31/2023	Santander US Capital Markets LLC	40,272.28	3,430,727.72	4.6410
Treasury Bill	06/29/2023	04/28/2023	CastleOak Securities, LP	28,900.61	3,431,099.39	4.8500
Federal Home Loan Bank	06/26/2023	05/31/2023	Stern Brothers & Co.	11,189.75	3,431,810.25	4.5000
Koch Industries	06/29/2023	06/26/2023	Wells Fargo Securities, LLC	2,023.72	4,903,976.28	4.9500
Treasury Bill	12/28/2023	07/05/2023	The Williams Capital Group, LP	4,012.78	152,987.22	5.2280
Treasury Bill	12/28/2023	07/31/2023	The Williams Capital Group, LP	76,744.85	3,430,255.15	5.2520
Treasury Bill	12/28/2023	08/31/2023	CastleOak Securities, LP	60,727.72	3,431,272.28	5.2610
Treasury Bill	12/28/2023	09/29/2023	Santander US Capital Markets LLC	46,113.71	3,430,886.29	5.3050
Treasury Bill	12/28/2023	10/31/2023	Santander US Capital Markets LLC	29,586.55	3,431,413.45	5.3060
Treasury Bill	12/28/2023	11/30/2023	The Williams Capital Group, LP	14,123.35	3,430,876.65	5.2710
Treasury Bill	01/02/2024	12/28/2023	Wells Fargo Securities, LLC	13,757.04	19,071,242.96	5.1900
				\$ 448,885.27	\$ 58,466,114.73	

Security Description	Maturity Date	Purchase Date	Broker / Dealer / Depository	Earnings for the Year	Total Cost	Yield to Maturity
Fund - JIO Debt Service Reserve 2016A						
Treasury Bill	12/07/2023	06/22/2023	Stern Brothers & Co.	\$ 1,532,004.83	\$ 62,212,995.17	5.1500
Treasury Bill	06/06/2024	12/07/2023	Multi-Bank Securities Inc.	1,663,754.39	62,213,245.61	5.1520
				\$ 3,195,759.22	\$ 124,426,240.78	
Fund - JIO Debt Service 2019B						
Treasury Bill	06/29/2023	01/31/2023	Piper Sandler & Co	\$ 108,561.25	\$ 5,628,438.75	4.5720
Treasury Bill	06/29/2023	02/28/2023	Piper Sandler & Co	89,786.87	5,629,213.13	4.6710
Treasury Bill	06/29/2023	03/31/2023	Santander US Capital Markets LLC	66,087.84	5,629,912.16	4.6410
Treasury Bill	06/29/2023	04/28/2023	CastleOak Securities, LP	47,418.72	5,629,581.28	4.8500
Federal Home Loan Bank	06/26/2023	05/31/2023	Stern Brothers & Co.	18,352.75	5,628,647.25	4.5000
Koch Industries	06/29/2023	06/26/2023	Wells Fargo Securities, LLC	2,330.63	5,647,669.37	4.9500
Treasury Bill	12/28/2023	07/05/2023	The Williams Capital Group, LP	15,718.85	599,281.15	5.2280
Treasury Bill	12/28/2023	07/31/2023	The Williams Capital Group, LP	125,960.47	5,630,039.53	5.2520
Treasury Bill	12/28/2023	08/31/2023	CastleOak Securities, LP	99,612.94	5,628,387.06	5.2610
Treasury Bill	12/28/2023	09/29/2023	Santander US Capital Markets LLC	75,662.56	5,629,337.44	5.3050
Treasury Bill	12/28/2023	10/31/2023	Santander US Capital Markets LLC	48,538.70	5,629,461.30	5.3060
Treasury Bill	12/28/2023	11/30/2023	The Williams Capital Group, LP	23,175.42	5,629,824.58	5.2710
Treasury Bill	01/02/2024	12/28/2023	Wells Fargo Securities, LLC	21,016.52	29,134,983.48	5.1900
				\$ 742,223.52	\$ 91,674,776.48	
Construction Fund						
Koch Industries	04/19/2023	03/16/2023	Stern Brothers & Co.	\$ 49,066.72	\$ 10,950,933.28	4.7230
Treasury Bill	06/06/2023	04/19/2023	Santander US Capital Markets LLC	68,214.67	10,931,785.33	4.6510
Toyota Motor Credit Corporation	10/04/2023	06/06/2023	Wells Fargo Securities, LLC	194,333.33	10,805,666.67	5.3000
Microsoft Corporation	12/20/2023	10/04/2023	CastleOak Securities, LP	125,403.06	10,874,596.94	5.3300
Treasury Bill	02/01/2024	12/20/2023	Stern Brothers & Co.	68,847.78	10,931,152.22	5.2400
				\$ 505,865.56	\$ 54,494,134.44	
Fund - Reserve Maintenance						
Treasury Bill	03/09/2023	01/05/2023	Stern Brothers & Co.	\$ 73,062.50	\$ 9,926,937.50	4.1750
Roche Holdings Inc.	03/24/2023	01/06/2023	Piper Sandler & Co	235,277.78	24,764,722.22	4.4000
Treasury Bill	03/16/2023	01/06/2023	American Veterans Group, PBC	121,210.05	14,878,789.95	4.2160
Roche Holdings Inc.	03/31/2023	01/13/2023	Piper Sandler & Co	188,650.00	19,811,350.00	4.4100
Treasury Bill	04/06/2023	01/20/2023	American Veterans Group, PBC	140,758.35	14,859,241.65	4.4450
Treasury Bill	02/14/2023	01/25/2023	Loop Capital Markets	6,059.03	2,493,940.97	4.3625
Roche Holdings Inc.	04/14/2023	01/26/2023	Piper Sandler & Co	246,458.33	24,753,541.67	4.5500
Toyota Motor Credit Corporation	04/18/2023	02/03/2023	Stern Brothers & Co	217,383.22	22,782,616.78	4.5980
Roche Holdings Inc.	04/27/2023	02/10/2023	Piper Sandler & Co	143,766.67	14,856,233.33	4.5400
Toyota Motor Credit Corporation	05/05/2023	02/14/2023	Stern Brothers & Co.	166,328.89	15,833,671.11	4.6780
Treasury Bill	05/11/2023	02/21/2023	Wells Fargo Securities, LLC	143,165.56	13,856,834.44	4.6600
Treasury Bill	05/18/2023	03/02/2023	The Williams Capital Group, LP	267,960.00	26,732,040.00	4.6400
Treasury Bill	05/25/2023	03/09/2023	CastleOak Securities, LP	89,705.00	8,910,295.00	4.6600
Toyota Motor Credit Corporation	06/02/2023	03/16/2023	Stern Brothers & Co.	154,602.50	14,845,397.50	4.7570
Koch Industries	06/09/2023	03/24/2023	Wells Fargo Securities, LLC	253,629.44	24,246,370.56	4.8400
Treasury Bill	06/15/2023	03/31/2023	Santander US Capital Markets LLC	177,702.78	18,322,297.22	4.5500
Koch Industries	06/23/2023	04/06/2023	Wells Fargo Securities, LLC	146,813.33	13,853,186.67	4.8400
Treasury Bill	06/29/2023	04/14/2023	Siebert Williams Shank & Co., LLC	245,363.89	24,754,636.11	4.6490
Treasury Bill	07/06/2023	04/18/2023	The Williams Capital Group, LP	245,295.00	22,754,705.00	4.8600
Treasury Bill	07/13/2023	04/26/2023	Santander US Capital Markets LLC	304,113.33	28,695,886.67	4.8400
Treasury Bill	07/20/2023	04/27/2023	Multi-Bank Securities Inc.	159,576.62	13,840,423.38	4.8850
Treasury Bill	07/27/2023	05/03/2023	Loop Capital Markets, LLC	58,378.47	4,941,621.53	4.9450
Treasury Bill	08/03/2023	05/05/2023	BNY Mellon Capital Markets, LLC	203,200.00	15,796,800.00	5.0800
Treasury Bill	08/10/2023	05/11/2023	Santander US Capital Markets LLC	180,660.28	13,819,339.72	5.1050
Koch Industries	07/27/2023	05/12/2023	Wells Fargo Securities, LLC	21,448.89	1,978,551.11	5.0800
Treasury Bill	08/17/2023	05/18/2023	Stern Brothers & Co.	346,232.25	26,653,767.75	5.0730
Treasury Bill	08/24/2023	05/25/2023	Santander US Capital Markets LLC	183,494.93	13,816,505.07	5.1851
Treasury Bill	08/31/2023	06/06/2023	Multi-Bank Securities Inc.	185,294.10	14,814,705.90	5.1710
Toyota Motor Credit Corporation	09/08/2023	06/09/2023	Wells Fargo Securities, LLC	371,583.33	27,628,416.67	5.2500
MetLife Short Term Fund	09/15/2023	06/15/2023	Bank of America Merrill Lynch	249,153.89	18,250,846.11	5.2700

Security Description	Maturity Date	Purchase Date	Broker / Dealer / Depository	Earnings for the Year	Total Cost	Yield to Maturity
National Securities Clearing Corp	09/22/2023	06/23/2023	Loop Capital Markets	181,898.89	13,818,101.11	5.1400
Toyota Motor Credit Corporation	09/29/2023	06/29/2023	Piper Sandler & Co	332,861.11	24,667,138.89	5.2100
National Securities Clearing Corp	10/06/2023	07/06/2023	Loop Capital Markets	296,291.11	21,703,708.89	5.2700
National Securities Clearing Corp	10/13/2023	07/10/2023	Piper Sandler & Co	209,791.67	14,790,208.33	5.3000
Toyota Motor Credit Corporation	10/20/2023	07/13/2023	Stern Brothers & Co.	426,503.00	28,573,497.00	5.3480
Treasury Bill	10/26/2023	07/20/2023	Piper Sandler & Co	199,511.67	13,800,488.33	5.2350
Treasury Bill	11/02/2023	07/27/2023	Santander US Capital Markets LLC	85,913.33	5,914,086.67	5.2600
PSP Captial Inc	11/09/2023	08/03/2023	Loop Capital Markets	227,006.11	15,272,993.89	5.3800
National Securities Clearing Corp	11/17/2023	08/10/2023	Piper Sandler & Co	147,125.00	9,852,875.00	5.3500
Treasury Bill	11/21/2023	08/17/2023	Santander US Capital Markets LLC	140,293.33	9,859,706.67	5.2610
Toyota Motor Credit Corporation	11/30/2023	08/24/2023	Stern Brothers & Co.	73,704.17	4,926,295.83	5.4150
National Securities Clearing Corp	11/30/2023	08/31/2023	Loop Capital Markets	203,612.50	14,796,387.50	5.3700
Koch Industries	11/02/2023	09/08/2023	Wells Fargo Securities, LLC	137,912.50	16,862,087.50	5.3100
Metlife Short Term Fund	12/08/2023	09/15/2023	Stern Brothers & Co.	436,018.33	34,563,981.67	5.3390
Koch Industries	11/17/2023	09/22/2023	Wells Fargo Securities, LLC	24,873.33	2,975,126.67	5.3300
Koch Industries	11/21/2023	09/29/2023	Wells Fargo Securities, LLC	94,693.33	11,905,306.67	5.3600
Metlife Short Term Fund	12/14/2023	10/06/2023	Multi-Bank Securities Inc	184,264.56	17,815,735.44	5.3410
Treasury Bill	12/21/2023	10/12/2023	BNY Mellon Capital Markets, LLC	207,083.33	19,792,916.67	5.3250
Roche Holdings Inc.	12/15/2023	10/20/2023	Piper Sandler & Co	194,841.11	23,305,158.89	5.3300
Koch Industries	12/27/2023	10/26/2023	Wells Fargo Securities, LLC	82,615.00	8,917,385.00	5.3300
National Securities Clearing Corp	12/27/2023	11/02/2023	Loop Capital Markets	114,216.67	13,885,783.33	5.3400
Treasury Bill	01/04/2024	11/09/2023	BNY Mellon Capital Markets, LLC	90,004.44	10,909,995.56	5.2600
Treasury Bill	01/11/2024	11/15/2023	Santander US Capital Markets LLC	41,562.50	4,958,437.50	5.2500
Koch Industries	01/19/2024	11/17/2023	Wells Fargo Securities, LLC	56,070.00	5,943,930.00	5.3400
Metlife Short Term Fund	01/26/2024	11/21/2023	CastleOak Securities, LP	97,900.00	9,902,100.00	5.3400
Microsoft Corporation	02/02/2024	11/30/2023	Loop Capital Markets, LLC	141,600.00	14,858,400.00	5.3100
Microsoft Corporation	02/08/2024	12/08/2023	Wells Fargo Securities, LLC	229,916.67	24,770,083.33	5.3400
Microsoft Corporation	02/16/2024	12/14/2023	CastleOak Securities, LP	170,560.00	17,829,440.00	5.3300
Treasury Bill	01/11/2024	12/15/2023	Santander US Capital Markets LLC	58,837.50	14,941,162.50	5.2300
Treasury Bill	02/22/2024	12/20/2023	Stern Brothers & Co.	111,296.00	11,888,704.00	5.2170
Federal Home Loan Bank	02/29/2024	12/21/2023	Loop Capital Markets, LLC	203,777.78	19,796,222.22	5.2400
Treasury Bill	03/05/2024	12/27/2023	Stern Brothers & Co.	129,965.33	12,870,034.67	5.2160
				\$ 10,858,848.68	\$ 990,141,151.32	

Fund - Insurance

Treasury Bill	02/23/2023	02/01/2023	American Veterans Group, PBC	\$ 3,880.32	\$ 1,429,119.68	4.4310
Treasury Bill	03/21/2023	02/23/2023	The Williams Capital Group, LP	4,872.83	1,495,127.17	4.4980
Certificate of Deposit	03/08/2024	03/08/2023	M & T Bank	-	37,000.00	0.0000
Treasury Bill	05/04/2023	03/21/2023	Alamo Capital	7,791.67	1,492,208.33	4.2500
Koch Industries	06/12/2023	05/04/2023	Wells Fargo Securities, LLC	8,043.75	1,491,956.25	4.9500
Koch Industries	07/25/2023	06/12/2023	Wells Fargo Securities, LLC	7,410.33	1,192,589.67	5.1700
Certificate of Deposit	06/20/2024	06/20/2023	Community Bank NA	-	250,000.00	0.0000
Certificate of Deposit	07/05/2024	07/07/2023	NBT Bank NA	-	245,676.00	0.0000
Koch Industries	09/06/2023	07/25/2023	Wells Fargo Securities, LLC	7,525.00	1,192,475.00	5.2500
Federal Home Loan Bank	10/11/2023	09/06/2023	Stern Brothers & Co.	7,692.71	1,492,307.29	5.2750
Treasury Bill	11/21/2023	10/11/2023	BNY Mellon Capital Markets, LLC	9,079.79	1,490,920.21	5.3150
Treasury Bill	01/04/2024	11/21/2023	BNY Mellon Capital Markets, LLC	9,661.67	1,490,338.33	5.2700
				\$ 65,958.07	\$ 13,299,717.93	

Fund - Capitalized Interest Series O

Treasury Bill	12/28/2023	06/29/2023	Stern Brothers & Co.	\$ 623,304.80	\$ 22,961,695.20	5.2275
Treasury Bill	06/20/2024	12/28/2023	Santander US Capital Markets LLC	377,999.51	15,020,000.49	5.0500
				\$ 1,001,304.31	\$ 37,981,695.69	

Fund - Bond Proceeds Series O

Koch Industries	02/17/2023	01/05/2023	Wells Fargo Securities, LLC	\$ 25,680.56	\$ 4,974,319.44	4.3000
Koch Industries	02/17/2023	01/06/2023	Wells Fargo Securities, LLC	75,250.00	14,924,750.00	4.3000
Treasury Bill	02/23/2023	01/12/2023	The Williams Capital Group, LP	114,216.67	21,885,783.33	4.4500
Toyota Motor Credit Corporation	03/03/2023	01/19/2023	Wells Fargo Securities, LLC	73,577.78	13,926,422.22	4.4000
Treasury Bill	03/09/2023	01/20/2023	BNY Mellon Capital Markets, LLC	59,733.33	9,940,266.67	4.4800

Security Description	Maturity Date	Purchase Date	Broker / Dealer / Depository	Earnings for the Year	Total Cost	Yield to Maturity
Treasury Bill	03/16/2023	01/26/2023	BNY Mellon Capital Markets, LLC	143,733.33	23,856,266.67	4.4000
Toyota Motor Credit Corporation	03/24/2023	02/03/2023	Stern Brothers & Co.	104,981.14	16,895,018.86	4.5370
Toyota Motor Credit Corporation	03/30/2023	02/09/2023	Wells Fargo Securities, LLC	49,544.44	7,950,455.56	4.5500
Roche Holdings Inc.	04/06/2023	02/17/2023	Piper Sandler & Co	122,133.33	19,877,866.67	4.5800
Treasury Bill	04/13/2023	02/23/2023	Piper Sandler & Co	123,452.78	19,876,547.22	4.5350
Treasury Bill	04/20/2023	03/03/2023	The Williams Capital Group, LP	67,041.33	10,932,958.67	4.5710
Toyota Motor Credit Corporation	04/28/2023	03/09/2023	Stern Brothers & Co	58,925.00	8,941,075.00	4.7140
Toyota Motor Credit Corporation	05/05/2023	03/16/2023	Stern Brothers & Co.	149,723.61	22,850,276.39	4.6870
Koch Industries	05/05/2023	03/24/2023	Loop Capital Markets	92,977.50	16,407,022.50	4.8300
Treasury Bill	04/27/2023	03/30/2023	Stern Brothers & Co.	24,920.00	7,975,080.00	4.0050
Koch Industries	05/12/2023	04/06/2023	Wells Fargo Securities, LLC	91,200.00	18,908,800.00	4.8000
Koch Industries	05/18/2023	04/13/2023	Wells Fargo Securities, LLC	86,513.19	18,413,486.81	4.8100
Roche Holdings Inc.	05/26/2023	04/20/2023	Loop Capital Markets, LLC	40,715.00	8,459,285.00	4.7900
Treasury Bill	06/01/2023	04/27/2023	American Veterans Group, PBC	30,963.36	7,969,036.64	3.9810
Treasury Bill	06/01/2023	04/28/2023	BNY Mellon Capital Markets, LLC	14,884.44	3,985,115.56	3.9400
Treasury Bill	06/08/2023	05/05/2023	Multi-Bank Securities Inc.	175,100.04	35,824,899.96	5.1500
Treasury Bill	06/15/2023	05/12/2023	Stern Brothers & Co.	82,686.11	16,917,313.89	5.1500
Treasury Bill	06/22/2023	05/18/2023	Alamo Capital	68,840.62	13,431,159.38	5.2450
Roche Holdings Inc.	06/30/2023	05/26/2023	Loop Capital Markets	14,670.83	2,985,329.17	5.0300
Treasury Bill	06/27/2023	06/08/2023	CastleOak Securities, LP	51,933.33	19,948,066.67	4.9200
Federal Home Loan Bank	07/07/2023	06/08/2023	Stern Brothers & Co.	78,783.33	19,921,216.67	4.8900
MelLife Short Term Fund	07/14/2023	06/15/2023	Loop Capital Markets	24,601.67	5,975,398.33	5.0900
National Securities Clearing Corp	07/21/2023	06/27/2023	Wells Fargo Securities, LLC	60,960.00	17,939,040.00	5.0800
Koch Industries	07/14/2023	07/07/2023	Wells Fargo Securities, LLC	11,760.00	11,988,240.00	5.0400
Federal Home Loan Bank	07/28/2023	07/14/2023	Stern Brothers & Co.	31,111.11	15,968,888.89	5.0000
Treasury Bill	08/03/2023	07/21/2023	Multi-Bank Securities Inc.	13,785.45	7,486,214.55	5.0900
Federal Home Loan Bank	08/03/2023	07/28/2023	Siebert Williams Shank & Co., LLC	3,430.00	3,996,570.00	5.1450
Treasury Bill	08/10/2023	08/03/2023	CastleOak Securities, LP	5,094.44	4,994,905.56	5.2400
Federal Home Loan Bank	09/08/2023	08/30/2023	American Veterans Group, PBC	7,830.00	5,992,170.00	5.2200
Federal Home Loan Bank	09/15/2023	09/08/2023	Oppenheimer	6,066.67	5,993,933.33	5.2000
Treasury Bill	09/21/2023	09/15/2023	Loop Capital Markets	5,225.00	5,994,775.00	5.2250
Federal Home Loan Bank	09/29/2023	09/21/2023	Stern Brothers & Co.	6,973.33	5,993,026.67	5.2300
Koch Industries	10/06/2023	09/29/2023	Wells Fargo Securities, LLC	6,160.00	5,993,840.00	5.2800
Koch Industries	10/13/2023	10/06/2023	Wells Fargo Securities, LLC	6,183.33	5,993,816.67	5.3000
Treasury Bill	10/19/2023	10/13/2023	Loop Capital Markets	5,271.00	5,994,729.00	5.2710
Koch Industries	10/27/2023	10/19/2023	Wells Fargo Securities, LLC	7,066.67	5,992,933.33	5.3000
Treasury Bill	11/02/2023	10/27/2023	BNY Mellon Capital Markets, LLC	5,200.00	5,994,800.00	5.2000
Koch Industries	11/16/2023	11/02/2023	Wells Fargo Securities, LLC	5,162.50	2,494,837.50	5.3100
Koch Industries	11/28/2023	11/16/2023	Wells Fargo Securities, LLC	10,600.00	5,989,400.00	5.3000
Treasury Bill	12/07/2023	11/28/2023	CastleOak Securities, LP	7,882.50	5,992,117.50	5.2550
Treasury Bill	12/14/2023	12/07/2023	Loop Capital Markets, LLC	6,098.75	5,993,901.25	5.2275
Treasury Bill	12/21/2023	12/14/2023	CastleOak Securities, LP	6,136.67	5,993,863.33	5.2600
Treasury Bill	12/28/2023	12/21/2023	BNY Mellon Capital Markets, LLC	6,125.00	5,993,875.00	5.2500
Treasury Bill	01/04/2024	12/28/2023	BNY Mellon Capital Markets, LLC	6,043.33	5,993,956.67	5.1800
				\$ 2,276,948.47	\$ 548,723,051.53	

Fund - General Reserve

Treasury Bill	01/31/2023	01/03/2023	Loop Capital Markets	\$ 15,205.56	\$ 4,984,794.44	3.9100
Treasury Bill	01/31/2023	01/06/2023	Amherst Pierpont Securities	13,892.35	4,986,107.65	4.0010
Koch Industries	02/07/2023	01/09/2023	Wells Fargo Securities, LLC	48,494.44	13,951,505.56	4.3000
Treasury Bill	01/17/2023	01/11/2023	Loop Capital Markets, LLC	4,060.00	5,995,940.00	4.0600
Koch Industries	02/14/2023	01/19/2023	Wells Fargo Securities, LLC	47,558.33	14,952,441.67	4.3900
Treasury Bill	02/21/2023	01/24/2023	Wells Fargo Securities, LLC	33,072.67	9,466,927.33	4.4760
Treasury Bill	02/28/2023	01/31/2023	Wells Fargo Securities, LLC	10,418.33	2,989,581.67	4.4650
Koch Industries	02/28/2023	02/07/2023	Wells Fargo Securities, LLC	36,586.67	13,963,413.33	4.4800
Koch Industries	03/06/2023	02/14/2023	Wells Fargo Securities, LLC	36,411.11	14,463,588.89	4.5200
Koch Industries	03/14/2023	02/21/2023	Wells Fargo Securities, LLC	25,214.58	9,474,785.42	4.5500
Treasury Bill	03/21/2023	02/28/2023	BNY Mellon Capital Markets, LLC	44,129.17	16,955,870.83	4.4500
Treasury Bill	03/28/2023	03/06/2023	Multi-Bank Securities Inc	39,839.62	14,460,160.38	4.4960
Treasury Bill	04/04/2023	03/14/2023	American Veterans Group, PBC	13,139.60	4,986,860.40	4.5050

Security Description	Maturity Date	Purchase Date	Broker / Dealer / Depository	Earnings for the Year	Total Cost	Yield to Maturity
Treasury Bill	04/11/2023	03/21/2023	Multi-Bank Securities Inc.	37,197.36	16,962,802.64	3.7510
Treasury Bill	04/11/2023	03/28/2023	Siebert Williams Shank & Co., LLC	18,848.67	13,981,151.33	3.4620
Treasury Bill	05/02/2023	04/11/2023	Stern Brothers & Co.	39,760.00	15,960,240.00	4.2600
Treasury Bill	04/25/2023	04/11/2023	Multi-Bank Securities Inc.	24,675.00	14,975,325.00	4.2300
Treasury Bill	05/09/2023	04/26/2023	Loop Capital Markets	25,927.78	19,974,072.22	3.5900
Koch Industries	05/16/2023	05/02/2023	Wells Fargo Securities, LLC	15,275.56	7,984,724.44	4.9100
Koch Industries	05/23/2023	05/02/2023	Wells Fargo Securities, LLC	23,006.67	7,976,993.33	4.9300
Koch Industries	05/30/2023	05/03/2023	Wells Fargo Securities, LLC	15,090.00	3,984,910.00	5.0300
Treasury Bill	06/06/2023	05/09/2023	Santander US Capital Markets LLC	53,133.89	12,946,866.11	5.2550
Treasury Bill	06/13/2023	05/12/2023	Siebert Williams Shank & Co., LLC	30,893.78	6,469,106.22	5.3470
Treasury Bill	06/20/2023	05/16/2023	BNY Mellon Capital Markets, LLC	39,744.44	7,960,255.56	5.1100
Treasury Bill	06/27/2023	05/23/2023	Loop Capital Markets	70,641.67	13,929,358.33	5.1900
Koch Industries	07/05/2023	06/06/2023	Wells Fargo Securities, LLC	82,650.00	19,917,350.00	5.1300
National Securities Clearing Corp	07/11/2023	06/13/2023	Piper Sandler & Co	29,866.67	7,470,133.33	5.1200
Treasury Bill	07/18/2023	06/20/2023	Wells Fargo Securities, LLC	31,142.22	7,968,857.78	5.0050
Treasury Bill	07/25/2023	06/27/2023	LC	35,035.00	8,964,965.00	5.0050
Siemens Capital Co LLC	08/01/2023	07/05/2023	Piper Sandler & Co	76,200.00	19,923,800.00	5.0800
Treasury Bill	08/08/2023	07/10/2023	Siebert Williams Shank & Co., LLC	20,521.53	4,979,478.47	5.0950
Koch Industries	08/15/2023	07/11/2023	Wells Fargo Securities, LLC	40,288.89	7,959,711.11	5.1800
National Securities Clearing Corp	08/22/2023	07/18/2023	Loop Capital Markets, LLC	40,833.33	7,959,166.67	5.2500
Koch Industries	08/29/2023	07/25/2023	Wells Fargo Securities, LLC	20,416.67	3,979,583.33	5.2500
Koch Industries	09/05/2023	08/01/2023	Wells Fargo Securities, LLC	103,250.00	19,896,750.00	5.3100
Roche Holdings Inc.	09/12/2023	08/08/2023	Piper Sandler & Co	30,741.67	5,969,258.33	5.2700
Koch Industries	09/19/2023	08/15/2023	Wells Fargo Securities, LLC	41,300.00	7,958,700.00	5.3100
Koch Industries	09/26/2023	08/22/2023	Wells Fargo Securities, LLC	41,144.44	7,958,855.56	5.2900
Treasury Bill	10/03/2023	08/29/2023	American Veterans Group, PBC	20,475.00	3,979,525.00	5.2650
National Securities Clearing Corp	10/10/2023	09/05/2023	Loop Capital Markets	77,364.58	14,922,635.42	5.3050
Treasury Bill	10/17/2023	09/12/2023	CastleOak Securities, LP	30,683.33	5,969,316.67	5.2600
MetLife Short Term Fund	10/24/2023	09/15/2023	Stern Brothers & Co.	34,450.00	5,965,550.00	5.3000
Koch Industries	10/31/2023	09/19/2023	Wells Fargo Securities, LLC	49,560.00	7,950,440.00	5.3100
Treasury Bill	11/07/2023	09/26/2023	Loop Capital Markets	49,149.33	7,950,850.67	5.2660
Federal Home Loan Bank	11/14/2023	10/03/2023	Drexel Hamilton, LLC	24,710.00	3,975,290.00	5.2950
Microsoft Corporation	11/21/2023	10/10/2023	Siebert Williams Shank & Co., LLC	93,625.00	14,906,375.00	5.3500
Treasury Bill	10/24/2023	10/17/2023	BNY Mellon Capital Markets, LLC	6,165.83	5,993,834.17	5.2850
Koch Industries	10/31/2023	10/19/2023	Wells Fargo Securities, LLC	8,833.33	4,991,166.67	5.3000
Treasury Bill	11/28/2023	10/31/2023	American Veterans Group, PBC	57,613.11	13,942,386.89	5.2910
Koch Industries	12/05/2023	11/07/2023	Loop Capital Markets	33,133.33	7,966,866.67	5.3250
Treasury Bill	12/12/2023	11/14/2023	BNY Mellon Capital Markets, LLC	16,442.22	3,983,557.78	5.2850
Treasury Bill	12/19/2023	11/15/2023	Santander US Capital Markets LLC	24,843.61	4,975,156.39	5.2610
Treasury Bill	12/26/2023	11/21/2023	Wells Fargo Securities, LLC	51,236.10	9,948,763.90	5.2700
Treasury Bill	01/02/2024	11/28/2023	Santander US Capital Markets LLC	71,676.11	13,928,323.89	5.2660
Koch Industries	01/09/2024	12/05/2023	Wells Fargo Securities, LLC	41,533.33	7,958,466.67	5.3400
MetLife Short Term Fund	01/16/2024	12/12/2023	Loop Capital Markets, LLC	20,611.11	3,979,388.89	5.3000
Federal Home Loan Bank	01/23/2024	12/19/2023	Loop Capital Markets, LLC	25,520.83	4,974,479.17	5.2500
Federal Home Loan Bank	01/30/2024	12/20/2023	Santander US Capital Markets LLC	29,781.94	4,970,218.06	5.2300
Treasury Bill	01/30/2024	12/26/2023	CastleOak Securities, LP	50,604.17	9,949,395.83	5.2050
				\$ 2,173,619.93	\$ 568,826,380.07	

Fund - Thruway Revenue

Treasury Bill	02/28/2023	01/06/2023	Loop Capital Markets	\$ 95,863.75	\$ 14,904,136.25	4.3410
Treasury Bill	01/31/2023	01/11/2023	The Williams Capital Group, LP	41,649.68	18,128,350.32	4.1260
Koch Industries	02/22/2023	01/17/2023	Stern Brothers & Co.	108,925.00	24,891,075.00	4.3570
Koch Industries	01/31/2023	01/25/2023	Loop Capital Markets	2,639.33	3,697,360.67	4.2800
Treasury Bill	03/07/2023	01/31/2023	American Veterans Group, PBC	201,046.05	46,668,953.95	4.4120
Treasury Bill	03/28/2023	02/01/2023	American Veterans Group, PBC	10,291.87	1,489,708.13	4.4910
Treasury Bill	03/28/2023	02/08/2023	American Veterans Group, PBC	16,320.34	2,733,679.66	4.4510
Treasury Bill	03/28/2023	02/13/2023	The Williams Capital Group, LP	12,676.16	2,337,323.84	4.5160
Treasury Bill	03/28/2023	02/16/2023	American Veterans Group, PBC	8,969.99	1,791,030.01	4.4850
Koch Industries	02/28/2023	02/22/2023	Wells Fargo Securities, LLC	19,000.00	24,981,000.00	4.5600
Koch Industries	03/07/2023	03/01/2023	Wells Fargo Securities, LLC	33,900.00	44,966,100.00	4.5200

Security Description	Maturity Date	Purchase Date	Broker / Dealer / Depository	Earnings for the Year	Total Cost	Yield to Maturity
Treasury Bill	03/21/2023	03/07/2023	The Williams Capital Group, LP	160,831.81	92,339,168.19	4.4710
Koch Industries	03/31/2023	03/09/2023	Wells Fargo Securities, LLC	9,881.67	3,490,118.33	4.6200
Treasury Bill	04/04/2023	03/21/2023	Santander US Capital Markets LLC	132,008.33	92,867,991.67	3.6500
Treasury Bill	04/04/2023	03/28/2023	Siebert Williams Shank & Co., LLC	6,805.56	9,993,194.44	3.5000
Treasury Bill	04/06/2023	04/04/2023	Wells Fargo Securities, LLC	26,250.00	104,973,750.00	4.5000
Treasury Bill	04/11/2023	04/06/2023	Alamo Capital	56,874.99	104,943,125.01	3.9000
Treasury Bill	04/18/2023	04/11/2023	Stern Brothers & Co.	82,852.78	99,917,147.22	4.2610
Treasury Bill	04/25/2023	04/18/2023	Alamo Capital	70,000.00	99,930,000.00	3.6000
Roche Holdings Inc.	05/31/2023	04/21/2023	Piper Sandler & Co	26,722.22	4,973,277.78	4.8100
Treasury Bill	05/11/2023	04/26/2023	BNY Mellon Capital Markets, LLC	96,250.00	59,903,750.00	3.8500
Koch Industries	05/31/2023	05/02/2023	Wells Fargo Securities, LLC	31,900.00	7,968,100.00	4.9500
Koch Industries	05/31/2023	05/03/2023	Stern Brothers & Co.	19,526.11	4,980,473.89	5.0210
Treasury Bill	05/23/2023	05/11/2023	Alamo Capital	54,166.67	49,945,833.33	3.2500
Treasury Bill	06/29/2023	05/23/2023	Siebert Williams Shank & Co., LLC	135,949.31	24,864,050.69	5.2910
Treasury Bill	06/20/2023	05/23/2023	Siebert Williams Shank & Co., LLC	85,026.67	19,914,973.33	5.4660
Koch Industries	07/19/2023	06/07/2023	Wells Fargo Securities, LLC	72,520.00	11,927,480.00	5.1800
Treasury Bill	07/27/2023	06/20/2023	Loop Capital Markets	102,469.44	19,897,530.56	4.9850
Treasury Bill	07/25/2023	06/29/2023	Piper Sandler & Co	89,916.67	24,910,083.33	4.9800
Koch Industries	08/31/2023	07/19/2023	Wells Fargo Securities, LLC	75,106.67	11,924,893.33	5.2400
Koch Industries	08/31/2023	07/25/2023	Wells Fargo Securities, LLC	134,895.83	24,865,104.17	5.2500
Treasury Bill	08/31/2023	07/27/2023	Siebert Williams Shank & Co., LLC	101,888.89	19,898,111.11	5.2400
Treasury Bill	09/28/2023	08/31/2023	BNY Mellon Capital Markets, LLC	225,225.00	54,774,775.00	5.2650
Treasury Bill	10/05/2023	09/28/2023	CastleOak Securities, LP	10,169.44	9,989,830.56	5.2300
Federal Home Loan Bank	10/31/2023	09/28/2023	Loop Capital Markets	242,229.17	49,757,770.83	5.2850
Treasury Bill	10/12/2023	10/05/2023	Loop Capital Markets	10,222.92	9,989,777.08	5.2575
Koch Industries	10/31/2023	10/16/2023	Wells Fargo Securities, LLC	16,656.25	7,483,343.75	5.3300
Roche Holdings Inc.	11/30/2023	10/31/2023	Piper Sandler & Co	187,708.33	42,312,291.67	5.3000
Treasury Bill	11/07/2023	10/31/2023	Santander US Capital Markets LLC	15,315.42	14,984,684.58	5.2510
Treasury Bill	11/09/2023	11/07/2023	CastleOak Securities, LP	4,387.50	14,995,612.50	5.2650
Koch Industries	12/12/2023	12/01/2023	Wells Fargo Securities, LLC	32,511.11	19,967,488.89	5.3200
Koch Industries	12/29/2023	12/01/2023	BLAYLOCK VAN, LLC	103,833.33	24,896,166.67	5.3400
Koch Industries	12/19/2023	12/12/2023	Wells Fargo Securities, LLC	20,611.11	19,979,388.89	5.3000
Federal Home Loan Bank	01/11/2024	12/21/2023	Loop Capital Markets, LLC	61,133.33	19,938,866.67	5.2400
Koch Industries	01/31/2024	12/29/2023	Wells Fargo Securities, LLC	121,000.00	24,879,000.00	5.2800
				\$ 3,174,128.70	\$ 1,394,965,871.30	

Fund - E-ZPass Revenue

Treasury Bill	01/24/2023	01/06/2023	The Williams Capital Group, LP	\$ 50,200.00	\$ 24,949,800.00	4.0160
Koch Industries	02/21/2023	01/10/2023	Wells Fargo Securities, LLC	125,416.67	24,874,583.33	4.3000
Treasury Bill	02/07/2023	01/10/2023	American Veterans Group, PBC	80,519.50	24,919,480.50	4.1410
Treasury Bill	01/17/2023	01/10/2023	Wells Fargo Securities, LLC	19,687.50	24,980,312.50	4.0500
Treasury Bill	02/14/2023	01/10/2023	The Williams Capital Group, LP	99,555.56	24,900,444.44	4.0960
Treasury Bill	01/19/2023	01/11/2023	Loop Capital Markets	13,366.67	14,986,633.33	4.0100
Treasury Bill	02/02/2023	01/18/2023	The Williams Capital Group, LP	43,500.00	24,956,500.00	4.1760
Koch Industries	01/26/2023	01/18/2023	Wells Fargo Securities, LLC	23,833.33	24,976,166.67	4.2900
Koch Industries	02/16/2023	01/19/2023	Wells Fargo Securities, LLC	67,977.78	19,932,022.22	4.3700
Treasury Bill	02/09/2023	01/25/2023	Multi-Bank Securities Inc.	34,333.40	19,965,666.60	4.1200
Treasury Bill	02/21/2023	01/26/2023	Amherst Pierpont Securities	56,464.24	17,443,535.76	4.4675
Treasury Bill	03/02/2023	01/30/2023	Amherst Pierpont Securities	56,962.50	14,943,037.50	4.4100
Treasury Bill	02/23/2023	01/30/2023	Amherst Pierpont Securities	44,201.00	14,955,799.00	4.4201
Treasury Bill	02/23/2023	01/31/2023	American Veterans Group, PBC	42,502.05	14,957,497.95	4.4350
Roche Holdings Inc.	03/31/2023	02/02/2023	Piper Sandler & Co	142,500.00	19,857,500.00	4.5000
Treasury Bill	03/07/2023	02/06/2023	BNY Mellon Capital Markets, LLC	90,121.53	24,909,878.47	4.4750
Koch Industries	03/07/2023	02/07/2023	Wells Fargo Securities, LLC	87,888.89	24,912,111.11	4.5200
Koch Industries	03/31/2023	02/07/2023	Wells Fargo Securities, LLC	97,716.67	14,902,283.33	4.5100
Koch Industries	03/09/2023	02/07/2023	Wells Fargo Securities, LLC	75,333.33	19,924,666.67	4.5200
Treasury Bill	03/02/2023	02/09/2023	Stern Brothers & Co.	38,718.75	14,961,281.25	4.4250
Treasury Bill	03/14/2023	02/13/2023	BNY Mellon Capital Markets, LLC	89,819.44	24,910,180.56	4.4600
Treasury Bill	03/16/2023	02/16/2023	Multi-Bank Securities Inc.	51,799.95	14,948,200.05	4.4400
Treasury Bill	03/14/2023	02/17/2023	Wells Fargo Securities, LLC	46,614.58	14,953,385.42	4.4750

Security Description	Maturity Date	Purchase Date	Broker / Dealer / Depository	Earnings for the Year	Total Cost	Yield to Maturity
Treasury Bill	02/23/2023	02/21/2023	BNY Mellon Capital Markets, LLC	12,500.00	49,987,500.00	4.5000
Toyota Motor Credit Corporation	03/16/2023	03/01/2023	Stern Brothers & Co.	94,083.33	49,905,916.67	4.5160
Koch Industries	03/23/2023	03/02/2023	Wells Fargo Securities, LLC	65,625.00	24,934,375.00	4.5000
Treasury Bill	03/21/2023	03/02/2023	Loop Capital Markets	58,847.22	24,941,152.78	4.4600
Roche Holdings Inc.	04/28/2023	03/06/2023	Piper Sandler & Co	171,329.86	24,828,670.14	4.6550
Treasury Bill	04/27/2023	03/06/2023	Wells Fargo Securities, LLC	166,652.78	24,833,347.22	4.6150
Koch Industries	03/30/2023	03/07/2023	Wells Fargo Securities, LLC	58,138.89	19,941,861.11	4.5500
Koch Industries	03/23/2023	03/07/2023	Loop Capital Markets	50,555.56	24,949,444.44	4.5500
Treasury Bill	03/21/2023	03/07/2023	The Williams Capital Group, LP	43,516.67	24,956,483.33	4.4760
Koch Industries	03/28/2023	03/09/2023	Wells Fargo Securities, LLC	36,100.00	14,963,900.00	4.5600
Treasury Bill	03/23/2023	03/13/2023	BNY Mellon Capital Markets, LLC	17,916.67	14,982,083.33	4.3000
Koch Industries	03/28/2023	03/14/2023	Wells Fargo Securities, LLC	44,284.72	24,955,715.28	4.5550
Koch Industries	03/28/2023	03/14/2023	Wells Fargo Securities, LLC	26,570.83	14,973,429.17	4.5550
Koch Industries	04/04/2023	03/17/2023	Wells Fargo Securities, LLC	35,925.00	14,964,075.00	4.7900
Koch Industries	04/04/2023	03/20/2023	Wells Fargo Securities, LLC	49,895.83	24,950,104.17	4.7900
Treasury Bill	04/06/2023	03/21/2023	Multi-Bank Securities Inc.	66,133.20	39,933,866.80	3.7200
Treasury Bill	03/28/2023	03/22/2023	American Veterans Group, PBC	8,374.95	14,991,625.05	3.3500
Treasury Bill	04/13/2023	03/23/2023	Siebert Williams Shank & Co., LLC	50,677.08	24,949,322.92	3.4750
Koch Industries	04/11/2023	03/23/2023	Wells Fargo Securities, LLC	63,597.22	24,936,402.78	4.8200
Treasury Bill	04/11/2023	03/23/2023	Siebert Williams Shank & Co., LLC	27,565.83	14,972,434.17	3.4820
Treasury Bill	04/18/2023	03/27/2023	Stern Brothers & Co.	58,895.83	24,941,104.17	3.8550
Treasury Bill	04/18/2023	03/28/2023	Siebert Williams Shank & Co., LLC	55,883.33	24,944,116.67	3.8320
Treasury Bill	04/20/2023	03/30/2023	Stern Brothers & Co.	59,645.83	24,940,354.17	4.0900
Koch Industries	04/25/2023	04/03/2023	Wells Fargo Securities, LLC	73,638.89	24,926,361.11	4.8200
Toyota Motor Credit Corporation	05/31/2023	04/04/2023	Stern Brothers & Co.	353,264.62	44,646,735.38	4.9581
Koch Industries	05/02/2023	04/06/2023	Wells Fargo Securities, LLC	103,566.67	29,896,433.33	4.7800
Koch Industries	04/25/2023	04/06/2023	Wells Fargo Securities, LLC	50,350.00	19,949,650.00	4.7700
Koch Industries	05/04/2023	04/10/2023	Wells Fargo Securities, LLC	95,800.00	29,904,200.00	4.7900
Treasury Bill	04/18/2023	04/11/2023	Stern Brothers & Co.	41,426.39	49,958,573.61	4.2610
Koch Industries	04/20/2023	04/13/2023	Wells Fargo Securities, LLC	27,416.67	29,972,583.33	4.7000
Treasury Bill	04/25/2023	04/18/2023	Alamo Capital	35,000.00	49,965,000.00	3.6000
Treasury Bill	05/16/2023	04/20/2023	Piper Sandler & Co	96,777.78	39,903,222.22	3.3500
Treasury Bill	05/09/2023	04/20/2023	Loop Capital Markets	74,100.00	39,925,900.00	3.5100
Roche Holdings Inc.	05/16/2023	04/21/2023	Loop Capital Markets	32,986.11	9,967,013.89	4.7500
Roche Holdings Inc.	05/30/2023	04/24/2023	Piper Sandler & Co	96,200.00	19,903,800.00	4.8100
Treasury Bill	05/18/2023	04/26/2023	Stern Brothers & Co.	118,555.56	49,881,444.44	3.8800
Treasury Bill	05/23/2023	05/01/2023	Stern Brothers & Co.	90,261.11	34,909,738.89	4.2200
Koch Industries	05/25/2023	05/02/2023	Wells Fargo Securities, LLC	47,245.83	14,952,754.17	4.9300
Koch Industries	05/25/2023	05/04/2023	Wells Fargo Securities, LLC	43,312.50	14,956,687.50	4.9500
Koch Industries	05/23/2023	05/04/2023	Wells Fargo Securities, LLC	39,187.50	14,960,812.50	4.9500
Koch Industries	05/30/2023	05/08/2023	Wells Fargo Securities, LLC	75,319.44	24,924,680.56	4.9300
Treasury Bill	06/29/2023	05/09/2023	Stern Brothers & Co.	214,518.75	29,785,481.25	5.0475
Koch Industries	06/27/2023	05/11/2023	Loop Capital Markets	132,383.33	19,867,616.67	5.0700
Treasury Bill	06/06/2023	05/15/2023	Stern Brothers & Co.	48,400.00	14,951,600.00	5.2800
Treasury Bill	06/06/2023	05/16/2023	Stern Brothers & Co.	30,916.67	9,969,083.33	5.3000
Treasury Bill	06/13/2023	05/18/2023	Santander US Capital Markets LLC	135,741.67	34,864,258.33	5.3700
Treasury Bill	06/15/2023	05/22/2023	BNY Mellon Capital Markets, LLC	52,900.00	14,947,100.00	5.2900
Treasury Bill	06/15/2023	05/23/2023	Stern Brothers & Co.	70,981.83	19,929,018.17	5.5551
Treasury Bill	06/20/2023	05/24/2023	Wells Fargo Securities, LLC	74,385.00	17,925,615.00	5.5100
Treasury Bill	06/15/2023	05/25/2023	Wells Fargo Securities, LLC	107,625.00	29,892,375.00	6.1500
Koch Industries	06/27/2023	06/06/2023	Wells Fargo Securities, LLC	74,375.00	24,925,625.00	5.1000
Treasury Bill	07/27/2023	06/06/2023	CastleOak Securities, LP	364,437.50	49,635,562.50	5.1450
Treasury Bill	06/20/2023	06/07/2023	Wells Fargo Securities, LLC	56,622.22	31,943,377.78	4.9000
Treasury Bill	07/05/2023	06/07/2023	Loop Capital Markets	123,013.33	31,876,986.67	4.9425
National Securities Clearing Corp	07/11/2023	06/12/2023	Wells Fargo Securities, LLC	61,987.50	14,938,012.50	5.1300
National Securities Clearing Corp	07/13/2023	06/12/2023	Broker name: Wells Fargo Securities, LLC	66,262.50	14,933,737.50	5.1300
Koch Industries	07/20/2023	06/13/2023	Loop Capital Markets	132,840.28	24,867,159.72	5.1700
Roche Holdings Inc.	07/11/2023	06/16/2023	Piper Sandler & Co	70,138.89	19,929,861.11	5.0500
Treasury Bill	07/18/2023	06/20/2023	BNY Mellon Capital Markets, LLC	155,711.11	39,844,288.89	5.0050
Koch Industries	07/20/2023	06/21/2023	Wells Fargo Securities, LLC	143,509.72	34,856,490.28	5.0900

Security Description	Maturity Date	Purchase Date	Broker / Dealer / Depository	Earnings for the Year	Total Cost	Yield to Maturity
Treasury Bill	07/06/2023	06/28/2023	Wells Fargo Securities, LLC	65,066.66	59,934,933.34	4.8800
Treasury Bill	07/25/2023	07/03/2023	Stern Brothers & Co.	91,210.17	29,908,789.83	4.9751
Koch Industries	08/15/2023	07/05/2023	Piper Sandler & Co	262,912.50	44,737,087.50	5.1300
Treasury Bill	07/25/2023	07/06/2023	BNY Mellon Capital Markets, LLC	66,104.17	24,933,895.83	5.0100
Treasury Bill	07/18/2023	07/10/2023	Loop Capital Markets	11,111.11	9,988,888.89	5.0000
Treasury Bill	08/17/2023	07/11/2023	Santander US Capital Markets LLC	238,650.00	44,761,350.00	5.1600
National Securities Clearing Corp	08/29/2023	07/13/2023	Loop Capital Markets	102,420.83	14,897,579.17	5.2300
Koch Industries	08/22/2023	07/20/2023	Wells Fargo Securities, LLC	143,825.00	29,856,175.00	5.2300
Koch Industries	08/24/2023	07/20/2023	Wells Fargo Securities, LLC	152,541.67	29,847,458.33	5.2300
Treasury Bill	08/31/2023	07/24/2023	American Veterans Group, PBC	220,737.60	39,779,262.40	5.2280
PSP Captial Inc	08/17/2023	07/25/2023	Piper Sandler & Co	50,695.83	14,949,304.17	5.2900
Total (Energies) Capital SA	08/08/2023	07/26/2023	Alamo Capital	47,440.97	24,952,559.03	5.2550
PSP Captial Inc	09/29/2023	07/27/2023	Loop Capital Markets	236,444.44	24,763,555.56	5.3200
Treasury Bill	09/05/2023	07/27/2023	Santander US Capital Markets LLC	145,861.11	24,854,138.89	5.2510
Federal Home Loan Bank	08/15/2023	08/08/2023	Stern Brothers & Co.	25,423.61	24,974,576.39	5.2300
Koch Industries	09/07/2023	08/10/2023	Wells Fargo Securities, LLC	62,066.67	14,937,933.33	5.3200
Koch Industries	09/29/2023	08/11/2023	Wells Fargo Securities, LLC	72,275.00	9,927,725.00	5.3100
Treasury Bill	09/21/2023	08/14/2023	Stern Brothers & Co.	110,833.33	19,889,166.67	5.2500
Metlife Short Term Fund	09/19/2023	08/15/2023	Stern Brothers & Co.	128,989.58	24,871,010.42	5.3070
Metlife Short Term Fund	09/21/2023	08/15/2023	Stern Brothers & Co.	136,360.42	24,863,639.58	5.3070
Treasury Bill	09/12/2023	08/16/2023	Santander US Capital Markets LLC	59,355.00	14,940,645.00	5.2760
Treasury Bill	09/14/2023	08/17/2023	Santander US Capital Markets LLC	102,083.33	24,897,916.67	5.2500
Treasury Bill	08/31/2023	08/21/2023	CastleOak Securities, LP	36,319.44	24,963,680.56	5.2300
Koch Industries	08/29/2023	08/22/2023	Wells Fargo Securities, LLC	51,041.67	49,948,958.33	5.2500
Treasury Bill	09/19/2023	08/23/2023	Santander US Capital Markets LLC	59,298.75	14,940,701.25	5.2710
Treasury Bill	09/26/2023	08/24/2023	Stern Brothers & Co	168,437.50	34,831,562.50	5.2500
Koch Industries	10/31/2023	08/29/2023	Wells Fargo Securities, LLC	232,750.00	24,767,250.00	5.3200
Treasury Bill	09/07/2023	08/30/2023	Piper Sandler & Co	46,444.44	39,953,555.56	5.2250
Treasury Bill	09/12/2023	08/31/2023	BNY Mellon Capital Markets, LLC	43,666.67	24,956,333.33	5.2400
Treasury Bill	09/12/2023	09/05/2023	Multi-Bank Securities Inc.	25,525.75	24,974,474.25	5.2510
Koch Industries	09/21/2023	09/07/2023	Wells Fargo Securities, LLC	61,600.00	29,938,400.00	5.2800
Treasury Bill	09/14/2023	09/07/2023	CastleOak Securities, LP	40,600.00	39,959,400.00	5.2200
Koch Industries	09/19/2023	09/07/2023	Wells Fargo Securities, LLC	44,000.00	24,956,000.00	5.2800
Treasury Bill	09/26/2023	09/12/2023	Loop Capital Markets	51,051.39	24,948,948.61	5.2510
Treasury Bill	10/03/2023	09/12/2023	Santander US Capital Markets LLC	76,722.92	24,923,277.08	5.2610
Treasury Bill	09/28/2023	09/12/2023	Wells Fargo Securities, LLC	58,333.33	24,941,666.67	5.2500
Treasury Bill	10/05/2023	09/13/2023	Santander US Capital Markets LLC	80,286.25	24,919,713.75	5.2551
Koch Industries	10/31/2023	09/14/2023	Wells Fargo Securities, LLC	138,911.11	19,861,088.89	5.3200
Treasury Bill	10/10/2023	09/18/2023	Piper Sandler & Co	48,354.17	14,951,645.83	5.2750
Metlife Short Term Fund	10/19/2023	09/21/2023	CastleOak Securities, LP	165,200.00	39,834,800.00	5.3100
Koch Industries	10/31/2023	09/21/2023	Wells Fargo Securities, LLC	59,111.11	9,940,888.89	5.3200
Koch Industries	10/17/2023	09/21/2023	Wells Fargo Securities, LLC	153,688.89	39,846,311.11	5.3200
Koch Industries	10/24/2023	09/22/2023	Wells Fargo Securities, LLC	47,288.89	9,952,711.11	5.3200
Microsoft Corporation	10/24/2023	09/25/2023	Bank of America Merrill Lynch	106,736.11	24,893,263.89	5.3000
Treasury Bill	10/26/2023	10/02/2023	Multi-Bank Securities Inc.	157,500.00	44,842,500.00	5.2500
Microsoft Corporation	11/02/2023	10/03/2023	Loop Capital Markets	176,333.33	39,823,666.67	5.2900
Koch Industries	10/12/2023	10/04/2023	Wells Fargo Securities, LLC	11,800.00	9,988,200.00	5.3100
Treasury Bill	10/12/2023	10/05/2023	BNY Mellon Capital Markets, LLC	30,683.33	29,969,316.67	5.2600
Microsoft Corporation	11/30/2023	10/10/2023	Piper Sandler & Co	113,687.50	14,886,312.50	5.3500
Treasury Bill	11/30/2023	10/10/2023	Loop Capital Markets	113,071.25	14,886,928.75	5.3210
Treasury Bill	10/17/2023	10/12/2023	BNY Mellon Capital Markets, LLC	10,979.17	14,989,020.83	5.2700
National Securities Clearing Corp	11/30/2023	10/12/2023	Loop Capital Markets	109,229.17	14,890,770.83	5.3500
Treasury Bill	10/19/2023	10/16/2023	BNY Mellon Capital Markets, LLC	11,000.00	24,989,000.00	5.2800
Koch Industries	11/16/2023	10/17/2023	CastleOak Securities, LP	195,933.50	43,834,066.50	5.3400
Treasury Bill	10/24/2023	10/18/2023	Loop Capital Markets	21,916.67	24,978,083.33	5.2600
Treasury Bill	10/31/2023	10/19/2023	Stern Brothers & Co.	70,280.00	39,929,720.00	5.2710
Treasury Bill	11/07/2023	10/19/2023	American Veterans Group, PBC	69,732.75	24,930,267.25	5.2850
Treasury Bill	11/09/2023	10/23/2023	Loop Capital Markets	49,800.56	19,950,199.44	5.2730
Treasury Bill	10/26/2023	10/23/2023	BNY Mellon Capital Markets, LLC	4,375.00	9,995,625.00	5.2500
Treasury Bill	11/14/2023	10/24/2023	Santander US Capital Markets LLC	107,902.08	34,892,097.92	5.2850

Security Description	Maturity Date	Purchase Date	Broker / Dealer / Depository	Earnings for the Year	Total Cost	Yield to Maturity
Treasury Bill	10/31/2023	10/25/2023	BNY Mellon Capital Markets, LLC	30,625.00	34,969,375.00	5.2500
Treasury Bill	11/09/2023	10/31/2023	BNY Mellon Capital Markets, LLC	65,750.00	49,934,250.00	5.2600
Treasury Bill	11/14/2023	10/31/2023	Santander US Capital Markets LLC	51,343.06	24,948,656.94	5.2810
Koch Industries	11/07/2023	10/31/2023	Wells Fargo Securities, LLC	51,333.33	49,948,666.67	5.2800
Koch Industries	11/14/2023	11/02/2023	Wells Fargo Securities, LLC	44,250.00	24,955,750.00	5.3100
Treasury Bill	11/16/2023	11/03/2023	CastleOak Securities, LP	47,395.83	24,952,604.17	5.2500
Koch Industries	11/21/2023	11/07/2023	Loop Capital Markets	103,444.44	49,896,555.56	5.3200
Treasury Bill	11/21/2023	11/08/2023	Wells Fargo Securities, LLC	94,972.00	49,905,028.00	5.2600
Treasury Bill	11/16/2023	11/09/2023	BNY Mellon Capital Markets, LLC	25,520.83	24,974,479.17	5.2500
Wal-Mart Stores	11/28/2023	11/09/2023	Siebert Williams Shank & Co., LLC	69,996.53	24,930,003.47	5.3050
Wal-Mart Stores	11/28/2023	11/13/2023	Stern Brothers & Co.	55,135.42	24,944,864.58	5.2930
National Securities Clearing Corp	12/19/2023	11/14/2023	Loop Capital Markets, LLC	129,548.61	24,870,451.39	5.3300
Koch Industries	12/29/2023	11/14/2023	Wells Fargo Securities, LLC	333,750.00	49,666,250.00	5.3400
Koch Industries	12/05/2023	11/16/2023	Wells Fargo Securities, LLC	98,087.50	34,901,912.50	5.3100
Treasury Bill	12/12/2023	11/20/2023	BNY Mellon Capital Markets, LLC	80,513.89	24,919,486.11	5.2700
Treasury Bill	12/07/2023	11/20/2023	BNY Mellon Capital Markets, LLC	37,329.17	14,962,670.83	5.2700
Treasury Bill	12/21/2023	11/21/2023	Santander US Capital Markets LLC	88,000.00	19,912,000.00	5.2800
Treasury Bill	12/12/2023	11/21/2023	Santander US Capital Markets LLC	61,436.67	19,938,563.33	5.2660
Treasury Bill	12/19/2023	11/21/2023	Santander US Capital Markets LLC	205,333.33	49,794,666.67	5.2800
Treasury Bill	12/14/2023	11/27/2023	Stern Brothers & Co.	49,394.44	19,950,605.56	5.2300
Koch Industries	12/21/2023	11/28/2023	Piper Sandler & Co	68,105.56	19,931,894.44	5.3300
Treasury Bill	12/26/2023	11/28/2023	BNY Mellon Capital Markets, LLC	164,733.33	39,835,266.67	5.2950
Treasury Bill	12/28/2023	11/30/2023	BNY Mellon Capital Markets, LLC	102,472.22	24,897,527.78	5.2700
Microsoft Corporation	01/31/2024	12/06/2023	Loop Capital Markets	371,700.00	44,628,300.00	5.3100
Treasury Bill	01/02/2024	12/07/2023	Loop Capital Markets, LLC	94,927.08	24,905,072.92	5.2575
Treasury Bill	12/19/2023	12/12/2023	Wells Fargo Securities, LLC	35,831.25	34,964,168.75	5.2650
Treasury Bill	01/02/2024	12/14/2023	CastleOak Securities, LP	27,708.33	9,972,291.67	5.2500
Treasury Bill	01/16/2024	12/15/2023	Stern Brothers & Co.	69,800.00	14,930,200.00	5.2350
Federal Home Loan Bank	01/18/2024	12/18/2023	Loop Capital Markets	113,236.11	24,886,763.89	5.2600
Treasury Bill	01/18/2024	12/20/2023	Stern Brothers & Co.	104,762.50	24,895,237.50	5.2020
Treasury Bill	01/16/2024	12/21/2023	Santander US Capital Markets LLC	151,117.78	39,848,882.22	5.2310
Treasury Bill	01/23/2024	12/26/2023	Santander US Capital Markets LLC	81,837.78	19,918,162.22	5.2610
Treasury Bill	01/30/2024	12/29/2023	Stern Brothers & Co.	116,666.67	24,883,333.33	5.2500
Treasury Bill	01/25/2024	12/29/2023	Stern Brothers & Co.	98,156.25	24,901,843.75	5.2350
				\$ 15,319,124.95	\$ 4,658,210,875.05	

Fund - Facilities Capital Improvement

Koch Industries	01/18/2023	01/05/2023	Wells Fargo Securities, LLC	\$ 3,845.83	\$ 2,496,154.17	4.2600
Treasury Bill	01/26/2023	01/10/2023	BNY Mellon Capital Markets, LLC	4,522.22	2,495,477.78	4.0700
Koch Industries	02/02/2023	01/18/2023	Loop Capital Markets	4,447.92	2,495,552.08	4.2700
Treasury Bill	02/09/2023	01/26/2023	The Williams Capital Group, LP	4,010.42	2,495,989.58	4.1250
Treasury Bill	02/16/2023	02/02/2023	Loop Capital Markets	4,144.10	2,495,855.90	4.2625
Toyota Motor Credit Corporation	02/23/2023	02/09/2023	Wells Fargo Securities, LLC	4,375.00	2,495,625.00	4.5000
Koch Industries	03/03/2023	02/16/2023	Loop Capital Markets	4,708.32	2,495,291.68	4.5200
Treasury Bill	03/09/2023	02/23/2023	The Williams Capital Group, LP	4,361.39	2,495,638.61	4.4860
Treasury Bill	03/16/2023	03/03/2023	Multi-Bank Securities Inc.	2,432.08	1,497,567.92	4.4900
Koch Industries	03/24/2023	03/09/2023	Wells Fargo Securities, LLC	4,739.58	2,495,260.42	4.5500
Treasury Bill	03/30/2023	03/16/2023	Siebert Williams Shank & Co., LLC	2,444.17	1,497,555.83	4.1900
Treasury Bill	04/06/2023	03/24/2023	American Veterans Group, PBC	2,979.17	2,497,020.83	3.3000
Treasury Bill	04/13/2023	03/30/2023	Siebert Williams Shank & Co., LLC	2,479.75	1,497,520.25	4.2510
Koch Industries	04/18/2023	04/06/2023	Wells Fargo Securities, LLC	3,966.67	2,496,033.33	4.7600
Koch Industries	04/28/2023	04/13/2023	Wells Fargo Securities, LLC	2,981.25	1,497,018.75	4.7700
Treasury Bill	05/04/2023	04/18/2023	The Williams Capital Group, LP	3,520.00	1,996,480.00	3.9600
Treasury Bill	05/16/2023	04/26/2023	Stern Brothers & Co.	4,333.33	1,995,666.67	3.9000
Treasury Bill	05/11/2023	04/28/2023	BNY Mellon Capital Markets, LLC	2,145.00	1,497,855.00	3.9600
Koch Industries	05/25/2023	05/04/2023	Wells Fargo Securities, LLC	5,751.67	1,994,248.33	4.9300
Treasury Bill	06/01/2023	05/11/2023	Multi-Bank Securities Inc	4,602.49	1,495,397.51	5.2600
Treasury Bill	06/08/2023	05/16/2023	Stern Brothers & Co.	6,837.39	1,993,162.61	5.3510
Treasury Bill	06/15/2023	05/25/2023	Siebert Williams Shank & Co., LLC	3,698.33	996,301.67	6.3400
Treasury Bill	06/22/2023	06/08/2023	CastleOak Securities, LP	3,795.56	1,996,204.44	4.8800

Security Description	Maturity Date	Purchase Date	Broker / Dealer / Depository	Earnings for the Year	Total Cost	Yield to Maturity
National Securities Clearing Corp	07/06/2023	06/15/2023	Piper Sandler & Co	2,980.83	997,019.17	5.1100
Treasury Bill	07/11/2023	06/22/2023	Loop Capital Markets	3,918.75	1,496,081.25	4.9500
Treasury Bill	07/20/2023	07/06/2023	BNY Mellon Capital Markets, LLC	1,948.33	998,051.67	5.0100
Treasury Bill	07/27/2023	07/11/2023	CastleOak Securities, LP	5,566.67	2,494,433.33	5.0100
Federal Home Loan Bank	08/10/2023	07/27/2023	Loop Capital Markets	3,062.50	1,496,937.50	5.2500
Federal Home Loan Bank	08/04/2023	07/27/2023	Loop Capital Markets	1,151.11	998,848.89	5.1800
Treasury Bill	08/17/2023	08/04/2023	Wells Fargo Securities, LLC	2,832.92	1,497,167.08	5.2300
Treasury Bill	08/24/2023	08/10/2023	Multi-Bank Securities Inc.	6,090.00	2,993,910.00	5.2200
Treasury Bill	08/31/2023	08/17/2023	BNY Mellon Capital Markets, LLC	3,050.83	1,496,949.17	5.2300
Treasury Bill	09/07/2023	08/24/2023	Siebert Williams Shank & Co., LLC	6,119.17	2,993,880.83	5.2450
Federal Home Loan Bank	09/15/2023	08/31/2023	Santander US Capital Markets LLC	3,268.75	1,496,731.25	5.2300
Treasury Bill	09/21/2023	09/07/2023	Loop Capital Markets	6,119.75	2,993,880.25	5.2455
Federal Home Loan Bank	09/29/2023	09/15/2023	Alamo Capital	4,067.78	1,995,932.22	5.2300
Koch Industries	10/05/2023	09/21/2023	Wells Fargo Securities, LLC	6,195.00	2,993,805.00	5.3100
Treasury Bill	10/12/2023	09/29/2023	Loop Capital Markets	3,799.61	1,996,200.39	5.2610
Treasury Bill	10/19/2023	10/05/2023	American Veterans Group, PBC	6,149.49	2,993,850.51	5.2710
Treasury Bill	10/26/2023	10/12/2023	Siebert Williams Shank & Co., LLC	6,154.17	2,993,845.83	5.2750
Treasury Bill	11/02/2023	10/12/2023	BNY Mellon Capital Markets, LLC	9,222.50	2,990,777.50	5.2700
Koch Industries	11/08/2023	10/19/2023	Wells Fargo Securities, LLC	8,866.67	2,991,133.33	5.3200
Koch Industries	11/09/2023	10/26/2023	Wells Fargo Securities, LLC	6,195.00	2,993,805.00	5.3100
Treasury Bill	11/16/2023	11/02/2023	BNY Mellon Capital Markets, LLC	4,091.11	1,995,908.89	5.2600
Koch Industries	11/16/2023	11/08/2023	Wells Fargo Securities, LLC	4,693.33	3,995,306.67	5.2800
Wal-Mart Stores	11/30/2023	11/09/2023	Piper Sandler & Co	9,257.50	2,990,742.50	5.2900
Federal Home Loan Bank	11/21/2023	11/15/2023	Multi-Bank Securities Inc.	4,366.65	4,995,633.35	5.2400
Treasury Bill	12/07/2023	11/16/2023	American Veterans Group, PBC	18,413.50	5,981,586.50	5.2610
Treasury Bill	12/14/2023	11/21/2023	Santander US Capital Markets LLC	16,850.69	4,983,149.31	5.2750
Treasury Bill	12/21/2023	11/30/2023	BNY Mellon Capital Markets, LLC	9,213.75	2,990,786.25	5.2650
Treasury Bill	12/28/2023	12/07/2023	Loop Capital Markets, LLC	16,867.81	5,483,132.19	5.2575
Treasury Bill	01/04/2024	12/14/2023	CastleOak Securities, LP	15,239.58	4,984,760.42	5.2250
Treasury Bill	01/11/2024	12/21/2023	BNY Mellon Capital Markets, LLC	3,045.00	996,955.00	5.2200
Treasury Bill	01/18/2024	12/28/2023	BNY Mellon Capital Markets, LLC	16,779.58	5,483,220.42	5.2300
				\$ 306,699.97	\$ 136,193,300.03	
Fund - Retirement Pension Accrual						
Treasury Bill	06/08/2023	01/12/2023	The Williams Capital Group, LP	\$ 27,930.00	\$ 1,472,070.00	4.5600
Treasury Bill	11/02/2023	05/04/2023	BNY Mellon Capital Markets, LLC	48,533.33	1,951,466.67	4.8000
Treasury Bill	11/02/2023	05/24/2023	CastleOak Securities, LP	68,715.00	2,931,285.00	5.0900
Toyota Motor Credit Corporation	11/02/2023	06/06/2023	Wells Fargo Securities, LLC	221,016.67	9,778,983.33	5.3400
Treasury Bill	11/02/2023	06/08/2023	Multi-Bank Securities Inc.	31,605.00	1,468,395.00	5.1600
MetLife Short Term Fund	12/05/2023	07/11/2023	Stern Brothers & Co.	43,863.17	1,956,136.83	5.3710
PSP Captial Inc	11/02/2023	09/18/2023	Piper Sandler & Co	19,950.00	2,980,050.00	5.3200
Koch Industries	12/08/2023	11/02/2023	Wells Fargo Securities, LLC	106,800.00	19,893,200.00	5.3400
Microsoft Corporation	05/07/2024	12/08/2023	Bank of America Merrill Lynch	156,788.33	6,843,211.67	5.3400
				\$ 725,201.50	\$ 49,274,798.50	
Fund - Public Liability Claims						
Treasury Bill	02/16/2023	01/12/2023	The Williams Capital Group, LP	\$ 49,886.67	\$ 11,950,113.33	4.2760
Treasury Bill	02/16/2023	01/25/2023	Piper Sandler & Co	2,266.37	880,733.63	4.2000
Treasury Bill	03/21/2023	02/16/2023	Alamo Capital	52,492.86	12,830,507.14	4.4450
Treasury Bill	04/18/2023	03/21/2023	Bank of America Merrill Lynch	40,347.22	12,459,652.78	4.1500
Treasury Bill	05/18/2023	04/18/2023	The Williams Capital Group, LP	40,400.00	11,959,600.00	4.0400
Treasury Bill	06/13/2023	04/26/2023	BNY Mellon Capital Markets, LLC	12,746.67	1,987,253.33	4.7800
Koch Industries	07/11/2023	05/18/2023	Piper Sandler & Co	87,802.50	11,412,197.50	5.0900
Koch Industries	08/22/2023	06/13/2023	Loop Capital Markets	20,222.22	1,979,777.78	5.2000
Koch Industries	08/22/2023	07/11/2023	Bank of America Merrill Lynch	63,700.00	10,436,300.00	5.2000
Koch Industries	09/19/2023	08/22/2023	Wells Fargo Securities, LLC	51,430.56	12,448,569.44	5.2900
Koch Industries	10/27/2023	09/19/2023	Wells Fargo Securities, LLC	70,062.50	12,429,937.50	5.3100
Roche Holdings Inc.	12/05/2023	10/27/2023	Piper Sandler & Co	71,906.25	12,428,093.75	5.3100
Koch Industries	01/10/2024	12/05/2023	Wells Fargo Securities, LLC	66,750.00	12,433,250.00	5.3400
				\$ 630,013.82	\$ 125,635,986.18	

Security Description	Maturity Date	Purchase Date	Broker / Dealer / Depository	Earnings for the Year	Total Cost	Yield to Maturity
Fund - Operating						
Treasury Bill	01/17/2023	01/06/2023	Loop Capital Markets	\$ 6,065.28	\$ 4,993,934.72	3.9700
Treasury Bill	01/24/2023	01/11/2023	Loop Capital Markets, LLC	7,402.78	4,992,597.22	4.1000
Treasury Bill	01/31/2023	01/17/2023	BNY Mellon Capital Markets, LLC	8,127.78	4,991,872.22	4.1800
Treasury Bill	01/24/2023	01/19/2023	Loop Capital Markets, LLC	1,702.08	2,998,297.92	4.0850
Treasury Bill	01/31/2023	01/24/2023	Multi-Bank Securities Inc.	3,986.10	4,996,013.90	4.1000
Treasury Bill	02/07/2023	01/31/2023	Multi-Bank Securities Inc.	3,986.10	4,996,013.90	4.1000
Toyota Motor Credit Corporation	02/14/2023	02/07/2023	Wells Fargo Securities, LLC	4,394.44	4,995,605.56	4.5200
Treasury Bill	04/11/2023	04/03/2023	Siebert Williams Shank & Co., LLC	5,962.67	5,994,037.33	4.4720
Treasury Bill	04/18/2023	04/04/2023	CastleOak Securities, LP	8,594.44	4,991,405.56	4.4200
Treasury Bill	04/25/2023	04/14/2023	Alamo Capital	5,652.78	4,994,347.22	3.7000
Treasury Bill	05/09/2023	05/03/2023	BNY Mellon Capital Markets, LLC	10,700.00	14,989,300.00	4.2800
BMW US Capital LLC	05/17/2023	05/09/2023	CastleOak Securities, LP	11,111.11	9,988,888.89	5.0000
Koch Industries	05/23/2023	05/12/2023	Wells Fargo Securities, LLC	15,186.11	9,984,813.89	4.9700
Koch Industries	05/30/2023	05/12/2023	Wells Fargo Securities, LLC	24,950.00	9,975,050.00	4.9900
Treasury Bill	06/10/2023	05/17/2023	Siebert Williams Shank & Co., LLC	17,903.33	7,982,096.67	5.3710
Koch Industries	06/20/2023	06/06/2023	Wells Fargo Securities, LLC	19,638.89	9,980,361.11	5.0500
Treasury Bill	06/13/2023	06/06/2023	Siebert Williams Shank & Co., LLC	9,527.78	9,990,472.22	4.9000
National Securities Clearing Corp	06/27/2023	06/13/2023	Piper Sandler & Co	15,928.89	7,984,071.11	5.1200
Federal Home Loan Bank	06/27/2023	06/20/2023	Multi-Bank Securities Inc.	1,925.00	1,998,075.00	4.9500
Treasury Bill	07/05/2023	06/27/2023	Piper Sandler & Co	6,448.00	5,993,552.00	4.8360
Treasury Bill	07/18/2023	07/05/2023	Piper Sandler & Co	10,790.00	5,989,210.00	4.9800
Koch Industries	08/02/2023	07/10/2023	Loop Capital Markets	32,647.22	9,967,352.78	5.1100
Koch Industries	07/24/2023	07/10/2023	Wells Fargo Securities, LLC	19,677.78	9,980,322.22	5.0600
Koch Industries	08/15/2023	08/02/2023	Wells Fargo Securities, LLC	9,551.39	4,990,448.61	5.2900
Koch Industries	08/07/2023	08/02/2023	Wells Fargo Securities, LLC	3,659.72	4,996,340.28	5.2700
Koch Industries	08/23/2023	08/07/2023	Wells Fargo Securities, LLC	11,755.56	4,988,244.44	5.2900
Roche Holdings Inc.	08/28/2023	08/09/2023	Loop Capital Markets	41,562.50	14,958,437.50	5.2500
Roche Holdings Inc.	09/05/2023	08/09/2023	Loop Capital Markets	59,287.50	14,940,712.50	5.2700
Treasury Bill	09/12/2023	08/17/2023	Santander US Capital Markets LLC	18,980.00	4,981,020.00	5.2560
Treasury Bill	09/21/2023	08/23/2023	Multi-Bank Securities Inc.	21,133.75	4,978,866.25	5.2470
Koch Industries	09/26/2023	09/01/2023	Wells Fargo Securities, LLC	18,402.78	4,981,597.22	5.3000
Treasury Bill	09/26/2023	09/05/2023	CastleOak Securities, LP	15,370.83	4,984,629.17	5.2700
National Securities Clearing Corp	10/03/2023	09/05/2023	Loop Capital Markets	41,222.22	9,958,777.78	5.3000
Federal Home Loan Bank	10/11/2023	09/05/2023	Siebert Williams Shank & Co., LLC	26,375.00	4,973,625.00	5.2750
Koch Industries	10/10/2023	09/15/2023	Wells Fargo Securities, LLC	18,437.50	4,981,562.50	5.3100
Federal Home Loan Bank	09/26/2023	09/21/2023	Wells Fargo Securities, LLC	3,631.94	4,996,368.06	5.2300
Treasury Bill	10/17/2023	10/03/2023	Santander US Capital Markets LLC	10,249.17	4,989,750.83	5.2710
Roche Holdings Inc.	10/24/2023	10/03/2023	CastleOak Securities, LP	30,858.33	9,969,141.67	5.2900
MetLife Short Term Fund	10/31/2023	10/03/2023	CastleOak Securities, LP	41,300.00	9,958,700.00	5.3100
Koch Industries	11/08/2023	10/06/2023	Wells Fargo Securities, LLC	24,520.83	4,975,479.17	5.3500
Treasury Bill	11/14/2023	10/12/2023	Stern Brothers & Co.	97,185.00	19,902,815.00	5.3010
Koch Industries	11/22/2023	11/08/2023	Wells Fargo Securities, LLC	10,286.11	4,989,713.89	5.2900
Treasury Bill	11/28/2023	11/14/2023	BNY Mellon Capital Markets, LLC	41,027.78	19,958,972.22	5.2750
Koch Industries	12/05/2023	11/17/2023	Wells Fargo Securities, LLC	13,300.00	4,986,700.00	5.3200
Koch Industries	12/05/2023	11/29/2023	Wells Fargo Securities, LLC	8,850.00	9,991,150.00	5.3100
Treasury Bill	12/12/2023	12/05/2023	The Williams Capital Group, LP	10,227.78	9,989,772.22	5.2600
Koch Industries	12/20/2023	12/12/2023	Wells Fargo Securities, LLC	5,888.89	4,994,111.11	5.3000
				\$ 835,373.14	\$ 358,164,626.86	
Fund - Environmental Remediation Reserve						
Treasury Bill	02/07/2023	01/05/2023	Stern Brothers & Co.	\$ 35,750.00	\$ 9,964,250.00	3.9000
Koch Industries	03/10/2023	02/07/2023	Wells Fargo Securities, LLC	38,922.22	9,961,077.78	4.5200
Koch Industries	04/10/2023	03/10/2023	Wells Fargo Securities, LLC	40,644.44	9,959,355.56	4.7200
Toyota Motor Credit Corporation	05/15/2023	04/10/2023	Wells Fargo Securities, LLC	47,638.89	9,952,361.11	4.9000
Roche Holdings Inc.	06/08/2023	04/26/2023	Piper Sandler & Co	57,572.22	9,942,427.78	4.8200
Treasury Bill	06/27/2023	05/02/2023	Stern Brothers & Co.	23,380.00	2,976,620.00	5.0100
Koch Industries	06/27/2023	05/15/2023	Loop Capital Markets	60,677.78	9,939,322.22	5.0800
Roche Holdings Inc.	06/29/2023	06/08/2023	Piper Sandler & Co	26,250.00	8,973,750.00	5.0000

Security Description	Maturity Date	Purchase Date	Broker / Dealer / Depository	Earnings for the Year	Total Cost	Yield to Maturity
Treasury Bill	08/03/2023	06/27/2023	Siebert Williams Shank & Co., LLC	62,036.67	11,937,963.33	5.0300
Treasury Bill	08/24/2023	06/29/2023	Wells Fargo Securities, LLC	71,680.00	8,928,320.00	5.1200
Roche Holdings Inc.	09/12/2023	08/03/2023	Piper Sandler & Co	58,444.44	9,941,555.56	5.2600
Koch Industries	10/06/2023	08/24/2023	Wells Fargo Securities, LLC	57,082.50	8,942,917.50	5.3100
Koch Industries	10/02/2023	09/12/2023	Wells Fargo Securities, LLC	29,444.44	9,970,555.56	5.3000
Treasury Bill	11/09/2023	10/02/2023	Piper Sandler & Co	50,160.00	8,949,840.00	5.2800
Koch Industries	12/08/2023	10/06/2023	Wells Fargo Securities, LLC	84,420.00	8,915,580.00	5.3600
Koch Industries	12/20/2023	11/09/2023	Wells Fargo Securities, LLC	54,427.50	8,945,572.50	5.3100
Koch Industries	01/08/2024	12/08/2023	Wells Fargo Securities, LLC	41,307.50	8,958,692.50	5.3300
Federal Home Loan Bank	01/23/2024	12/20/2023	Santander US Capital Markets LLC	44,625.00	8,955,375.00	5.2500
				\$ 884,463.60	\$ 166,115,536.40	
Grand Total - Thruway All Programs				\$ 58,377,360.34	\$ 10,315,451,315.66	<u>WAM</u> 40.9068

**Summary - Yearly Investments by Fund
For the Year Ending December 31, 2023
Trust Fund Program - New York State Thruway Authority**

Fund	Cost	Interest for Year	Avg. Yield
Trust Fund Bond Service	\$ 45,343,907.94	\$ 1,077,092.06	5.1474%
Total	<u>\$ 45,343,907.94</u>	<u>\$ 1,077,092.06</u>	5.1474%

Weighted Average Maturity 162

Yearly Investments By Fund
New York State Thruway Authority
For the Period January 01, 2023 thru December 31, 2023

Security Description	Maturity Date	Purchase Date	Broker / Dealer / Depository	Earnings for the Year	Total Cost	Yield to Maturity	
<u>Fund - Trust Fund Debt Service</u>							
Treasury Bill	03/30/23	01/25/23	Loop Capital Markets, LLC	\$ 10,146.69	\$ 1,280,853.31	4.4210	
Treasury Bill	03/30/23	02/24/23	Loop Capital Markets	5,336.04	1,281,663.96	4.3900	
Treasury Bill	09/28/23	04/25/23	Piper Sandler & Co	98,719.92	4,677,280.08	4.7700	
Koch Industries	09/28/23	05/25/23	Wells Fargo Securities, LLC	84,590.10	4,545,409.90	5.2200	
Treasury Bill	03/21/24	06/23/23	American Veterans Group, PBC	175,710.86	4,546,289.14	4.9250	
Federal Home Loan Bank	03/28/24	07/25/23	Santander US Capital Markets LLC	168,346.76	4,545,653.24	5.2050	
Federal Home Loan Bank	03/28/24	08/25/23	Stern Brothers & Co.	148,565.10	4,545,434.90	5.2750	
Toyota Motor Credit Corporation	01/12/24	09/25/23	Stern Brothers & Co.	54,784.59	3,276,215.41	5.4320	
Toyota Motor Credit Corporation	03/28/24	10/10/23	Loop Capital Markets, LLC	79,428.96	2,995,571.04	5.4700	
Treasury Bill	03/28/24	10/25/23	Santander US Capital Markets LLC	106,367.61	4,549,632.39	5.3060	
Treasury Bill	03/28/24	11/24/23	The Williams Capital Group, LP	83,749.90	4,550,250.10	5.2050	
Treasury Bill	03/28/24	12/26/23	Multi-Bank Securities Inc.	61,345.53	4,549,654.47	5.1500	
				<u>\$ 1,077,092.06</u>	<u>\$ 45,343,907.94</u>	<u>5.1474%</u>	
			Total - Trust Fund Program	<u>\$ 1,077,092.06</u>	<u>\$ 45,343,907.94</u>	<u>5.1474%</u>	WAM 162

**Summary - Yearly Investments by Fund
For the Year Ending December 31, 2023
PIT Program - New York State Thruway Authority**

<u>Fund</u>	<u>Cost</u>	<u>Interest for Year</u>	<u>Avg. Yield</u>
PIT Bond Proceeds	\$ 9,404,753,147.01	\$ 92,144,852.99	4.928%
PIT Bonds Debt Service	1,392,832,302.24	9,376,697.76	4.956%
Total	<u>\$ 10,797,585,449.25</u>	<u>\$ 101,521,550.75</u>	4.931%
Weighted Average Maturity			68

Yearly Investments By Fund
New York State Thruway Authority
For the Period January 01, 2023 thru December 31, 2023

Security Description	Maturity Date	Purchase Date	Broker / Dealer / Depository	Earnings for the Year	Total Cost	Yield to Maturity
<u>Fund - PIT Bonds Proceeds</u>						
Treasury Bill	02/28/23	01/11/23	Wells Fargo Securities, LLC	\$ 431,349.04	\$ 73,060,650.96	4.4020
Treasury Bill	02/14/23	01/19/23	Piper Sandler & Co	624,809.00	197,343,191.00	4.3700
Treasury Bill	02/21/23	01/24/23	Amherst Pierpont Securities	340,011.99	97,348,988.01	4.4750
Treasury Bill	02/21/23	01/26/23	Oppenheimer & Co. Inc.	639,839.48	197,223,160.52	4.4775
Treasury Bill	03/14/23	01/26/23	The Williams Capital Group, LP	3,155,214.34	542,388,785.66	4.4300
Treasury Bill	03/09/23	02/09/23	Stern Brothers & Co.	644,473.59	184,519,526.41	4.4750
Treasury Bill	03/07/23	02/14/23	BNY Mellon Capital Markets, LLC	521,701.41	197,342,298.59	4.5200
Treasury Bill	03/07/23	02/21/23	Multi-Bank Securities Inc.	344,590.43	197,222,409.57	4.4850
Treasury Bill	03/07/23	02/21/23	Multi-Bank Securities Inc.	170,089.71	97,348,910.29	4.4850
Treasury Bill	08/17/23	02/23/23	BNY Mellon Capital Markets, LLC	4,748,093.78	197,059,906.22	4.8400
Treasury Bill	04/13/23	02/28/23	Loop Capital Markets	405,948.63	73,060,051.37	4.5210
Treasury Bill	03/21/23	03/07/23	Wells Fargo Securities, LLC	169,215.40	97,348,784.60	4.4620
Treasury Bill	03/21/23	03/07/23	Wells Fargo Securities, LLC	685,846.57	394,564,153.43	4.4620
Treasury Bill	04/11/23	03/09/23	Stern Brothers & Co.	786,125.58	184,518,874.42	4.6280
Treasury Bill	04/11/23	03/14/23	The Williams Capital Group, LP	1,820,073.42	542,387,926.58	4.3000
Treasury Bill	09/14/23	03/23/23	The Williams Capital Group, LP	8,525,819.60	369,629,180.40	4.6380
Treasury Bill	07/20/23	04/11/23	The Williams Capital Group, LP	154,169.29	11,427,830.71	4.7920
Treasury Bill	07/20/23	04/11/23	The Williams Capital Group, LP	7,317,184.33	542,387,815.67	4.7920
Treasury Bill	05/11/23	04/13/23	The Williams Capital Group, LP	534,980.99	174,709,019.01	3.9250
Treasury Bill	09/07/23	04/20/23	Piper Sandler & Co	2,259,949.07	117,610,050.93	4.8480
Treasury Bill	05/18/23	04/20/23	Piper Sandler & Co	567,554.15	196,120,445.85	3.7100
Treasury Bill	09/14/23	04/20/23	Piper Sandler & Co	10,870,208.84	539,148,791.16	4.8400
Treasury Bill	05/25/23	05/11/23	Piper Sandler & Co	249,023.55	174,708,976.45	3.6600
Treasury Bill	05/25/23	05/18/23	Multi-Bank Securities Inc.	132,034.42	196,119,965.58	3.4600
Federal Home Loan Bank	05/30/23	05/25/23	Stern Brothers & Co.	125,378.75	196,119,621.25	4.6000
Federal Home Loan Bank	05/30/23	05/25/23	Stern Brothers & Co.	34,343.47	53,720,656.53	4.6000
Treasury Bill	05/30/23	05/26/23	Loop Capital Markets, LLC	30,666.67	119,969,333.33	2.3000
Federal Home Loan Bank	08/10/23	05/30/23	Loop Capital Markets, LLC	1,473,270.80	141,562,729.20	5.1500
Treasury Bill	11/07/23	07/13/23	Loop Capital Markets, LLC	3,363,623.31	194,601,376.69	5.2280
Treasury Bill	09/14/23	07/13/23	Stern Brothers & Co.	411,710.02	45,067,289.98	5.1730
Treasury Bill	11/14/23	07/20/23	Wells Fargo Securities, LLC	7,173,980.52	413,199,019.48	5.2510
Treasury Bill	03/21/24	07/20/23	BNY Mellon Capital Markets, LLC	405,032.37	11,426,967.63	5.0300
Federal Home Loan Bank	09/12/23	08/10/23	CastleOak Securities, LP	683,906.91	141,562,093.09	5.2450
Treasury Bill	09/28/23	08/17/23	BNY Mellon Capital Markets, LLC	1,220,248.17	197,059,751.83	5.2750
Treasury Bill	09/28/23	09/07/23	Piper Sandler & Co	361,286.19	117,609,713.81	5.2500
Treasury Bill	09/14/23	09/12/23	Multi-Bank Securities Inc.	40,529.32	141,561,470.68	5.1520
Treasury Bill	12/19/23	09/14/23	Wells Fargo Securities, LLC	5,715,843.42	399,778,156.58	5.2860
Treasury Bill	12/14/23	09/28/23	Wells Fargo Securities, LLC	3,604,585.67	314,669,414.33	5.2950
Treasury Bill	03/05/24	11/07/23	Santander US Capital Markets LLC	3,464,096.33	194,600,903.67	5.2910
Treasury Bill	03/12/24	11/14/23	Wells Fargo Securities, LLC	7,340,504.04	413,198,495.96	5.2805
Treasury Bill	03/26/24	12/14/23	The Williams Capital Group, LP	4,753,203.44	314,668,796.56	5.2010
Treasury Bill	03/28/24	12/19/23	Piper Sandler & Co	5,844,336.98	399,777,663.02	5.1870
				\$ 92,144,852.99	\$ 9,404,753,147.01	4.928%
<u>Fund - PIT Bonds Debt Service</u>						
Treasury Bill	03/14/23	02/08/23	Santander US Capital Markets LLC	\$ 103,131.09	\$ 24,325,868.91	4.4700
Treasury Bill	03/14/23	03/10/23	Wells Fargo Securities, LLC	76,991.92	155,637,008.08	4.4500
Treasury Bill	06/01/23	03/16/23	Siebert Williams Shank & Co., LLC	2,360,735.13	244,999,264.87	4.4620
Treasury Bill	06/22/23	03/24/23	Stern Brothers & Co.	210,170.27	18,766,829.73	4.4300
Treasury Bill	06/22/23	04/21/23	The Williams Capital Group, LP	184,841.88	22,576,158.12	4.7150
Treasury Bill	08/01/23	06/01/23	The Williams Capital Group, LP	2,163,905.04	247,359,094.96	5.1180
Treasury Bill	07/11/23	06/22/23	The Williams Capital Group, LP	113,799.45	43,314,200.55	4.9650
Treasury Bill	09/14/23	07/11/23	Stern Brothers & Co.	409,294.70	43,428,705.30	5.1710
Treasury Bill	09/14/23	07/28/23	Wells Fargo Securities, LLC	100,608.00	14,299,392.00	5.2400
Treasury Bill	09/28/23	08/01/23	Wells Fargo Securities, LLC	2,133,658.40	249,522,341.60	5.2625
Treasury Bill	09/14/23	09/01/23	Loop Capital Markets, LLC	11,773.96	6,221,226.04	5.2310
Treasury Bill	09/14/23	09/08/23	Multi-Bank Securities Inc.	17,167.00	19,790,833.00	5.2000
Treasury Bill	10/05/23	09/28/23	Bank of America Merrill Lynch	257,161.19	251,655,838.81	5.2500
Treasury Bill	03/14/24	10/06/23	BNY Mellon Capital Markets, LLC	1,233,459.73	50,933,540.27	5.3200
				\$ 9,376,697.76	\$ 1,392,832,302.24	4.956%
Total - PIT Program				\$ 101,521,550.75	\$ 10,797,585,449.25	

TO: The Finance Committee

DATE: April 9, 2024

FROM: David Malone
Chief Financial Officer

Andrew Trombley
Director of Procurement Services

SUBJECT: Annual Report of 2023 Procurement Contracts

In accordance with Section 2879 of the Public Authorities Law, the Authority is required to prepare, approve and submit to the New York State (NYS) Division of the Budget an annual report on procurement contracts. Included in the report are schedules of all personal service contracts, all purchasing contracts, all construction contracts, a summary of all bond sales and the guidelines for awarding such contracts. A copy of this report is also submitted to the NYS Office of the State Comptroller, the NYS Department of Economic Development, the NYS Senate Finance Committee and the NYS Assembly Ways and Means Committee.

The 2023 schedules of personal service contracts and purchasing contracts are attached as Exhibits A1 (Non-Engineering Personal Service Contracts), A2 (Engineering and Architectural Contracts), A3 (Purchasing Contracts) and A4 (Construction Contracts). These schedules identify the consultants/vendors providing goods or services, the amounts of the contracts, a description of the goods or services provided and the status of the contract.

In addition, the Authority is required to submit annually a bond sale report summarizing all bond sales that occurred during the calendar year. Information regarding these sales is included in the attached report marked Exhibit B.

The guidelines for awarding procurement contracts are found in the Policy Statement entitled PROCUREMENT CONTRACTS (25-5-01). This Policy Statement is attached as Exhibit

C. Unless otherwise authorized by the Board, staff of the Authority followed these guidelines in the awarding of procurement contracts during 2023. Part of the process includes the Authority's review of the proposed awardee for compliance with applicable statutes and policy requirements, which consist of compliance with State Finance Law Sections 139-j and 139-k, and performance of an affirmative review of a contractor's or Professional Firm's responsibility. This performance review is designed to provide reasonable assurance that the proposed contractor or Professional Firm is responsible and considers such issues as: integrity; performance on other governmental contracts; legal ability to supply the product or perform the services; and financial and organizational capability.

RECOMMENDATION:

It is recommended that the Finance Committee approve this item and authorize Authority staff to present this item to the Authority Board.

EXHIBIT A1

New York State Thruway Authority
Schedule of Procurement Contracts
Personal Service and Lease Agreements
For the Year Ended December 31, 2023

Contractor Name	Contract Number	Address / Contract Description	Status	Type of Procurement	Award Process	Award Date	Begin Date	Renew Date	End Date	Contract Amount	Fiscal Year 2023	Life To Date	Current Balance	Bids/Propo	MBE/WBE	MWBE Solicit	MWBE Propo	
AASHTO	C010672	555 12TH STREET NW AASHTO MLA and LSA	SUITE 1000 Open	WASHINGTON	DC	20004	7/21/2023	7/1/2022	USA	6/30/2027	\$2,300,000	\$415,900	\$415,900	\$1,884,100	0	N	N	0
ACACIA FINANCIAL GROUP, INC.	C010631	6000 MIDLANTIC DRIVE Financial Advisory Services	SUITE 410 NO Open	MOUNT LAUREL	NJ	08054	12/21/2021	7/19/2021	USA	7/18/2024	\$900,000	\$3,150	\$37,500	\$862,500	11	Y	Y	6
ADESTA LLC	C010560 D212885	1200 LANDMARK CENTER Fiber Optic System Maintenance for costs associated with moving fit	SUITE 1300 Open Completed	OMAHA	NE	68102	11/25/2020	10/9/2020	USA	10/8/2030 10/8/2020	\$32,000,000 \$15,800,000	\$3,643,092 \$273,797	\$6,496,612 \$10,986,695	\$25,503,388 \$4,813,305	2 1	N N	Y Y	0 0
AMERICAN TRAFFIC SOLUTIONS INC.	C010674	1150 N ALMA SCHOOL Automated workzone speed enforce	Open	MESA	AZ	85201	11/25/2020	8/4/2022	USA	8/3/2025	\$5,163,000	\$719,613	\$719,613	\$4,443,387	3	N	Y	0
ASSOCIATION OF PUBLIC-SAFETY COMMUN	C010561	351 N WILLIAMSON BLVD radio frequency coordination servic	Open	DAYTONA BEACH	FL	32114	12/13/2020	12/13/2020	USA	12/12/2027	\$160,000	\$11,750	\$141,430	\$18,570	1	N	N	0
BLACKBURN GROUP, INC.	C010682	PO BOX 142 Mandatory Medicare Reporting Ser	Open	PENFIELD	NY	14526	12/19/2022	1/1/2023	USA	12/31/2027	\$35,000	\$5,145	\$5,145	\$29,855	2	N	Y	0
BOLTON PARTNERS, INC.	C010519	36 S CHARLES ST STE 1000 Actuarial Consulting Services	Open	BALTIMORE	MD	21201	11/13/2018	11/13/2018	USA	12/31/2022	\$49,500	\$1,500	\$34,500	\$15,000	4	N	Y	0
BST & CO. CPAS, LLP	C010537	10 BRITISH AMERICAN BLVD Professional Accounting & Auditing	Open	LATHAM	NY	12110	2/12/2020	12/1/2019	USA	11/30/2024	\$708,900	\$111,129	\$411,027	\$297,873	6	N	Y	0
CANAL SOCIETY OF NEW YORK STATE	C010494	7308 JAMESVILLE RD - STAT Operation and Maintenance of Erie	Open	MANLIUS	NY	13104	9/21/2018	5/14/2018	USA	5/13/2023	\$427,904	\$78,642	\$388,693	\$39,211	1	N	Y	0
CASTLE ROCK CONSULTANTS, INC.	C010553	524 E BURNSIDE SUITE 710 CARS ATMS Support	Open	PORTLAND	OR	97214	7/14/2021	1/1/2021	USA	12/31/2025	\$500,000	\$68,425	\$199,356	\$300,644	1	N	N	0
CIR ELECTRICAL CONSTRUCTION CORPORA	C010396	2517 LONG ROAD Solar Highway Initiative Services	Open	GRAND ISLAND	NY	14072	3/27/2017	12/6/2016	USA	12/5/2031	\$2,134,573	\$22,877	\$316,978	\$1,817,595	6	N	Y	0
CNY POMEROY APPRAISERS, INC.	C010502	100 E SENECA ST SUITE 200 Real Property Appraisal Services -	Open	MANLIUS	NY	13104	9/6/2018	9/6/2018	USA	9/5/2023	\$150,000	\$5,591	\$20,591	\$129,409	6	N	Y	1
CONDUENT STATE & LOCAL SOLUTIONS IN	C010572 C100739	PO BOX 201322 E-ZPass Customer Service Center EZ Pass New York Customer Servi	Open Open	DALLAS	TX	75320	1/7/2022	1/21/2022	USA	1/20/2030 2/29/2024	\$185,300,000 \$512,950,000	\$974,796 \$55,159,008	\$1,137,398 \$476,081,615	\$184,162,602 \$36,868,385	10 2	N N	Y Y	0 0
CONTI APPRAISAL AND CONSULTING	C010716	614 ROUTE 9W Real Property Valuation Services	Open	GLENMONT	NY	12077	10/4/2013	9/6/2023	USA	9/5/2028	\$200,000	\$4,500	\$4,500	\$195,500	8	Y	Y	1
CPR & SAFETY CONSULTING AND TRAINI	C010684	PO BOX 301 Chainsaw Safety Training	Open	ISLIP	NY	11751	3/21/2023	3/21/2023	USA	3/20/2026	\$173,430	\$35,036	\$35,036	\$138,394	1	Y	Y	1
DAVID CAPOBIANCO	C010692	5 BITTERSWEET LANE Consulting Services	Open	LOUDONVILLE	NY	12211	1/6/2023	12/1/2022	USA	11/30/2023	\$35,000	\$24,521	\$24,521	\$10,479	1	N	N	0
DAVIS VISION INC	C010551	PO BOX 848376 Employee Vision Care Contract	Open	DALLAS	TX	75284	3/30/2020	3/1/2020	USA	2/28/2025	\$1,760,539	\$156,857	\$595,410	\$1,165,129	1	N	Y	0
DUNCAN SOLUTIONS D/B/A PROFESSIONAL	C010557	PROFESSIONAL ACCOUNT MAN 123 S JUSTISC E-ZPass and other receivables coll	Open	WILMINGTON	DE	19801	1/14/2021	1/14/2021	USA	1/13/2026	\$7,800,000	\$3,158,865	\$5,607,621	\$2,192,379	15	N	Y	0
ENERGETIX CORPORATION	C010556	2000 N DREXEL BLVD Employee drug and alcohol testing	Open	OKLAHOMA CITY	OK	73107	9/23/2020	7/1/2020	USA	6/30/2025	\$322,111	\$39,068	\$129,350	\$192,761	2	N	Y	0

New York State Thruway Authority
Schedule of Procurement Contracts
Personal Service and Lease Agreements
For the Year Ended December 31, 2023

Contractor Name	Contract Number	Address / Contract Description	Status	Type of Procurement	Award Process	Award Date	Begin Date	Renew Date	End Date	Contract Amount	Fiscal Year 2023	Life To Date	Current Balance	Bids/Propo	MBE/WBE	MWBE Solicit	MWBE Propo
FRASCA & ASSOCIATES, LLC	C010634	521 MADISON AVENUE 7TH FL Financial Advisory Services	Open	NEW YORK Financial Services	NY Authority Contract - Competitiv	10022 12/21/2021	7/19/2021		USA 7/18/2024	\$900,000	\$7,275	\$7,275	\$892,725	11	Y	Y	6
FUGRO USA LAND, INC.	C010671	PO BOX 301083 Software to collect roadway image	Open	DALLAS Technology - Software	TX Authority Contract - Non-Comp	75303 10/4/2022	1083 1/1/2022		USA 12/31/2024	\$36,033	\$10,893	\$23,331	\$12,702	1	N	N	0
GAR ASSOCIATES	C010504	5500 MAIN ST - SUITE 347 Real Property Appraisal Services -	Open	WILLIAMSVILLE Other	NY Authority Contract - Competitiv	14221 9/6/2018	9/6/2018		USA 9/5/2023	\$150,000	\$5,000	\$37,500	\$112,500	6	N	Y	1
GOODMAN-MARKS ASSOCIATES, INC.	C010718	170 OLD COUNTRY ROAD SUITE 501 Real Property Valuation Services	Open	MINEOLA Other Professional Servi	NY Authority Contract - Competitiv	11501 10/4/2023	9/6/2023		USA 9/5/2028	\$200,000	\$2,850	\$2,850	\$197,150	8	N	Y	1
GREENMAN-PEDERSEN, INC.	C010670	80 WOLF RD SUITE 300 Land Acquisition Services	Open	ALBANY Other	NY Authority Contract - Competitiv	12205 4/26/2022	4/26/2022		USA 4/25/2023	\$35,000	\$1,575	\$6,660	\$28,340	1	N	Y	0
HAWKINS DELAFIELD & WOODS	C010387 C010613	7 WORLD TRADE CENTER General Bond Counsel General Bond Counsel	Open Open	250 GREENWI NEW YORK Legal Services Legal Services	NY Authority Contract - Competitiv Authority Contract - Competitiv	10007 12/13/2016 2/15/2022	7/1/2016 7/1/2021		USA 12/31/2021 6/30/2026	\$1,750,000 \$800,000	\$2,264 \$53,666	\$1,705,063 \$53,666	\$44,937 \$746,334	25 22	N N	Y Y	13 10
HOLLAND AND KNIGHT LLP	C010480	PO BOX 864084 OUTSIDE COUNSEL SERVICES	Open	ORLANDO Legal Services	FL Authority Contract - Competitiv	32886 9/6/2018	4084 4/25/2018		USA 4/24/2023	\$500,000	\$1,518	\$2,518	\$497,482	98	N	Y	23
INTERFLEX PAYMENTS DBA AMERIFLEX	C010568	PO BOX 871655 Flex Spending Accounts services	Open	KANSAS CITY Other	MO Authority Contract - Competitiv	64187 10/14/2020	1655 8/1/2020		USA 4/30/2026	\$22,620	\$2,616	\$9,038	\$13,582	5	N	Y	1
JOHN KOWALSKI	C010693	71 JEWETT PARKWAY Consulting Services	Open	BUFFALO Other Professional Servi	NY Authority Contract - Non-Comp	14214 12/28/2022	12/1/2022		USA 11/30/2023	\$35,000	\$22,796	\$22,796	\$12,204	1	N	N	0
KAPSCH TRAFFICCOM USA, INC.	C010078 C010241 C010577	2855 PREMIERE PARKWAY SUI Provide electronic toll equipment fc All electronic tolling system Technical Support ATMS	Open Open Open	DULUTH Other Other Technology - Consulting	GA Authority Contract - Competitiv Authority Contract - Competitiv Authority Contract - Non-Comp	30097 8/15/2012 6/13/2014 8/26/2022	4/23/2012 6/13/2014 7/1/2022		USA 11/22/2024 6/12/2024 6/30/2025	\$73,600,000 \$108,000,000 \$305,908	\$5,236,654 \$4,591,577 \$51,555	\$73,390,475 \$101,586,026 \$51,555	\$209,525 \$6,413,974 \$254,353	4 4 1	N N N	Y Y N	0 0 0
KIMBALL APPRAISAL GROUP INC.	C010506	120 EAST WASHINGTON STREE Real Property Appraisal Services -	Open	SYRACUSE Other	NY Authority Contract - Competitiv	13202 9/6/2018	9/6/2018		USA 9/5/2023	\$150,000	\$4,598	\$33,795	\$116,205	6	N	Y	1
KLW APPRAISAL GROUP, INC.	C010713	247 CAYUGA ROAD SUITE 40 Real Property Valuation Services	Open	BUFFALO Other Professional Servi	NY Authority Contract - Competitiv	14225 10/4/2023	9/6/2023		USA 9/5/2028	\$200,000	\$2,900	\$2,900	\$197,100	8	N	Y	1
KRISTINE EDWARDS	C010691	23 BRIAR PATCH RD Consulting Services	Open	ROCHESTER Consulting Services	NY Authority Contract - Non-Comp	14618 12/15/2022	12/1/2022		USA 11/30/2023	\$35,000	\$26,783	\$26,783	\$8,217	1	N	N	0
MISSION CRITICAL PARTNERS LLC	C010601	690 GRAY'S WOODS BLVD Technical support for CAD/RMS	Open	PORT MATILDA Technology - Consulting	PA Authority Contract - Non-Comp	16870 10/13/2021	8/12/2021		USA 8/11/2024	\$103,305	\$33,200	\$72,700	\$30,605	1	N	N	0
N F P PROPERTY & CASUALTY SERVICES	C010518	PO BOX 200522 Insurance Broker Services	Open	DALLAS Other	TX Authority Contract - Competitiv	75320 2/22/2019	0522 11/7/2018		USA 11/6/2023	\$625,000	\$187,500	\$625,000	\$0	3	N	Y	0
NEW YORK POWER AUTHORITY	C010498	123 MAIN STREET New York Power Authority agreemr	Open	WHITE PLAINS Other	NY Authority Contract - Non-Comp	10601 11/21/2018	11/21/2018		USA 11/20/2023	\$1,914,515	\$1,675,523	\$1,675,523	\$238,992	1	N	N	0
NYS OFC OF INFORMATION TECH	C010533 C010543	CASHIERS OFFICE NYSOITS - WebNY Services for Gi Webcasting Services from OGS M	Open Open	BSC FINANCE ALBANY Technology - Consulting Other	NY Authority Contract - Non-Comp Authority Contract - Non-Comp	12226 11/25/2020 2/12/2021	1900 10/15/2019 11/14/2020		USA 10/14/2024 11/13/2025	\$118,958 \$75,000	\$15,526 \$5,845	\$56,059 \$14,770	\$62,899 \$60,230	1 1	N N	N N	0 0
PRECISION ENVIRONMENTAL SERVICES	C010633	831 RTE 67 LOT 38A Soil remediation services Verona N	Open	BALLSTON SPA Other	NY Authority Contract - Non-Comp	12020 4/19/2022	4/1/2022		USA 3/31/2023	\$660,000	\$632,165	\$632,165	\$27,835	1	Y	Y	0
PROFESSIONAL HEALTH SERVICES, INC	C010496	83 SOUTH EAGLE ROAD On-site Mobile Medical Services	Open	HAVERTOWN Other	PA Authority Contract - Competitiv	19083 10/12/2018	11/1/2018		USA 10/31/2023	\$944,400	\$272,323	\$461,919	\$482,481	4	N	Y	1

New York State Thruway Authority
Schedule of Procurement Contracts
Personal Service and Lease Agreements
For the Year Ended December 31, 2023

Contractor Name	Contract Number	Address / Contract Description	Status	Type of Procurement	Award Process	Award Date	Begin Date	Renew Date	End Date	Contract Amount	Fiscal Year 2023	Life To Date	Current Balance	Bids/Propo	MBE/WBE	MWBE Solicit	MWBE Propo
ROCKLAND COUNTY	C010297	18 NEW HEMPSTEAD RD Rockland County Sewer services	Open	NEW CITY Other	NY Authority Contract - Non-Comp	10956 11/5/2020	11/5/2020		USA 11/4/2050	\$3,380,000	\$200,924	\$836,724	\$2,543,276	1	N	N	0
SECURITAS ELECTRONIC SECURITY INC.	C010583	PO BOX 643731 Software maintenance services for	Open	PITTSBURGH Technology - Software	PA Authority Contract - Non-Comp	15264 11/2/2021	5253 7/31/2020		USA 12/31/2025	\$98,577	\$18,198	\$62,181	\$36,396	1	N	N	0
	C010584	Software/Hardware Maintenance -	Open	Technology - Hardware	Authority Contract - Non-Comp	11/2/2021	2/1/2021		1/31/2026	\$1,296,199	\$307,257	\$718,842	\$577,358	1	N	N	0
THE BANK OF NEW YORK	C010540	CORPORATE TRUST DEPARTME Trustee Services	Open	PITTSBURGH Financial Services	PA Authority Contract - Competitiv	15251 12/16/2020	9013 12/16/2020		USA 12/15/2030	\$622,500	\$12,750	\$33,000	\$589,500	4	N	Y	0
	C010558	Custody and Safekeeping Services	Open	Financial Services	Authority Contract - Competitiv	12/18/2020	12/18/2020		12/17/2025	\$378,500	\$165,274	\$378,500	\$0	3	N	Y	0
	C100143	trustee services for Thruway reven	Open	Financial Services	Authority Contract - Competitiv	12/7/1994	12/7/1994		12/31/2043	\$1,270,000	\$14,250	\$446,942	\$823,058	1	N	Y	0
THE BANK OF NEW YORK MELLON	C100932	101 BARCLAY STREET Trustee Services	7 WEST Open	NEW YORK Financial Services	NY Authority Contract - Competitiv	10286 6/16/2009	4100 2/1/2009		USA 3/15/2033	\$622,500	\$1,500	\$323,007	\$299,494	6	N	Y	0
TOWN OF VERONA	C010690	6600 GERMANY RD Sewer and Water Connect Constru	Open	DURHAMVILLE Other Professional Servi	NY Authority Contract - Non-Comp	13054 10/5/2022	4100 4/1/2024		USA 10/31/2024	\$27,000	\$27,000	\$27,000	\$0	1	N	N	0
TRAIN FOR THE CRANE LLC	C010586	32396 TANSEL RD Certification of Crane Operators	PO BOX 34096 Open	INDIANAPOLIS Other	IN Authority Contract - Competitiv	46234 10/6/2021	10/6/2021		USA 10/5/2024	\$202,400	\$25,000	\$66,850	\$135,550	1	N	Y	0
TRANSCOM INC	C010452	NEWPORT FINANCIAL CENTER Membership Agreement 1/1/19-12/	111 TOWN SQ Open	JERSEY CITY Other	NJ Authority Contract - Non-Comp	07310 5/24/2018	1755 1/1/2019		USA 12/31/2025	\$1,200,000	\$158,511	\$763,889	\$436,111	1	N	N	0
	C010570	TI-MED Traffic Detection System -	Open	Technology - Consulting	Authority Contract - Non-Comp	12/23/2021	7/1/2021		6/30/2026	\$79,182	\$20,582	\$25,422	\$53,760	1	N	N	0
TRANSCORE LP	C010592	4415 LEWIS ROAD E-ZPass Customer Service Center	Open	HARRISBURG Other	PA Authority Contract - Competitiv	17111 3/4/2022	6/22/2022		USA 6/21/2027	\$12,385,000	\$112,170	\$112,170	\$12,272,830	4	N	Y	0
TTEC GOVERNMENT SOLUTIONS	C010591	9197 SOUTH PEORIA STREET E-ZPass Customer Service Center	Open	ENGLEWOOD Other	CO Authority Contract - Competitiv	80112 1/7/2022	6/22/2022		USA 6/21/2027	\$59,600,000	\$2,494,467	\$2,962,180	\$56,637,820	13	N	Y	0
VERTOSOFT LLC	C010668	1602 VILLAGE MARKET BLVD SOFTWARE FOR LEASE ACCOU	SUITE 215 Open	LEESBURG Technology - Software	VA Authority Contract - Non-Comp	20175 5/26/2022	4721 5/26/2022		USA 5/25/2027	\$43,000	\$8,000	\$19,000	\$24,000	1	N	N	0
WHITEMAN OSTERMAN & HANNA	C010490	ONE COMMERCE PLAZA Outside Counsel Services	Open	ALBANY Legal Services	NY Authority Contract - Competitiv	12260 9/6/2018	4/25/2018		USA 8/31/2023	\$800,000	\$81,827	\$698,254	\$101,746	98	N	Y	23
DUNNE MANNING INC	X100844	645 HAMILTON STREET SUITE fuel services	Open	ALLENTOWN Consulting Services	PA Authority Contract - Competitiv	18101 3/29/2007	4/1/2007		USA 3/31/2027	\$6,930,997	\$258,721	\$6,837,619	\$93,378	3	N	Y	0
EMPIRE STATE THRUWAY PARTNERS LLC	X010535	208 HARRISTOWN ROAD Lease - 27 Service Areas	SUITE 102 Open	GLEN ROCK Other	NJ Authority Contract - Competitiv	07452 1/29/2021	7/1/2021		USA 6/30/2054	\$4,541,229	\$4,321,311	\$4,321,311	\$219,918	3	N	Y	0
										\$1,053,507,781	\$85,987,608	\$702,922,748	\$350,585,033				

EXHIBIT A2

New York State Thruway Authority
Schedule of Procurement Contracts
Engineering and Architecture Consultant Agreements
For the Year Ended December 31, 2023

Contractor Name	Contract Number	Address / Contract Description	Status	Type of Procurement	Award Process	Award Date	Begin Date	Renew Date	End Date	Contract Amount	Fiscal Year 2023	Life To Date	Current Balance	Bids/Proposals	MBE/WBE	MWBE Solicit	MWBE Propo
ADVANCE TESTING COMPANY, INC.	D214765	3348 RTE 208 Term Agreement for Materials Testing	Open	CAMPBELL HALL Consulting Services	NY Authority Contract - Competitive Bid	10916 8/11/2020			USA 6/26/2024	\$500,000	\$129,573	\$241,992	\$258,008	4	N	Y	2
	D214796	Term Agreement for Material Testing	Open	Consulting Services	Authority Contract - Competitive Bid	3/11/2021	12/31/2020		6/30/2024	\$500,000	\$205,451	\$213,011	\$286,989	3	N	Y	1
APPLIED RESEARCH ASSOCIATES INC.	D214784	4300 SAN MATEO BLVD TERM AGREEMENT FOR STATEWIDE	NE SUITE A Open	ALBUQUERQUE Consulting Services	NM Authority Contract - Competitive Bid	87110 12/4/2020	1295 9/30/2020		USA 9/29/2023	\$750,000	\$145,487	\$329,655	\$420,345	4	N	Y	0
ATANE ENGINEERS, ARCHITECTS LS DPC	D214804	40 WALL STREET 11TH FLOOR Agreement for 2022-2023 Biennial Bri	Open	NEW YORK Consulting Services	NY Authority Contract - Competitive Bid	10005 3/2/2022			USA 3/14/2024	\$6,000,000	\$2,347,093	\$3,522,709	\$2,477,291	11	Y	Y	2
ATLANTIC TESTING LABORATORIES, LTD.	D214795	6431 US HIGHWAY 11 Term Agreement for Material Testing	Completed	CANTON Consulting Services	NY Authority Contract - Competitive Bid	13617 1/22/2021	0029 1/1/2021		USA 12/31/2022	\$300,000	\$53,013	\$297,070	\$2,930	2	Y	Y	1
	D214879	Term Agreement for Material Testing	Completed	Consulting Services	Authority Contract - Competitive Bid	8/8/2022	6/6/2022		6/5/2024	\$300,000	\$291,314	\$297,979	\$2,021	1	Y	Y	1
	D214918	Term Agreement for Materials Testing	Open	Consulting Services	Authority Contract - Competitive Bid	8/7/2023	3/27/2023		3/26/2025	\$500,000	\$193,122	\$193,122	\$306,878	2	Y	Y	1
	D214919	Term Agreement for Material Testing	Open	Consulting Services	Authority Contract - Competitive Bid	8/8/2023	3/27/2023		3/26/2025	\$500,000	\$198,838	\$198,838	\$301,162	1	Y	Y	1
BARTON & LOGUIDICE, D.P.C.	D214837	443 ELECTRONICS PARKWAY Term Agreement for Construction Ins	Open	LIVERPOOL Consulting Services	NY Authority Contract - Competitive Bid	13088 1/19/2022			USA 9/19/2024	\$3,000,000	\$368,753	\$368,753	\$2,631,247	5	N	N	2
BEARDSLEY DESIGN ASSOC.	D214307	64 SOUTH STREET Term Agreement for Architectural Des	Open	AUBURN Consulting Services	NY Authority Contract - Competitive Bid	13021 9/21/2015	11/19/2014		USA 12/31/2023	\$2,000,000	\$18,265	\$1,574,749	\$425,251	25	N	Y	3
BERGMANN ASSOC. ARCH, ENG, LAND,DPC	D214810	280 EAST BROAD STREET Term Agreement for Bridge Design S	SUITE 200 Open	ROCHESTER Consulting Services	NY Authority Contract - Competitive Bid	14604 9/3/2021	1909 6/7/2021		USA 6/6/2025	\$3,000,000	\$325,141	\$910,624	\$2,089,376	17	N	Y	2
	D214841	Term Agreement for Construction Ins	Open	Consulting Services	Authority Contract - Competitive Bid	1/19/2022	9/20/2021		9/30/2026	\$3,000,000	\$453,631	\$453,631	\$2,546,369	0	N	N	0
BUREAU VERITAS NORTH AMERICA INC.	D214877	PO BOX 841566 Term Agreement for Testing and Insp	Open	DALLAS Consulting Services	TX Authority Contract - Competitive Bid	75284 5/4/2022	1566 6/6/2022		USA 6/5/2025	\$1,500,000	\$70,995	\$70,995	\$1,429,005	5	N	Y	2
C & S ENGINEERS, INC.	D214798	PO BOX 64366 Term Agreement for Architectural Des	Open	BALTIMORE Consulting Services	MD Authority Contract - Competitive Bid	21264 12/9/2020	4366 4/1/2021		USA 3/31/2024	\$1,500,000	\$85,728	\$85,728	\$1,414,272	17	N	Y	1
CHA CONSULTING INC.	D214339	PO BOX 5269 Design Services for the Rehabilitation	Open	ALBANY Consulting Services	NY Authority Contract - Competitive Bid	12205 5/6/2015	0269 12/1/2014		USA 12/31/2022	\$3,800,000	\$86,840	\$3,590,292	\$209,708	7	N	Y	0
	D214385	Term Agreement for Design Services	Open	Consulting Services	Authority Contract - Competitive Bid	9/3/2015	7/13/2015		12/31/2024	\$3,000,000	\$128,835	\$3,000,000	\$0	23	N	Y	3
	D214617	TERM AGREEMENT FOR HIGHWAY	Open	Consulting Services	Authority Contract - Competitive Bid	3/14/2018	11/21/2017		7/31/2024	\$4,120,000	\$105,058	\$4,039,946	\$80,054	12	N	Y	2
	D214829	Term Agreement for Highway Design	Open	Consulting Services	Authority Contract - Competitive Bid	1/21/2022	9/20/2021		9/19/2025	\$4,000,000	\$806,177	\$806,177	\$3,193,823	11	N	N	1
CPL ARCH,ENG,LARCH & SURV,DBA CPL	D214672	255 WOODCLIFF DRIVE TERM AGREEMENT FOR ARCHITECT	SUITE 100 Open	FAIRPORT Consulting Services	NY Authority Contract - Competitive Bid	14450 12/21/2018			USA 12/31/2025	\$1,500,000	\$9,819	\$727,343	\$772,657	13	N	Y	1
	D214797	Term Agreement for Architectural Des	Open	Consulting Services	Authority Contract - Competitive Bid	6/22/2021	4/1/2021		3/31/2024	\$1,500,000	\$38,186	\$182,916	\$1,317,084	16	N	Y	1
CSX TRANSPORTATION INC.	D214774	PNC BANK C/O CSXT GOVT BILLING Railroad Agreement for Bridge Bearin	Completed	ATLANTA Consulting Services	GA Authority Contract - Non-Competitive Bid	30353 5/14/2020	0192 5/31/2019		USA 7/31/2021	\$27,893	\$6,384	\$6,384	\$21,509	0	N	N	0
	D214775	Railroad Agreement for Preliminary Er	Open	Consulting Services	Authority Contract - Non-Competitive Bid	10/30/2020	11/1/2019		3/29/2024	\$785,000	\$365,585	\$474,287	\$310,713	1	N	N	0
DEWBERRY ENGINEERS, INC.	D214823	132 WEST 31ST STREET Term Agreement for Construction Insp	Open	NEW YORK Consulting Services	NY Authority Contract - Competitive Bid	10001 11/10/2021			USA 12/31/2023	\$3,000,000	\$722,838	\$2,589,102	\$410,898	20	N	Y	6
ERDMAN, ANTHONY AND ASSOCIATES, INC	D214867	145 CULVER ROAD, SUITE 20 Term Agreement for Bridge Design S	Open	ROCHESTER Consulting Services	NY Authority Contract - Competitive Bid	14620 3/29/2022	1/31/2022		USA 1/30/2026	\$2,500,000	\$797,037	\$899,971	\$1,600,029	14	N	Y	0
GREENMAN-PEDERSEN, INC.	D214472	80 WOLF RD SUITE 300 Term Agreement for Design Services	Open	ALBANY Consulting Services	NY Authority Contract - Competitive Bid	12205 7/26/2016			USA 12/31/2024	\$3,000,000	\$174,882	\$2,740,153	\$259,847	17	N	Y	2
	D214589	Term Agreement for Design Support	Open	Consulting Services	Authority Contract - Competitive Bid	2/12/2018	7/17/2017		12/31/2024	\$4,500,000	\$405,495	\$3,772,265	\$727,735	11	N	Y	0
	D214776	Term Agreement for Design-Build Pro	Open	Consulting Services	Authority Contract - Competitive Bid	10/26/2020	5/12/2020		5/11/2025	\$5,000,000	\$3,039,693	\$4,793,417	\$206,583	6	N	Y	2
	D214888	Term Agreement for Bridge Design S	Open	Consulting Services	Authority Contract - Competitive Bid	11/23/2022	8/4/2022		8/3/2025	\$3,000,000	\$192,813	\$192,813	\$2,807,187	12	N	N	0

New York State Thruway Authority
Schedule of Procurement Contracts
Engineering and Architecture Consultant Agreements
For the Year Ended December 31, 2023

<u>Contractor Name</u>	<u>Contract Number</u>	<u>Address / Contract Description</u>	<u>Status</u>	<u>Type of Procurement</u>	<u>Award Process</u>	<u>Award Date</u>	<u>Begin Date</u>	<u>Renew Date</u>	<u>End Date</u>	<u>Contract Amount</u>	<u>Fiscal Year 2023</u>	<u>Life To Date</u>	<u>Current Balance</u>	<u>Bids/Proposals</u>	<u>MBE/WBE</u>	<u>MWBE Solicit</u>	<u>MWBE Propo</u>
HARDESTY & HANOVER CONST. SVCS, LLC	D214711	1501 BROADWAY SUITE 310		NEW YORK	NY	10036			USA								
	D214831	Term Agreement For Construction Ins Open	Open	Consulting Services	Authority Contract - Competitive Bid	1/10/2020	4/4/2019		12/31/2023	\$2,500,000	\$165,994	\$1,623,569	\$876,431	14	N	Y	3
	D214842	Term Agreement for Construction Ins Open	Open	Consulting Services	Authority Contract - Competitive Bid	2/2/2022	9/20/2021		9/19/2024	\$2,000,000	\$907,950	\$1,230,061	\$769,939	21	N	Y	7
		Agreement for Biennial Bridge Inspect Open	Open	Consulting Services	Authority Contract - Competitive Bid	2/15/2022	11/15/2021		3/14/2024	\$3,000,000	\$1,170,461	\$1,893,322	\$1,106,678	4	N	Y	0
HENNINGSON DURHAM & RICHARDSON ARCH	D214591	8404 INDIAN HILLS DRIVE		OMAHA	NE	68114			USA								
	D214762	CONST. INSP SUP. SVCS. NY DIV. I Completed	Completed	Consulting Services	Authority Contract - Competitive Bid	4/18/2018	1/1/2018		12/31/2023	\$11,300,000	\$72,306	\$11,267,747	\$32,253	5	N	Y	0
	D214813	Term Agreement For Construction Ins Open	Open	Consulting Services	Authority Contract - Competitive Bid	8/11/2022	1/27/2020		1/26/2024	\$2,000,000	\$32,252	\$1,770,499	\$229,501	11	N	Y	2
	D214834	Term Agreement for Highway Design Open	Open	Consulting Services	Authority Contract - Competitive Bid	1/24/2022	9/20/2021		9/19/2025	\$8,000,000	\$570,774	\$1,987,657	\$6,012,343	17	N	Y	4
		Term Agreement for Construction Ins Open	Open	Consulting Services	Authority Contract - Competitive Bid	1/31/2022	9/20/2021		9/19/2024	\$5,000,000	\$1,122,021	\$1,122,021	\$3,877,979	16	N	N	4
HNTB NEW YORK ENGINEERING P.C.	D214187	PO BOX 802766		KANSAS CITY	MO	64180	2766		USA								
		Owner's Engineer for the Design-Build Open	Open	Consulting Services	Authority Contract - Competitive Bid	6/28/2013	2/4/2013		6/30/2024	\$168,500,000	\$5,862,656	\$158,162,720	\$10,337,280	8	N	Y	0
HRV CONFORMANCE VERIFICATION ASSOC	D214690	420 ROUSER ROAD SUITE 400		MOON TOWNSHIP	PA	15108			USA								
	D214878	TERM AGREEMENT FOR TESTING Completed	Completed	Consulting Services	Authority Contract - Competitive Bid	12/12/2018	9/24/2018		9/23/2022	\$1,500,000	\$26,853	\$1,050,667	\$449,333	3	Y	Y	1
		Term Agreement for Testing and Insp Open	Open	Consulting Services	Authority Contract - Competitive Bid	8/12/2022	7/12/2022		7/11/2025	\$1,500,000	\$267,330	\$276,117	\$1,223,883	4	Y	Y	2
HUDSON VALLEY ENGINEERING ASSOC. PC	D214766	560 ROUTE 52	SUITE 201	BEACON	NY	12508			USA								
		Term Agreement for Materials Testing Open	Open	Consulting Services	Authority Contract - Competitive Bid	8/5/2020	1/27/2020		1/26/2024	\$500,000	\$53,149	\$186,339	\$313,661	3	Y	Y	2
JACOBS CIVIL CONSULTANTS	D214341	800 MARKET ST	LOCK BOX 4	SAINT LOUIS	MO	63150	8713		USA								
		Term Agreement for Design and Const Open	Open	Consulting Services	Authority Contract - Competitive Bid	6/3/2015	1/1/2015		11/3/2023	\$3,000,000	\$240,290	\$2,959,132	\$40,868	13	N	Y	2
JMT OF NEW YORK, INC.	D214866	40 WIGHT AVENUE		HUNT VALLEY	MD	21030			USA								
		Term Agreement for Brudge Desgin S Open	Open	Consulting Services	Authority Contract - Competitive Bid	3/9/2022	1/31/2022		1/30/2026	\$3,500,000	\$1,070,148	\$1,232,746	\$2,267,254	28	N	Y	5
LABELLA ASSOCIATES, D.P.C.	D214828	300 STATE STREET		ROCHESTER	NY	14614			USA								
		Term Agreement for Highway Design Open	Open	Consulting Services	Authority Contract - Competitive Bid	2/3/2022	9/20/2021		9/19/2025	\$3,000,000	\$101,111	\$101,111	\$2,898,889	14	N	N	0
LIRO ENGINEERS, INC.	D214832	THREE AERIAL WAY		SYOSSET	NY	11791			USA								
		Term Agreement for Construction Ins Open	Open	Consulting Services	Authority Contract - Competitive Bid	2/22/2022	9/20/2021		9/19/2024	\$6,500,000	\$3,084,429	\$3,740,143	\$2,759,857	17	N	Y	4
LOCKWOOD, KESSLER & BARTLETT, INC.	D214761	ONE AERIAL WAY		SYOSSET	NY	11791			USA								
		Const. Inspection Support Svcs In The Open	Open	Consulting Services	Authority Contract - Competitive Bid	6/26/2020	1/27/2020		11/30/2023	\$3,050,000	\$3,885	\$2,972,276	\$77,724	13	N	Y	4
LOTHROP ASSOCIATES, LLP	D214671	333 WESTCHESTER AVENUE		WHITE PLAINS	NY	10604			USA								
		TERM AGREEMENT FOR ARCHITE Open	Open	Consulting Services	Authority Contract - Competitive Bid	2/14/2019	9/24/2018		3/20/2024	\$1,500,000	\$99,493	\$413,450	\$1,086,550	10	N	Y	0
M & J ENGINEERING, P.C.	D214835	2003 JERICHO TURNPIKE		NEW HYDE PARK	NY	11040			USA								
		Term Agreement for Construction Ins Open	Open	Consulting Services	Authority Contract - Competitive Bid	2/9/2022	9/20/2021		9/19/2023	\$3,000,000	\$253,482	\$253,482	\$2,746,518	5	Y	Y	1
M.J. ENGINEERING AND LAND SURVEYING	D214771	1533 CRESCENT ROAD		CLIFTON PARK	NY	12065			USA								
		Term Agreement For Construction Ins Open	Open	Consulting Services	Authority Contract - Competitive Bid	6/26/2020	3/30/2020		3/3/2024	\$2,000,000	\$101,175	\$1,262,910	\$737,090	6	Y	Y	2
MOTT MACDONALD NY, INC.	D214473	W510344	PO BOX 510	PITTSBURGH	PA	15250	0344		USA								
		Term Agreement for Design Services Open	Open	Consulting Services	Authority Contract - Competitive Bid	6/24/2016	5/16/2016		8/11/2023	\$3,000,000	\$5,387	\$2,568,404	\$431,596	21	N	Y	0
NUSSBAUMER & CLARKE, INC.	D214839	3556 LAKE SHORE ROAD	SUITE 500	BUFFALO	NY	14219	1494		USA								
		Term Agreement for Construction Ins Open	Open	Consulting Services	Authority Contract - Competitive Bid	1/7/2022	9/20/2021		12/19/2024	\$4,000,000	\$816,653	\$1,199,633	\$2,800,367	8	N	Y	3
NV5 NEW YORK - ENG., ARCH., LA., SU	D214833	200 S PARK RD SUITE 350	200 S PARK	HOLLYWOOD	FL	33021			USA								
		Term Agreement for Construction Ins Open	Open	Consulting Services	Authority Contract - Competitive Bid	1/5/2022	9/20/2021		9/19/2023	\$3,000,000	\$413,626	\$413,626	\$2,586,374	17	N	N	4
PARSONS TRANSPORTATION GROUP OF NY	D214817	PO BOX 88960		CHICAGO	IL	60695	1960		USA								
		Term Agreement for Bridge Design St Open	Open	Consulting Services	Authority Contract - Competitive Bid	2/24/2022	9/20/2021		9/19/2025	\$5,000,000	\$311,022	\$770,584	\$4,229,416	19	N	Y	1
PENNONI ASSOCIATES, INC.	D214689	PO BOX 827328		PHILADELPHIA	PA	19182	0352		USA								
		TERM AGREEMENT FOR TESTING Completed	Completed	Consulting Services	Authority Contract - Competitive Bid	12/13/2018	9/24/2018		3/23/2023	\$1,500,000	\$160,025	\$929,743	\$570,257	3	N	Y	0

New York State Thruway Authority
Schedule of Procurement Contracts
Engineering and Architecture Consultant Agreements
For the Year Ended December 31, 2023

<u>Contractor Name</u>	<u>Contract Number</u>	<u>Address / Contract Description</u>	<u>Status</u>	<u>Type of Procurement</u>	<u>Award Process</u>	<u>Award Date</u>	<u>Begin Date</u>	<u>Renew Date</u>	<u>End Date</u>	<u>Contract Amount</u>	<u>Fiscal Year 2023</u>	<u>Life To Date</u>	<u>Current Balance</u>	<u>Bids/Proposals</u>	<u>MBE/WBE</u>	<u>MWBE Solicit</u>	<u>MWBE Proposals</u>
POPLI, ARCHITECTURE & ENG. LS, DPC		555 PENBROOKE DRIVE		PENFIELD	NY	14526	2035		USA								
	D214805	Agreement for 2022-2023 Biennial Bri	Open	Consulting Services	Authority Contract - Competitive Bid	2/9/2022	11/15/2021		3/14/2024	\$3,000,000	\$1,958,368	\$2,375,146	\$624,854	9	Y	Y	4
	D214838	Term Agreement for Construction Ins	Open	Consulting Services	Authority Contract - Competitive Bid	2/3/2022	9/20/2021		9/19/2023	\$3,000,000	\$229,878	\$439,132	\$2,560,868	5	Y	Y	2
PRUDENT ENGINEERING LLP		6390 FLY ROAD		EAST SYRACUSE	NY	13057			USA								
	D214626	Term Agreement for Construction Ins	Completed	Consulting Services	Authority Contract - Competitive Bid	2/22/2018	11/13/2017		12/31/2020	\$2,500,000	\$6,279	\$2,470,220	\$29,780	5	Y	Y	0
D214836	Term Agreement for Construction Ins	Open	Consulting Services	Authority Contract - Competitive Bid	1/19/2022	9/20/2021		9/19/2024	\$3,000,000	\$578,184	\$1,678,414	\$1,321,587	6	Y	Y	2	
RAMBOLL AMERICAS ENG SOLUTIONS INC	D214763	333 W WASHINGTON ST		SYRACUSE	NY	13202			USA								
		Term Agreement For Construction Ins	Open	Consulting Services	Authority Contract - Competitive Bid	7/20/2021	5/1/2021		4/30/2024	\$2,000,000	\$551,431	\$551,431	\$1,448,569	7	N	Y	3
RAVI ENGINEERING AND LAND SURV. PC	D214822	2110 SOUTH CLINTON AVENUE SUITE 1		ROCHESTER	NY	14618			USA								
		Term Agreement for Construction Ins	Open	Consulting Services	Authority Contract - Competitive Bid	8/26/2021	6/7/2021		11/18/2024	\$3,000,000	\$1,170,067	\$2,452,816	\$547,184	5	Y	Y	2
STANTEC CONSULTING SERVICES, INC.		13980 COLLECTION CENTER D		CHICAGO	IL	60693			USA								
	D214695	TERM AGREEMENT FOR SERVICE	Open	Consulting Services	Authority Contract - Competitive Bid	1/4/2019	11/1/2018		2/29/2024	\$2,100,000	\$453,955	\$1,738,071	\$361,929	2	N	Y	0
	D214787	TERM AGREEMENT FOR PRELIMIN	Open	Consulting Services	Authority Contract - Competitive Bid	11/24/2020	9/30/2020		9/29/2024	\$3,000,000	\$178,859	\$1,903,427	\$1,096,573	19	N	Y	0
	D214811	Term Agreement for Highway Design	Open	Consulting Services	Authority Contract - Competitive Bid	8/9/2021	6/7/2021		6/6/2024	\$3,000,000	\$102,705	\$499,827	\$2,500,173	17	N	Y	1
D214830	Term Agreement for Highway Design	Open	Consulting Services	Authority Contract - Competitive Bid	1/21/2022	9/20/2021		9/19/2025	\$5,000,000	\$280,281	\$280,281	\$4,719,719	12	N	N	3	
THORNTON TOMASETTI, INC	D214655	51 MADISON AVENUE		NEW YORK	NY	10010			USA								
		TERM AGREEMENT FOR CONSTRL	Completed	Consulting Services	Authority Contract - Competitive Bid	6/5/2019	11/26/2018		4/18/2022	\$2,500,000	\$45,043	\$1,868,568	\$631,432	12	N	Y	4
URBAN ENGINEERS OF NEW YORK, D.P.C.	D214840	403 MAIN STREET SUITE 530		BUFFALO	NY	14203			USA								
		Term Agreement for Construction Ins	Open	Consulting Services	Authority Contract - Competitive Bid	2/4/2022	9/20/2021		12/31/2024	\$4,000,000	\$538,247	\$1,070,565	\$2,929,435	8	N	Y	3
WSP USA INC		PO BOX 732476		DALLAS	TX	75373	2476		USA								
	D214562	Term Agreement for Design-Build Pro	Completed	Consulting Services	Authority Contract - Competitive Bid	12/4/2017	2/7/2017		2/6/2023	\$11,900,000	\$80,636	\$11,690,353	\$209,647	5	N	Y	0
	D214653	TERM AGREEMENT FOR CONSTRL	Completed	Consulting Services	Authority Contract - Competitive Bid	10/23/2018	8/17/2018		8/16/2022	\$2,500,000	\$15,950	\$1,893,090	\$606,910	9	N	Y	2
	D214712	Term Agreement For Construction Ins	Open	Consulting Services	Authority Contract - Competitive Bid	1/3/2020	1/2/2020		12/31/2025	\$3,500,000	\$984,084	\$1,406,788	\$2,093,212	13	N	Y	3
	D214812	Term Agreement for Highway Design	Open	Consulting Services	Authority Contract - Competitive Bid	2/10/2022	9/20/2021		9/19/2024	\$6,000,000	\$224,460	\$443,468	\$5,556,532	20	N	Y	5
										\$370,932,893	\$36,076,940	\$268,723,476	\$102,209,417				

**New York State Thruway Authority
Schedule of Procurement Contracts
Commodity and Service Purchases
For the Year Ended December 31, 2023**

<u>Vendor Name</u> <u>Address</u>	<u>Contract</u> <u>Number</u>	<u>Description</u>	<u>Status</u>	<u>Process</u>	<u>Award Date</u>	<u>Begin Date</u>	<u>End Date</u>	<u>Contract Amt</u>	<u>Exp 2023</u>	<u>Exp L-T-D</u>	<u>OutstandBal</u>	<u>Bids</u>	<u>M/WBE</u>
Clark Equipment Company West Fargo ND	PC-67141	REF, PC67141, NY, PROMI	Completed	State Contract				141,894.56	951,790.55		0	N	
Resilient Support Services Inc Saratoga Springs NY	PC-67226	REF, PC67226, 9/30/22, NY	Completed	State Contract				35,779.44	35,779.44		0	N	
Graybar Electric Co Inc Cheektowaga NY	PC-67228	OPEN, PC67228, SYR, 12/3	Completed	State Contract				19,923.71	226,539.89		0	N	
Stertil-Koni USA Inc Stevensville MD	PC-67595	REF, PC67595, 3 VEHICLE	Completed	State Contract				125,843.00	1,220,138.75		0	N	
Flooring Environment Inc Mechanicville NY	PC-67773	REF HQ PC67773 CON1	Completed	State Contract				75,534.25	158,447.15		0	Y	
W B Mason Co Inc Albany NY	PC-67805	BULK, PC67805, NY, 8/31/	Completed	State Contract				35,960.41	170,462.78		0	N	
Eberl Iron Works Inc Buffalo NY	PC-67847	REF. (PC67847), ALL, ENI	Completed	State Contract				33,076.00	400,035.70		0	N	
Saf-Gard Safety Shoe Company Greensboro NC	PC-67943	OPEN, HQ, PC67943, PRO1	Completed	State Contract				100,908.11	100,908.11		0	N	
Ferrellgas Partners LP Verona NY	PC-68110	BULK, (PC68110), ENDS 6	Completed	State Contract				32,826.88	232,400.32		0	N	
Paraco Gas Corporation Rye Brook NY	PC-68113	BULK, (PC68113), ENDS 6	Completed	State Contract				42,911.71	276,192.63		0	N	
American Rock Salt Co LLC Mount Morris NY	PC-68227	REF, PC68227, ROAD SAL	Completed	State Contract				392,108.78	2,152,078.22		0	N	
Apalachee LLC Rochester NY	PC-68228	REF, PC68228, SALT, PRE	Completed	State Contract				249,014.00	2,083,357.60		0	N	
Atlantic Salt Inc Lowell MA	PC-68229	REF, PC68229, ROAD SAL	Completed	State Contract				615,191.87	2,612,381.72		0	N	
Cargill Inc North Olmsted OH	PC-68230	REF, PC68230, ROAD ANI	Completed	State Contract				2,593,037.74	15,465,720.08		0	N	
TraFFix Devices Inc San Clemente CA	PC-68251	REF, PC68251 ALL, ENDS 1	Completed	State Contract				24,378.77	1,094,172.91		0	N	
Stephenson Equipment Inc East Syracuse NY	PC-68466	REFERENCE, PC68466, AS	Completed	State Contract				37,419.00	76,163.00		0	N	
Snap-on Industrial Kirkville NY	PC-68469	REF, PC-68469, ALL, 01/23	Completed	State Contract				75,326.28	163,806.79		0	N	
Goodyear Tire & Rubber Co Jericho NY	PC-68490	REF. (PC68490), ALBANY	Completed	State Contract				410,652.46	2,081,062.98		0	N	
United Auto Supply of Syracuse West Inc Syracuse NY	PC-68523	AUTO, PC68523, ALL, 5/6/	Completed	State Contract				16,241.22	126,889.47		0	N	
Elliot Auto Supply Co Inc dba Factory Motor Parts Albany NY	PC-68524	OPEN, PC68524, NY, 05/06	Completed	State Contract				165,105.56	165,105.56		0	N	
Altec Industries Inc Creedmoor NC	PC-68526	REFERENCE, SOURCEWI	Completed	State Contract				303,551.00	412,493.00		0	N	
Morbark Inc Winn MI	PC-68527	REFERENCE, ALB DIV EC	Completed	State Contract				98,641.05	98,641.05		0	N	

EXHIBIT A3
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American Rock Salt Co LLC Mount Morris NY	PC-68889	REF, ROCK SALT, PC6888	Completed	State Contract				2,990,807.61	14,181,814.57		0	N	
Apalachee LLC Rochester NY	PC-68890	REF, ROCK SALT, PC6889	Completed	State Contract				746,533.07	2,436,968.00		0	N	
Cappellino Chevrolet Inc Boston NY	PC-68926	MINIBID 10161, PC68926,	Completed	State Contract				40,456.62	40,456.62		0	N	
Joe Basil Chevrolet Inc Depew NY	PC-68942	MINIBID 10033, PC68942,	Completed	State Contract				549,771.47	977,378.02		0	N	
Navistar Inc Loudonville NY	PC-68946	REF#1244755, REQ# 32739	Completed	State Contract				3,563,160.00	10,309,616.19		0	N	
Cives Corporation Harrisville NY	PC-68956	AUTO, NJPA PC68956, AL	Completed	State Contract				3,161,326.91	10,635,505.76		0	N	
Joe Ceconi's Chrysler Complex Niagara Falls NY	PC-68974	REFERENCE, PC68974, OC	Completed	State Contract				217,712.00	372,487.00		0	N	
Better Power Inc Rochester NY	PC-69013	REF: PC69013,ALL,HIRE,5	Completed	State Contract				212,677.31	355,508.55		0	Y	
DeNooyer Chevrolet Inc Albany NY	PC-69048	MINIBID 12559, PC69048 (Completed	State Contract				285,517.45	285,517.45		0	N	
North Shore Chevrolet LLC Saint James NY	PC-69143	MINIBID 13837, 2023 CHE	Completed	State Contract				43,561.44	43,561.44		0	N	
American Rock Salt Co LLC Mount Morris NY	PC-69182	REF, UNTREATED SALT,	Completed	State Contract				357,779.80	1,016,684.48		0	N	
Apalachee LLC Rochester NY	PC-69183	REF, TREATED SALT TYI	Completed	State Contract				322,641.90	1,267,437.33		0	N	
Atlantic Salt Inc Lowell MA	PC-69184	REF, UNTREATED SALT,	Completed	State Contract				211,006.57	1,482,498.31		0	N	
Cargill Inc North Olmsted OH	PC-69185	REF, UNTREATED SALT,	Completed	State Contract				63,868.01	217,580.42		0	N	
Clark Equipment Company Statesville NC	PC-69204	REFERENCE, PC69204, AI	Completed	State Contract				161,565.77	449,976.77		0	N	
Tracey Road Equip Inc East Syracuse NY	PC-69232	PC69232, GROUP 40625, F	Completed	State Contract				142,238.24	426,634.56		0	N	
Bob Johnson Ford Avon NY	PC-69260	MINIBID 10645, PC69260,	Completed	State Contract				677,980.00	677,980.00		0	N	
New Enterprise Stone & Lime Co Inc Buffalo NY	PC-69299	BULK, PC 69299, 3/31/23, 1	Completed	State Contract				20,869.19	464,941.39		0	N	
Peckham Materials Corp Catskill NY	PC-69303	BULK, PC69303, NY-WES'	Completed	State Contract				23,421.87	165,905.57		0	N	
Superior Lubricants Company Inc North Tonawanda NY	PC-69329	REF,PC69329, ALB,BUF,S'	Completed	State Contract				37,462.63	45,820.48		0	N	
Callanan Industries Inc Albany NY	PC-69336	BULK, PC69336, ALL, EXI	Completed	State Contract				24,870.04	53,498.70		0	N	
County Line Stone Co Inc Akron NY	PC-69342	BULK,PC69342, BUF, 4/14	Completed	State Contract				24,380.40	28,921.02		0	N	

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Heidelberg Materials Northeast NY LLC Nedrow NY	PC-69352	BULK, PC69352, ALL, 4/14	Completed	State Contract				25,099.21	25,099.21		0	N	
New Enterprise Stone & Lime Co Inc Buffalo NY	PC-69358	BULK, PC69358,BUF DIV.	Completed	State Contract				35,696.67	70,581.00		0	N	
John Deere Company Cary NC	PC-69378	REF PA, OGS PC69378, RE	Completed	State Contract				1,073,711.00	1,073,711.00		0	N	
Clark Equipment Company Statesville NC	PC-69396	ALB DIVISION, PC69396,	Completed	State Contract				218,626.68	234,093.64		0	N	
Five Star Equipment Inc North Syracuse NY	PC-69403	REF, OGS PC69403, AWA	Completed	State Contract				734,272.11	1,169,126.37		0	N	
Flooring Environment Inc Mechanicville NY	PC-69408	REF, PC69408, INTERFAC	Completed	State Contract				71,686.94	71,686.94		0	Y	
Mohawk Lifts LLC Amsterdam NY	PC-69416	REFERENCE, PC69416, VI	Completed	State Contract				51,570.01	133,532.81		0	N	
Stertil-Koni USA Inc Stevensville MD	PC-69419	REF, PC69419, REQ #3656	Completed	State Contract				57,074.00	57,074.00		0	N	
Atlantic Salt Inc Lowell MA	PC-69474	REFERENCE, ROCKSALT	Completed	State Contract				210,852.60	790,633.32		0	N	
Buell Fuel LLC Mohawk NY	PC-69479	BULK, PC69479,SYR,REG	Completed	State Contract				507,048.96	1,142,736.69		0	N	
Global Montello Group Corp Waltham MA	PC-69480	BULK, PC69480, NYACK-1	Completed	State Contract				542,025.43	1,324,595.18		0	N	
Kurk Fuel Company Tonawanda NY	PC-69481	BULK, PC69481, BUF,BIO	Completed	State Contract				379,433.58	791,499.18		0	N	
Main Brothers Oil Co Inc Albany NY	PC-69482	BULK, PC69482, ALB, 08/	Completed	State Contract				491,448.45	931,797.80		0	N	
Mirabito Energy Products Binghamton NY	PC-69483	BULK, PC69483,SYR ,BIO	Completed	State Contract				444,123.01	1,024,153.39		0	N	
NOCO Energy Corp - Fuels Tonawanda NY	PC-69484	BULK, PC69484,SYR-REG	Completed	State Contract				760,778.08	1,900,861.86		0	N	
Sprague Operating Resources LLC Portsmouth NH	PC-69485	BULK, PC69485, NY-LAR	Completed	State Contract				82,274.02	189,649.60		0	N	
Eberl Iron Works Inc Buffalo NY	PC-69494	REF,SB,PC69494 ALL,ATI	Completed	State Contract				95,160.97	163,428.98		0	N	
Elderlee Inc Oaks Corners NY	PC-69495	AUTO,PC69495 ,ALL,09/3	Completed	State Contract				1,642,527.91	3,498,117.80		0	N	
Impact Absorption Inc Hicksville NY	PC-69496	REF,SB,ALL,PC69496,ATI	Completed	State Contract				88,638.35	152,799.78		0	N	
TrafFix Devices Inc San Clemente CA	PC-69498	REF,PC69498,ALL, CRASH	Completed	State Contract				206,278.05	318,757.97		0	N	
Transpo Industries Inc New Rochelle NY	PC-69499	REF,PC69499,ALL,ATTEN	Completed	State Contract				18,604.46	91,670.26		0	N	
Buell Fuel LLC Mohawk NY	PC-69515	BULK, PC 69515, ALB, 12/	Completed	State Contract				568,576.77	1,246,252.05		0	N	

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Global Montello Group Corp Waltham MA	PC-69516	BULK, PC69516, NY,12/14	Completed	State Contract				1,138,236.43	2,323,279.54		0	N	
Kurk Fuel Company Tonawanda NY	PC-69517	BULK, PC69517, BUFFALO	Completed	State Contract				568,763.09	1,237,101.77		0	N	
Mirabito Energy Products Binghamton NY	PC-69519	BULK, PC 69519, SYR,12/1	Completed	State Contract				252,177.93	527,837.99		0	N	
Sprague Operating Resources LLC Portsmouth NH	PC-69522	BULK, PC69522, NY,12/14	Completed	State Contract				366,538.66	819,583.41		0	N	
Sunoco LLC Newtown Square PA	PC-69523	BULK, PC69523, BUFFALO	Completed	State Contract				233,747.38	530,199.30		0	N	
Crafcio Inc Chandler AZ	PC-69535	REF,ALL DIV,PC 69535, C	Completed	State Contract				151,623.30	185,359.80		0	N	
Suit-Kote Corporation Cortland NY	PC-69547	REF, PC69547,SYR,LIQUID	Completed	State Contract				50,363.26	114,230.88		0	N	
Apalachee LLC Rochester NY	PC-69816	REF, PC69816, ALBANY/V	Completed	State Contract				41,067.08	59,771.73		0	N	
Innovative Municipal Products US Inc Glenmont NY	PC-69821	BULK,BUF, MAG CHLOR	Completed	State Contract				58,763.40	64,610.56		0	N	
Nielsen Ford of Morristown Inc Morristown NJ	PC-69848	MINIBID: 13991 PC69848,	Completed	State Contract				186,775.26	186,775.26		0	N	
Intivity Inc East Rochester NY	PC-69878	REF- SYR PC69878 03/21/2	Completed	State Contract				72,707.81	72,707.81		0	Y	
3 Gals Industrial LLC Oyster Bay NY	PC-69878	REF, WBE, PC 69878, ALL	Completed	State Contract				16,970.24	16,970.24		0	Y	
Wats International Inc Poughkeepsie NY	PC-69879	AUTO,MBE, PC 69879 (GR	Completed	State Contract				54,423.24	54,423.24		0	Y	
W W Grainger Inc Elmsford NY	PC-69879	OPEN, PC69879, SYR, 03/2	Completed	State Contract				92,770.24	92,770.24		0	N	
Intivity Inc East Rochester NY	PC-69879	REF- ALL,PC69879 3/21/2	Completed	State Contract				126,966.71	126,966.71		0	Y	
Alliance Asphalt LLC Rome NY	PC-69963	BULK,SYR,PC69963 ,ONE	Completed	State Contract				630,237.31	630,237.31		0	N	
Barrett Paving Materials Inc Watertown NY	PC-69966	BULK, SYR, PC69966, M ^a	Completed	State Contract				42,660.61	42,660.61		0	N	
Callanan Industries Inc Albany NY	PC-69971	BULK, PC69971, 3/31/25, A	Completed	State Contract				479,575.66	479,575.66		0	N	
County Line Stone Co Inc Akron NY	PC-69978	BULK,BUF, PC69978, 3/31.	Completed	State Contract				206,098.60	206,098.60		0	N	
Dolomite Products Company Inc Albany NY	PC-69981	BULK, PC69981,ALB DIV,	Completed	State Contract				113,207.83	113,207.83		0	N	
Gernatt Asphalt Products Inc Collins NY	PC-69986	BULK, BUF, PC 69986, 3/3	Completed	State Contract				695,980.06	695,980.06		0	N	
Heidelberg Materials Northeast NY LLC Nedrow NY	PC-69988	BULK, PC 69988, 3/31/25, I	Completed	State Contract				379,323.13	379,323.13		0	N	

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Jamestown Macadam Inc Celoron NY	PC-69991	BULK,BUF, PC69991, 3/31	Completed	State Contract				22,176.04	22,176.04			0	N
New Enterprise Stone & Lime Co Inc Buffalo NY	PC-70000	BULK,BUF, PC 70000, 3/31	Completed	State Contract				487,032.62	487,032.62			0	N
Northern Asphalt LLC Fulton NY	PC-70001	REF, PC70001, SYR, ONO	Completed	State Contract				227,758.24	227,758.24			0	N
Peckham Materials Corp Catskill NY	PC-70004	BULK, PC70004, NY-WES	Completed	State Contract				149,211.40	149,211.40			0	N
Seneca Stone Corporation SENECA FALLS NY	PC-70011	BULK, PC70011, SYR DIV	Completed	State Contract				79,385.60	79,385.60			0	N
Wells Technology Inc Bemidji MN	PC-70062	AUTO,MBE, PC 70062, BU	Completed	State Contract				15,899.37	15,899.37			0	Y
American Rock Salt Co LLC Mount Morris NY	PC-70128	REF, ROCK SALT, PC7012	Completed	State Contract				254,848.02	254,848.02			0	N
Apalachee LLC Rochester NY	PC-70129	REF, ROCK SALT, PC7012	Completed	State Contract				187,143.08	187,143.08			0	N
Cargill Inc North Olmsted OH	PC-70131	REF, ROCK SALT, PC7013	Completed	State Contract				251,837.91	251,837.91			0	N
Compass Minerals America Inc Overland Park KS	PC-70132	REF, ROCK SALT, PC7013	Completed	State Contract				26,023.06	26,023.06			0	N
Dell Marketing LP Round Rock TX	PD-67644	REFERENCE, HQ PD6764	Completed	State Contract				190,739.85	882,100.63			0	N
Insight Public Sector Inc Tempe AZ	PD-67645	REF PD67645 DECEMBE	Completed	State Contract				260,265.91	1,139,984.58			0	N
S H I International Corp Somerset NJ	PD-67647	HQ REFERENCE ~ PD676	Completed	State Contract				254,402.31	1,776,100.26			0	N
AssetWorks LLC Wayne PA	PM-20780	REF., PM20780, FUELFOC	Completed	State Contract				21,070.00	935,320.04			0	N
Communications Analysis Systems & Service Inc Port Washington NY	PM-20790	REFERENCE, HQ, PM207	Completed	State Contract				120,695.99	423,986.30			0	N
Aspire Technology Partners LLC Eatontown NJ	PM-20800	REF, PM20800, 11-29-2020	Completed	State Contract				272,175.28	1,808,168.12			0	N
Derive Technologies New York NY	PM-20800	REFERENCE, HQ PM2080	Completed	State Contract				41,137.60	144,178.35			0	Y
C S Business Systems Inc Buffalo NY	PM-20800	HQ-REFERENCE ~ PM20	Completed	State Contract				278,654.64	1,248,731.13			0	Y
Dell Marketing LP Round Rock TX	PM-20820	REF, PM20820 NOV 29,20	Completed	State Contract				161,668.25	233,371.89			0	N
Quality and Assurance Technology Corp Mount Sinai NY	PM-20820	REF, PM20820, OGS IT M/	Completed	State Contract				40,244.79	40,244.79			0	Y
Distributed Technology Group LLC Syracuse NY	PM-20820	REF, PM20820, OGS IT M/	Completed	State Contract				1,321,973.48	1,321,973.48			0	N
Distributed Technology Group LLC Syracuse NY	PM-20830	REF, PM20830, IT UMBRE	Completed	State Contract				81,760.59	374,874.18			0	N

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Hewlett Packard Enterprise Pontiac MI	PM-20850	REF, PM20850, IT MANUF	Completed	State Contract				54,174.00	54,174.00		0	N	
Quality and Assurance Technology Corp Mount Sinai NY	PM-20850	REF HQ, PM20850, NOVEI	Completed	State Contract				58,212.96	58,212.96		0	Y	
P N J Technology Partners Inc Albany NY	PM-20850	REF., PM20850, HEWLETI	Completed	State Contract				475,482.10	2,279,153.70		0	N	
H P Inc Austin TX	PM-20860	REFERENCE, PM20860, H	Completed	State Contract				539,763.00	1,344,151.00		0	N	
GlassHouse Systems Inc Toronto	PM-20890	REFERENCE, HQ, PM2085	Completed	State Contract				23,988.80	368,051.17		0	N	
Micro Strategies Inc Parsippany NJ	PM-20890	REFERENCE, H.Q., PM208	Completed	State Contract				47,594.72	213,019.16		0	N	
Currier McCabe & Assoc Inc Latham NY	PM-20940	REFERENCE, HQ PM20940	Completed	State Contract				38,329.20	217,198.80		0	Y	
Mythics Inc Virginia Beach VA	PM-20940	REF, PM20940, OGS IT M/	Completed	State Contract				579,492.05	1,064,384.92		0	N	
Oracle America Inc Albany NY	PM-20940	HQ-REFERENCE ~ PM205	Completed	State Contract				199,758.16	2,843,530.68		0	N	
Compulink Technologies Inc New York NY	PM-22802	REF PM20800 ALL TERM	Completed	State Contract				36,294.25	55,232.73		0	Y	
A T & T Corp Albany NY	PM-67299	REFERENCE, HQ, PM6725	Completed	State Contract				310,596.47	806,646.40		0	N	
Quality and Assurance Technology Corp Mount Sinai NY	PM-67310	REF., PM67310, VMWARE	Completed	State Contract				27,014.60	81,043.80		0	Y	
Environmental Systems Research Institute Inc Redlands CA	PM-67345	REFERENCE, HQ, EXP. 1/	Completed	State Contract				23,169.85	131,939.70		0	N	
ThunderCat Technology LLC Reston VA	PM-67394	REFERENCE, PM67394, IT	Completed	State Contract				62,515.91	92,015.98		0	N	
Xerox Corporation Albany NY	PM-67534	HQ, BULK, PM67534 IT U/	Completed	State Contract				61,369.12	66,239.12		0	N	
Stellar Services New York NY	PM-67987	REF, PM67987, IT MANUF	Completed	State Contract				33,858.96	177,810.80		0	Y	
Skyline Technology Solutions LLC Glen Burnie MD	PM-67996	REF, HQ, PM67996, 9/30/2	Completed	State Contract				85,254.12	374,590.08		0	N	
Technology Professionals Group Inc Loudonville NY	PM-68140	REFERENCE, H.Q., PM681	Completed	State Contract				94,005.51	334,395.28		0	Y	
M M Hayes Co Inc Albany NY	PM-68156	REFERENCE, HQ PM68156	Completed	State Contract				95,470.00	381,880.00		0	N	
S H I International Corp Somerset NJ	PM-68178	REFERENCE, HQ, PM6817	Completed	State Contract				27,417.60	27,417.60		0	N	
Distributed Technology Group LLC Syracuse NY	PM-68183	REF HQ, PM68183, NOVEI	Completed	State Contract				192,895.04	192,895.04		0	N	
Metroland Bus Machines Inc Albany NY	PM-68232	REF HQ PM68232 INFOR	Completed	State Contract				124,969.16	151,324.16		0	N	

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S H I International Corp Somerset NJ	PM-69737	REF, PM69737, OGS IT M/	Completed	State Contract				104,544.36	104,544.36		0	N	
ThunderCat Technology LLC Reston VA	PM-69737	REF, OGS IT MANUFACT	Completed	State Contract				19,440.00	19,440.00		0	N	
Garnet River LLC Saratoga Springs NY	PM-69741	REF, PM69741, OGS IT M/	Completed	State Contract				435,633.62	435,633.62		0	N	
N Y S Technology Enterprise Corporation Albany NY	PN-69178	REF., HQ, PN69178, NEW	Completed	State Contract				150,401.64	176,847.86		0	N	
Gartner Inc Stamford CT	PS-66085	REFERENCE, PS66085, 06-	Completed	State Contract				116,861.50	522,665.33		0	N	
Microsoft Corporation Redmond WA	PS-67930	REFERENCE, H.Q., PS679	Completed	State Contract				98,180.00	501,380.00		0	N	
Dell Marketing LP Round Rock TX	PS-68202	REFERENCE, HQ., PS6820	Completed	State Contract				1,026,902.71	3,460,403.36		0	N	
Simaren Corp dba Wisdom Protective Services Westbury NY	PS-68266	BULK, PS68266, H.Q, EXP	Completed	State Contract				345,550.50	1,268,899.81		0	N	
FirstLight Fiber Inc Albany NY	PS-68696	REFERENCE, HQ PS68696	Completed	State Contract				15,855.84	54,235.10		0	N	
Here Rentals Inc Liverpool NY	PS-69108	REF., PS69108, BUF, 10/07	Completed	State Contract				23,150.00	23,150.00		0	N	
J & J Equipment LLC Brewerton NY	PS-69110	ALL, REF, PS69110, HEAV	Completed	State Contract				57,700.00	133,550.00		0	N	
Stephenson Equipment Inc East Syracuse NY	PS-69119	REF SYR PS69119 OCTO	Completed	State Contract				56,900.00	56,900.00		0	N	
The Remi Group LLC Charlotte NC	PS-69214	REFERENCE, PS69214, EQ	Completed	State Contract				60,892.18	187,677.76		0	N	
County Waste & Recycling Service Inc Clifton Park NY	PS-907CF	BULK,PS907CF,11/30/23, I	Completed	State Contract				33,607.94	66,979.34		0	N	
Alta Construction Equipment New York LLC Latham NY	PS-PS693	SYR, HEAVY EQUIPMEN	Completed	State Contract				25,200.00	25,200.00		0	N	
Adirondack Cabling Inc Albany NY	PT-68748	REFERENCE, PT68748, SE	Completed	State Contract				52,294.00	191,131.14		0	N	
Anixter Inc Rochester NY	PT-68757	REF PT 68757 AUGEST 2	Completed	State Contract				19,922.40	30,101.00		0	N	
Carrier Corporation Charlotte NC	PT-68766	REF SYRACUSE PT6876	Completed	State Contract				16,391.47	16,391.47		0	N	
Fire Security & Sound Systems Inc Latham NY	PT-68795	PT68795, INTELL, FACILI	Completed	State Contract				58,136.99	58,136.99		0	N	
Great Lakes Building Systems Inc Buffalo NY	PT-68804	REF, PT68804, FIRE EXTI	Completed	State Contract				24,250.00	96,718.00		0	N	
I K Systems Inc Victor NY	PT-68808	BULK / HQ BOSCH CCTV	Completed	State Contract				171,017.97	284,246.85		0	N	
Plugout LLC Englewood NJ	PT-68847	REF, PT68847, OGS INTEL	Completed	State Contract				27,191.25	27,191.25		0	N	

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Securewatch24 LLC Moonachie NJ	PT-68857	REF, PT68857, OGS INTEL	Completed	State Contract					16,800.00	16,800.00		0	N
N Y S Industries for the Disabled Inc Albany NY	S-18095	JANITORIAL SERVICES A	Completed	Preferred Source	07/01/2019	07/01/2019	06/30/2023	1,087,462.44	229,112.37	1,087,462.44		1	N
N Y S Industries for the Disabled Inc Albany NY	S-19101	JANITORIAL SERVICES A	Completed	Preferred Source	12/01/2020	12/01/2020	12/31/2023	906,717.96	227,609.91	906,717.96		1	N
N Y S Industries for the Disabled Inc Albany NY	S-20013	JANITORIAL SVCS FOR S	Open	Preferred Source	08/01/2020	08/01/2020	03/01/2024	169,757.15	65,223.13	153,451.37	16,305.78	1	N
N Y S Industries for the Disabled Inc Albany NY	S-20056	JANITORIAL SVCS FOR S	Completed	Preferred Source	08/01/2020	08/01/2020	07/31/2023	389,477.59	105,418.53	389,477.59		1	N
N Y S Industries for the Disabled Inc Albany NY	S-21093	JANITORAL SERVICES A	Open	Preferred Source	12/23/2021	12/23/2021	11/30/2024	231,128.05	54,019.98	88,747.11	142,380.94	1	N
N Y S Industries for the Disabled Inc Albany NY	S-22012	CLOTHING ACCESSORIE	Completed	Preferred Source	01/01/2022	01/01/2022	12/31/2022	107,551.57	54,278.24	107,551.57		1	N
National Industries for the Blind (NIB) ALBANY NY	S-22013	FIRE PROTECTION EQUIP	Completed	Preferred Source	01/01/2022	01/01/2022	12/31/2022	15,000.00	980.98	9,752.26	5,247.74	1	N
N Y S Department of Correctional Serv Albany NY	S-22015	FURNITURE: OFFICE - M	Completed	Preferred Source	05/01/2022	05/01/2022	05/01/2022	856,893.28	501,973.37	856,893.28		1	N
National Industries for the Blind (NIB) Albany NY	S-22019	FLEX STRIPPING CALSS	Completed	Preferred Source	02/01/2022	02/01/2022	01/31/2023	59,614.72	20,044.80	59,614.72		1	N
N Y S Industries for the Disabled Inc Albany NY	S-22055	PERSONAL SAFETY	Completed	Preferred Source	08/01/2022	08/01/2022	07/31/2023	33,149.13	22,013.55	33,149.13		1	N
N Y S Industries for the Disabled Inc Albany NY	S-22084	JANITORIAL SERVICES A	Open	Preferred Source	11/30/2022	01/01/2023	12/31/2027	408,154.45	69,160.54	69,160.54	338,993.91	1	N
N Y S Industries for the Disabled Inc Albany NY	S-23006	NEW ANSI SAFETY WINI	Completed	Preferred Source	01/01/2023	01/01/2023	12/31/2023	50,209.68	50,209.68	50,209.68		1	N
National Industries for the Blind (NIB) Albany NY	S-23015	FLEX HI FEZ ORANGE T-	Open	Preferred Source	02/01/2023	02/01/2023	01/31/2024	72,185.32	72,185.32	72,185.32		1	N
National Industries for the Blind (NIB) Albany NY	S-23029	FIRST AID AND SAFETY	Open	Preferred Source	04/01/2023	04/01/2023	03/31/2024	26,000.00	22,323.04	22,323.04	3,676.96	1	N
N Y S Industries for the Disabled Inc Albany NY	S-23071	JANITORIAL SUPPLIES, C	Open	Preferred Source	08/01/2023	08/01/2023	07/31/2024	35,000.00	12,909.37	12,909.37	22,090.63	1	N
N Y S Industries for the Disabled Inc Albany NY	S-23079	JANITORIAL SERVICES A	Open	Preferred Source	08/01/2023	08/01/2023	09/30/2024	427,396.62	38,243.85	38,243.85	389,152.77	1	N
N Y S Industries for the Disabled Inc Albany NY	S-23116	JANITORIAL SERVICES F	Open	Preferred Source	10/01/2023	10/01/2023	09/30/2028	1,283,608.15	42,786.94	42,786.94	1,240,821.21	1	N
International Road Dynamics Corporation Spring Grove IL	T-17117	TRAFFIC COUNTERS	Completed	Competitive	11/29/2017	11/29/2017	11/28/2022	279,305.00	210,375.00	279,305.00		2	N
McClain & Company Inc Culpeper VA	T-18013	UNDER BRIDGE INSPECT	Completed	Competitive	02/23/2018	02/23/2018	12/31/2022	1,520,770.00	11,590.00	1,040,505.00	480,265.00	3	N
Advanced Testing Systems Inc Bethel CT	T-18091	ELECTRICAL PREVENTIV	Completed	Competitive	09/14/2018	09/14/2018	05/31/2023	94,400.00	29,664.00	90,684.00	3,716.00	2	N
Trius Inc Bohemia NY	T-18108	SNOW & ICE CONTROL C	Completed	Competitive	10/01/2018	10/01/2018	12/31/2022	600,000.00	23,398.09	474,063.48	125,936.52	3	N

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3 M Company Saint Paul MN	T-18125	REFLECTIVE SIGN MATE	Open	Competitive	12/17/2018	12/17/2018	08/31/2024	491,704.05	42,184.50	281,377.25	210,326.80	5	N
Avery Dennison Niles IL	T-18126	REFLECTIVE SIGN MATE	Completed	Competitive	12/17/2018	12/17/2018	08/31/2023	50,269.50	10,935.00	34,324.20	15,945.30	5	N
Fred Beans Parts Inc Doylestown PA	Q-18128	FORD O.E.M. PARTS	Completed	Competitive	01/01/2019	01/01/2019	12/31/2023	44,884.81	4,373.47	43,527.42	1,357.39	9	N
DePaula Ford LLC Albany NY	T-18133	FORD O.E.M. PARTS	Completed	Competitive	01/03/2019	01/03/2019	12/31/2023	112,500.00	2,175.77	59,587.84	52,912.16	9	N
Northern Supply Inc Bloomfield NY	T-18135	FLEXIBLE PLOW BLADE	Completed	Competitive	01/07/2019	01/07/2019	12/31/2023	2,598,202.22	288,708.28	2,568,202.22	30,000.00	6	N
A & M Industrial Inc Rahway NJ	T-19001	MSA PERSONAL SAFETY	Completed	Competitive	01/01/2019	01/01/2019	12/31/2022	195,000.00	9,781.78	169,800.85	25,199.15	11	N
Innovative Municipal Products US Inc Glenmont NY	T-19007	BITUMINOUS CONCRETI	Completed	Competitive	03/15/2019	03/15/2019	12/31/2023	143,370.00	18,144.00	88,704.00	54,666.00	4	N
White Cap LP Syracuse NY	T-19008	BITUMINOUS CONCRETI	Completed	Competitive	03/15/2019	03/15/2019	12/31/2023	396,787.21	87,858.20	128,739.75	268,047.46	4	N
Trius Inc Bohemia NY	T-19010	TIGER MOWER OEM PAR	Open	Competitive	05/01/2019	05/01/2019	04/30/2024	350,000.00	146.32	85,110.68	264,889.32	1	N
Thru-Way Spring Rochester NY	T-19022	SMITH SPREADER OEM I	Open	Competitive	06/01/2019	06/01/2019	05/31/2024	465,225.00	72,023.27	412,611.43	52,613.57	5	N
AT Central New York LLC Binghamton NY	T-19026	NAVISTAR REPLACEME!	Completed	Competitive	04/05/2019	04/05/2019	12/31/2023	875,000.00	173,761.65	328,627.95	546,372.05	5	N
Regional International Corp Henrietta NY	T-19027	NAVISTAR REPLACEME!	Completed	Competitive	04/05/2019	04/05/2019	12/31/2023	1,750,000.00	207,788.30	820,560.91	929,439.09	5	N
J & L Profiling LLC Durhamville NY	T-19031	RENTAL OF SCARIFYINC	Open	Competitive	03/06/2019	03/06/2019	03/31/2024	217,700.00	129,700.00	217,700.00		7	Y
Donegal Construction Corp Greensburg PA	T-19032	RENTAL OF SCARIFYINC	Open	Competitive	06/04/2019	06/04/2019	03/31/2024	146,426.00	79,464.62	146,426.00		7	N
Syosset Truck Sales Inc Westbury NY	T-19033	NAVISTAR REPLACEME!	Completed	Competitive	04/04/2019	04/04/2019	12/31/2023	875,000.00	172,718.04	545,523.77	329,476.23	5	N
Five Star Equipment Inc East Syracuse NY	T-19044	JOHN DEERE OEM PART!	Open	Competitive	07/31/2019	07/26/2019	04/30/2024	350,000.00	65,375.24	257,075.96	92,924.04	1	N
Briggs & Stratton LLC Sherrill NY	T-19045	ZERO TURN MOWERS	Open	Competitive	06/14/2021	06/14/2021	06/30/2024	378,440.00	85,431.00	85,431.00	293,009.00	6	N
Henderson Products Inc Manchester IA	T-19075	HENDERSON OEM SPRE/	Open	Competitive	01/01/2020	01/01/2020	12/31/2024	176,153.13	58,943.45	176,153.13		1	N
Stephenson Equipment Inc Duaneburg NY	T-19085	DIAMOND MOWER OEM	Open	Competitive	01/01/2020	01/01/2020	12/31/2024	500,000.00	133,338.56	338,618.91	161,381.09	2	N
Waterman Signs Blossvale NY	T-19092	SIGN BLANKS (CORRUG.	Completed	Competitive	02/24/2020	02/20/2020	12/31/2022	73,234.00	13,402.00	51,736.00	21,498.00	2	Y
Northern Supply Inc Bloomfield NY	T-19099	FLEXIBLE SNOW PLOW I	Open	Competitive	01/30/2020	01/30/2020	04/30/2024	412,710.00	32,387.12	210,510.12	202,199.88	2	N
Parr Industries II Inc Pulaski TN	T-19100	OWS CLEANING	Open	Competitive	02/19/2020	02/19/2020	11/30/2024	372,100.00	104,920.00	354,172.00	17,928.00	11	N

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Securewatch24 LLC New York NY	T-19151	ARROW BOARDS FOR AI	Completed	Competitive	05/16/2019	05/16/2019	02/28/2023	189,884.16	17,085.00	116,938.92	72,945.24	5	N
Brillo Excavating & Waste Disp Skaneateles NY	T-19169	SEPTIC AND SLUDGE RE	Open	Competitive	06/01/2019	06/01/2019	05/31/2024	154,716.50	22,908.00	145,171.50	9,545.00	5	N
Macken Services Inc Lancaster NY	T-19171	SEPTIC AND SLUDGE RE	Open	Competitive	06/01/2019	06/01/2019	05/31/2024	105,189.91	28,567.00	93,287.00	11,902.91	5	N
Blue Diamond Septic LLC Selkirk NY	T-19172	SEPTIC AND SLUDGE RE	Open	Competitive	06/01/2019	06/01/2019	05/31/2024	555,963.73	150,876.94	493,098.34	62,865.39	5	N
Earthcare Marlborough MA	T-19173	SEPTIC AND SLUDGE RE	Open	Competitive	06/01/2019	06/01/2019	05/31/2024	419,728.76	105,355.60	375,830.60	43,898.16	5	N
Regional International Corp Henrietta NY	T-19176	CUMMINS ENGINE OEM	Open	Competitive	08/15/2019	08/15/2019	06/30/2024	1,000,000.00	31,948.06	160,746.55	839,253.45	7	N
Ace Tool Group Inc Wantagh NY	T-19179	SAFETY GLOVES	Open	Competitive	10/01/2019	10/01/2019	09/30/2024	973,565.28	57,006.60	57,006.60	916,558.68	18	N
Wavetronix LLC Provo UT	Q-20014	WAVETRONIX SPARE PA	Completed	Competitive	02/28/2020	02/28/2020	12/31/2023	37,505.00	7,166.00	7,166.00	30,339.00	1	N
Drivers Village Inc Cicero NY	T-20015	CHRYSLER (MOPAR) OEI	Open	Competitive	07/22/2020	07/22/2020	12/31/2024	300,000.00	64,326.98	183,116.44	116,883.56	3	N
A & M Industrial Inc Rahway NJ	T-20017	AEROQUIP HOSES AND I	Completed	Competitive	07/22/2020	07/22/2020	12/31/2022	80,000.00	810.27	64,003.82	15,996.18	1	N
Island Tech Services LLC Ronkonkoma NY	T-20019	OEM PARTS FOR LED TR	Open	Competitive	05/15/2020	03/13/2020	12/31/2024	350,000.00	24,185.32	84,909.74	265,090.26	12	N
P B S Brake & Supply Co East Syracuse NY	T-20020	OEM PARTS FOR LED TR	Open	Competitive	05/15/2020	03/13/2020	12/31/2024	53,203.75	8,633.03	53,203.75		12	N
East Coast Emergency Lighting Inc Millstone Township NJ	T-20021	OEM PARTS FOR LED TR	Open	Competitive	05/15/2020	03/13/2020	12/31/2024	750,000.00	55,025.00	148,577.64	601,422.36	12	N
Fred Beans Parts Inc Doylestown PA	T-20022	CHRYSLER (MOPAR) OEI	Open	Competitive	07/22/2020	07/22/2020	12/31/2024	116,078.64	58,449.75	116,078.64		3	N
Fleet Maintenance Inc West Seneca NY	Q-20058	VARIOUS OEM TRUCK P.	Open	Competitive	10/01/2020	10/01/2020	09/30/2025	30,000.00	984.66	16,299.08	13,700.92	6	Y
Maxwell Products Inc Salt Lake City UT	T-20060	ASPHALTIC MATERIALS	Open	Competitive	09/29/2020	09/29/2020	12/31/2024	1,475,574.80	222,088.53	442,748.92	1,032,825.88	2	N
Campbell Freightliner of Orange Cty LLC Montgomery NY	T-20061	VARIOUS OEM TRUCK P.	Open	Competitive	10/08/2020	10/08/2020	09/30/2025	75,000.00	21,488.29	47,623.50	27,376.50	6	N
Penn Detroit Diesel Allison LLC Fleetwood PA	Q-20070	VARIOUS OEM TRUCK P.	Open	Competitive	10/01/2020	10/01/2020	09/30/2025	30,000.00	7,409.24	20,583.35	9,416.65	6	N
Stewart & Stevenson Power Products LLC Pine Brook NJ	Q-20074	VARIOUS OEM TRUCK P.	Open	Competitive	10/01/2020	10/01/2020	09/30/2025	30,000.00	2,222.31	4,206.30	25,793.70	6	N
PreCise MRM LLC Meridian ID	T-20076	TEMP. SENSORS	Open	Competitive	10/16/2020	10/16/2020	09/30/2024	205,380.00	18,250.00	175,444.00	29,936.00	5	N
Wesco Distribution Inc Pittsburgh PA	T-20097	MG SQUARED CAMERA I	Open	Competitive	02/17/2021	02/17/2021	06/30/2024	715,302.92	156,064.00	308,202.00	407,100.92	2	N
United Uniform Distribution LLC Buffalo NY	T-20100	WORK CLOTHING	Open	Competitive	01/07/2021	01/04/2021	12/31/2024	3,478,355.00	208,958.08	749,019.50	2,729,335.50	4	N

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Top & Bottom Wear Jenkintown PA	T-20101	WORK CLOTHING	Open	Competitive	01/07/2021	12/04/2021	12/31/2024	384,992.95	1,368.50	4,692.08	380,300.87	4	Y
Eckert Mechanical LLC Albany NY	T-20102	HVAC SYSTEM MAINTENANCE	Completed	Competitive	01/01/2021	01/01/2021	12/31/2022	65,888.21	437.01	65,888.21		7	N
Heidelberg Materials Northeast Pavilion NY LLC NY	T-20104	READY MIX CONCRETE	Open	Competitive	06/10/2021	06/10/2021	12/30/2025	231,250.00	20,411.94	20,411.94	210,838.06	8	N
Jamestown Macadam Inc Celoron NY	T-20105	READY MIX CONCRETE	Open	Competitive	06/10/2021	06/10/2021	09/30/2025	62,500.00	725.00	4,237.00	58,263.00	8	N
A J s Tree Service East Amherst NY	T-20106	TREE CUTTING SERVICE	Completed	Competitive	02/09/2021	02/09/2021	01/31/2023	125,000.00	75,005.00	123,755.00	1,245.00	4	N
Clemente-Fane Concrete Herkimer NY	T-21001	READY MIX CONCRETE	Open	Competitive	06/10/2021	06/10/2021	09/30/2025	84,375.00	12,978.00	30,586.00	53,789.00	8	N
Northrup Materials Brockport NY	T-21001	BULK,SYR & BUF, READ	Open	Competitive	06/10/2021	06/10/2021	09/30/2025	84,375.00	713.00	29,813.00	54,562.00	8	N
Northern Ready Mix LLC Fulton NY	T-21003	READY MIX CONCRETE	Open	Competitive	06/10/2021	06/10/2021	09/30/2025	118,750.00	22,973.00	34,316.00	84,434.00	8	N
United Materials LLC Chicago IL	T-21004	READY MIX CONCRETE	Open	Competitive	06/10/2021	06/10/2021	09/30/2025	112,500.00	18,004.31	19,467.38	93,032.62	8	N
New Enterprise Stone & Lime Co Inc Buffalo NY	T-21005	READY MIX CONCRETE	Open	Competitive	06/10/2022	06/10/2021	09/30/2025	62,500.00	4,239.15	27,069.53	35,430.47	8	N
Bonded Concrete Inc Watervliet NY	T-21006	READY MIX CONCRETE	Open	Competitive	06/10/2021	06/10/2021	09/30/2025	262,500.00	1,235.75	17,997.15	244,502.85	8	N
Seneca Mineral Company Erie PA	T-21019	SALT BRINE	Open	Competitive	06/11/2021	06/11/2021	03/31/2025	449,480.00	125,211.00	227,029.00	222,451.00	1	N
Beacon Sales Acquisition Inc Syracuse NY	T-21036	VARIOUS CEMENT PROE	Open	Competitive	06/11/2021	06/11/2021	06/30/2024	409,600.00	67,842.75	134,071.85	275,528.15	1	N
A M E Inc Fairfield NJ	T-21041	ACS OVERSIGHT AT STA	Open	Competitive	06/14/2021	06/14/2021	03/31/2026	58,700.00	9,622.50	27,866.54	30,833.46	1	Y
Seneca Mineral Company Erie PA	T-21046	POTASSIUM ACETATE R	Open	Competitive	07/28/2021	07/28/2021	05/31/2026	112,681.25	28,504.75	59,541.25	53,140.00	3	N
C E S Northeast LLC Selkirk NY	T-21058	FUEL STORAGE TANKS I	Completed	Competitive	09/17/2021	09/17/2021	12/31/2023	5,923,292.64	963,523.64	1,868,444.40	4,054,848.24	2	N
L B Electric Co LLC Cedar Grove NJ	T-21059	ELECTRICAL PREVENTA	Open	Competitive	07/13/2021	07/13/2021	03/31/2025	1,014,290.00	163,764.52	163,764.52	850,525.48	1	Y
Traffic Sensor Solutions LLC Yorktown VA	T-21060	FIBER OPTIC AXLE SENS	Open	Competitive	10/04/2021	10/04/2021	05/31/2024	2,694,188.60	559,575.11	1,413,770.73	1,280,417.87	1	N
Kapsch TrafficCom USA Inc Lake Katrine NY	T-21079	: DEPOT REPAIR OF KAP:	Open	Single Source	11/03/2021	11/03/2021	08/30/2026	150,000.00	54,657.01	90,162.48	59,837.52	1	N
Polystar Inc Stow OH	Q-21088	PREFABRICATED STORA	Completed	Competitive	11/16/2021	11/16/2021	11/15/2022	45,783.00	45,783.00	45,783.00		4	N
Fraco USA Inc Baltimore MD	T-21092	MAINTENANCE INSPECTI	Open	Competitive	01/26/2022	01/26/2022	12/31/2025	507,819.68	305,444.00	507,819.68		1	N
Capital Digitronics Inc Albany NY	T-22003	COMMUNICATIONS SYS	Open	Competitive	05/01/2022	05/01/2022	04/30/2025	2,748,712.00	1,199,315.59	1,981,856.01	766,855.99	1	N

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<u>Vendor Name</u> <u>Address</u>	<u>Contract Number</u>	<u>Description</u>	<u>Status</u>	<u>Process</u>	<u>Award Date</u>	<u>Begin Date</u>	<u>End Date</u>	<u>Contract Amt</u>	<u>Exp 2023</u>	<u>Exp L-T-D</u>	<u>OutstandBal</u>	<u>Bids</u>	<u>M/WBE</u>
ADDCO Acquisitions LLC Saint Paul MN	T-22010	CONTRACT FOR SPARE I	Open	Single Source	04/14/2022	04/14/2022	09/30/2026	250,000.00	16,000.00	16,000.00	234,000.00	1	N
Beacon Sales Acquisition Inc Syracuse NY	T-22022	SILICONE EMULSION CO	Open	Competitive	04/01/2022	04/01/2022	12/31/2024	90,750.00	5,425.00	5,425.00	85,325.00	2	N
Big Apple Sign Corp New York NY	T-22026	ALUM SHEET BLANKS N	Open	Competitive	07/08/2022	07/08/2022	08/31/2025	560,051.45	56,280.00	56,280.00	503,771.45	1	N
Otis Elevator Albany NY	T-22028	ELEVATOR MAINTENAN	Open	Competitive	02/01/2022	02/01/2022	01/31/2025	54,835.75	54,835.75	54,835.75		1	N
Tradebe Environmental Services LLC Meriden CT	T-22037	HAZARDOUS WASTE MA	Open	Competitive	07/14/2022	07/14/2022	12/31/2026	242,760.42	1,269.00	3,034.00	239,726.42	9	N
INEX Technologies LLC Lyndhurst NJ	T-22049	INEX PARTS AND DEPOI	Open	Sole Source	10/26/2022	10/26/2022	12/31/2026	1,583,326.80	135,322.00	135,322.00	1,448,004.80	1	N
Transcom Inc Jersey City NJ	Q-22050	SINGLE SOURCE CONTR	Completed	Competitive	01/01/2022	01/01/2022	12/31/2023	35,658.32	29,391.08	29,391.08	6,267.24	1	N
Opus Inspection Inc Murray UT	Q-22051	NYVIP3 INSPECTION ST#	Completed	Competitive	08/25/2022	08/25/2022	08/25/2022	27,432.50	18,136.50	18,136.50	9,296.00	1	N
Joe Johnson Equipment LLC Rochester NY	T-22057	CULVERT INSPECTION C	Completed	Competitive	08/11/2022	08/11/2022	08/11/2022	79,972.00	79,972.00	79,972.00		5	N
Haun Welding Supply Inc Buffalo NY	T-22061	COMPRESSED GASES	Open	Competitive	10/26/2022	10/26/2022	12/31/2024	330,055.84	22,423.41	23,427.91	306,627.93	2	N
Kurk Fuel Company Tonawanda NY	T-22062	FUEL FOR SYRACUSE DI	Open	Competitive	08/31/2022	08/31/2022	07/30/2024	546,645.00	137,715.37	148,215.48	398,429.52	1	N
Delta Electronic Controls Syracuse NY	T-22063	TRUCK MOUNTED ARRC	Open	Competitive	11/03/2022	11/03/2022	04/30/2027	539,000.00	126,400.00	126,400.00	412,600.00	1	N
Maddies Motor Sports Dansville NY	Q-22066	ALL-TERRAIN VEHICLE\$	Completed	Competitive	10/12/2022	10/12/2022	10/12/2022	26,335.76	26,335.76	26,335.76		3	N
R S P Systems Bronx NY	T-22070	MAINT FOR TWO BANKS	Open	Competitive	12/22/2022	12/22/2022	04/08/2027	801,467.47	236,000.00	236,000.00	565,467.47	1	N
Beacon Sales Acquisition Inc Syracuse NY	T-22071	VARIOUS CEMENT PROJ	Open	Competitive	10/11/2022	10/11/2022	06/30/2024	52,844.40	16,146.90	16,146.90	36,697.50	1	N
Amscot Structural Products Corp Dover NJ	T-22072	ELASTOMERIC BRIDGE I	Completed	Competitive	11/23/2022	11/23/2022	04/30/2023	55,290.00	55,290.00	55,290.00		1	Y
Maeda Construction Inc Staatsburg NY	T-22073	METAL ROOF PROJECT F	Completed	Competitive	10/15/2022	10/15/2022	10/15/2022	134,065.00	134,065.00	134,065.00		1	Y
Wesco Distribution Inc Poughkeepsie NY	Q-22074	ALL ELECTRONIC TOLLI	Completed	Competitive	10/26/2022	10/26/2022	10/26/2023	31,310.00	20,880.00	31,310.00		1	N
Hard Line USA Inc Draper UT	T-22076	LOW PROFILE LOADER /	Completed	Competitive	11/04/2022	11/04/2022	11/04/2022	136,837.25	136,837.25	136,837.25		2	N
Valve Industries Inc Woodland Park NJ	Q-22077	10 FT. DECK LENGTH, 4,5	Completed	Competitive	11/18/2022	11/18/2022	11/18/2022	29,900.00	29,900.00	29,900.00		4	N
Evergreen Specialty Services Cammack NY	T-22078	10 FT. DECK LENGTH, 4,5	Completed	Competitive	01/13/2023	01/13/2023	01/13/2023	118,400.00	118,400.00	118,400.00		4	N
MS Signs Inc Saddle Brook NJ	Q-22079	GMMC BRIDGE SHARED	Completed	Competitive	11/10/2022	11/10/2022	11/10/2022	37,658.00	37,658.00	37,658.00		1	Y

EXHIBIT A3
New York State Thruway Authority
Schedule of Procurement Contracts
Commodity and Service Purchases
For the Year Ended December 31, 2023

<u>Vendor Name</u> <u>Address</u>	<u>Contract</u> <u>Number</u>	<u>Description</u>	<u>Status</u>	<u>Process</u>	<u>Award Date</u>	<u>Begin Date</u>	<u>End Date</u>	<u>Contract Amt</u>	<u>Exp 2023</u>	<u>Exp L-T-D</u>	<u>OutstandBal</u>	<u>Bids</u>	<u>M/WBE</u>
Intivity Inc East Rochester NY	Q-22083	BATTERIES	Completed	Competitive	11/22/2022	11/22/2022	11/22/2022	28,118.20	28,118.20	28,118.20		2	Y
Thorpe Electric Supply Inc Rensselaer NY	T-22087	LED LIGHTS AND POLES	Completed	Competitive	02/02/2023	02/02/2023	02/02/2023	293,288.00	143,220.00	143,220.00	150,068.00	11	N
Trius Inc Bohemia NY	T-22091	SNOW & ICE CONTROL C	Open	Competitive	02/22/2023	02/22/2023	12/31/2027	474,649.00	72,925.15	72,925.15	401,723.85	2	N
Jamaica Hardware & Paints Inc Richmond Hill NY	T-22093	TRAFFIC CONES-ALL DI	Completed	Competitive	04/20/2023	04/20/2023	12/31/2023	120,320.00	38,352.00	38,352.00	81,968.00	4	Y
Elderlee Inc York PA	T-22094	REBID FOR BREAKAWA'	Open	Competitive	12/23/2022	12/23/2022	06/30/2027	2,980,368.08	87,032.60	87,032.60	2,893,335.48	2	N
Grant Graphics LLC Saratoga Springs NY	Q-22095	ROLAND PRINTER/CUTT	Completed	Competitive	12/23/2022	12/23/2022	12/23/2022	19,300.00	19,300.00	19,300.00		1	N
NRC East Environmental Services Inc Altamont NY	Q-23002	ASBESTOS ABATEMENT	Completed	Competitive	01/18/2023	01/18/2023	01/18/2023	28,670.00	28,670.00	28,670.00		1	N
McClain & Company Inc Culpeper VA	T-23003	UNDER BRIDGE INSPECT	Open	Competitive	07/03/2023	07/03/2023	12/31/2025	2,685,628.58	114,000.00	114,000.00	2,571,628.58	1	N
Seneca Meadows Inc Waterloo NY	Q-23007	GARBAGE DROP OFF	Open	Single Source	01/30/2023	01/30/2023	01/30/2024	17,986.70	1,474.46	1,474.46	16,512.24	1	N
Rising Star Infratech Corp Queens Village NY	Q-23008	REPLACE BROKEN GLAS	Completed	Competitive	03/31/2023	03/31/2023	03/31/2023	43,250.00	43,250.00	43,250.00		3	N
Fred Beans Parts Inc Doylestown PA	T-23013	GM OEM PARTS	Open	Competitive	02/10/2023	02/10/2023	12/31/2027	125,000.00	7,946.43	7,946.43	117,053.57	2	N
C F Steel LLC Midland NC	Q-23017	COLD FORMED STEEL R	Completed	Competitive	03/06/2023	03/06/2023	03/06/2023	23,340.00	23,340.00	23,340.00		1	N
Grant Graphics LLC Saratoga Springs NY	Q-23022	ROLAND VG3-640 PRINT	Completed	Competitive	03/06/2023	03/06/2023	03/06/2023	23,766.00	23,766.00	23,766.00		1	N
Valve Industries Inc Woodland Park NJ	Q-23023	HYDRAULIC, TRUCK MC	Completed	Competitive	03/22/2023	03/22/2023	03/22/2023	34,999.00	34,999.00	34,999.00		1	N
Intivity Inc East Rochester NY	Q-23025	CEILING TILE ; HQ 2ND I	Completed	Competitive	03/28/2023	03/28/2023	03/28/2023	42,979.15	42,979.15	42,979.15		2	Y
Yaboo Fence Company West Nyack NY	T-23026	CHAIN LINK FENCING/N	Completed	Competitive	06/05/2023	06/05/2023	09/05/2023	210,331.00	210,331.00	210,331.00		3	Y
C T S Mobility Austin TX	T-23027	APPLE IPADS AND ACCE	Completed	Competitive	04/03/2023	04/03/2023	04/03/2023	140,599.13	140,599.13	140,599.13		1	N
The Environmental Service Group (NY) Inc Tonawanda NY	T-23035	FUEL TANK REMOVAL A	Open	Competitive	04/19/2023	04/19/2023	12/31/2025	157,000.00	37,500.00	37,500.00	119,500.00	10	N
Empire State Landscaping LLC LaGrangeville NY	T-23046	GMMCB-SHARED USE P/	Open	Competitive	09/01/2023	09/01/2023	12/30/2027	431,600.00	24,900.00	24,900.00	406,700.00	1	Y
Eckert Mechanical LLC Albany NY	T-23054	HVAC MAINTENANCE A'	Open	Competitive	08/11/2023	08/11/2023	05/31/2028	680,320.00	33,102.08	33,102.08	647,217.92	3	N
Beacon Sales Acquisition Inc Syracuse NY	Q-23056	PAVEMENT DOT LINES F	Completed	Competitive	06/27/2023	06/27/2023	12/31/2023	49,908.60	4,403.70	4,403.70	45,504.90	1	N
New England Property Maintenance Inc Mahopac NY	T-23059	TREE CUTTING SERVICE	Open	Competitive	06/09/2023	06/09/2023	04/28/2028	624,040.00	79,440.00	79,440.00	544,600.00	10	N

EXHIBIT A3
New York State Thruway Authority
Schedule of Procurement Contracts
Commodity and Service Purchases
For the Year Ended December 31, 2023

<u>Vendor Name</u> <u>Address</u>	<u>Contract Number</u>	<u>Description</u>	<u>Status</u>	<u>Process</u>	<u>Award Date</u>	<u>Begin Date</u>	<u>End Date</u>	<u>Contract Amt</u>	<u>Exp 2023</u>	<u>Exp L-T-D</u>	<u>OutstandBal</u>	<u>Bids</u>	<u>M/WBE</u>
D T M Parts Supply Inc Mount Vernon NY	Q-23063	TRAILER MOUNTED - WI	Completed	Competitive	06/23/2023	06/23/2023	06/23/2023	29,915.00	29,915.00	29,915.00		2	Y
Bell Electrical Supply Co Inc Woodside NY	T-23064	HOLOPHANE LED LIGHT	Open	Competitive	09/20/2023	09/20/2023	12/31/2024	117,329.10	117,329.10	117,329.10		8	Y
Hershys Fencing Inc Spring Valley NY	T-23068	CHAIN LINK FENCING-C	Completed	Competitive	09/27/2023	09/27/2023	09/27/2023	56,850.00	56,850.00	56,850.00		4	Y
Waterman Signs Blossvale NY	Q-23072	SIGN SHOP REFLECTIVE	Open	Competitive	09/01/2023	09/01/2023	08/31/2028	39,370.00	9,366.00	9,366.00	30,004.00	4	Y
Avery Dennison Niles IL	T-23074	SIGN SHOP REFLECTIVE	Open	Competitive	09/01/2023	09/01/2023	08/31/2028	513,712.50	18,022.50	18,022.50	495,690.00	4	N
Waterman Signs Blossvale NY	T-23078	SIGN BLANKS FOR THRU	Open	Competitive	10/23/2023	10/20/2023	12/31/2027	91,866.64	21,200.00	21,200.00	70,666.64	2	Y
U S Materials Handling Corporation East Syracuse NY	Q-23088	FORKLIFT TRUCK (5,000	Completed	Competitive	08/28/2023	08/28/2023	08/28/2023	29,664.00	29,664.00	29,664.00		1	N
Resilient Support Services Inc Saratoga Springs NY	Q-23091	CHILLER UNIT REPLACE	Completed	Competitive	09/30/2023	09/30/2023	09/30/2023	44,311.66	44,311.66	44,311.66		8	N
Compulink Technologies Inc New York NY	T-23107	JIRA MANAGEMENT SER	Open	Competitive	10/18/2023	10/18/2023	10/17/2024	189,024.47	67,329.47	67,329.47	121,695.00	4	Y
Beam Enterprises Inc Chester NY	Q-23111	HARRIMAN MTC BLDG-7	Completed	Competitive	10/24/2023	10/24/2023	10/31/2023	31,500.00	31,500.00	31,500.00		3	Y
Kistner Concrete Products Inc Lockport NY	Q-23112	CONCRETE BARRIERS-EI	Completed	Competitive	10/31/2023	10/31/2023	10/31/2023	44,760.00	44,760.00	44,760.00		4	N
Grand Totals							297		56,476,776.67	159,986,269.49			

EXHIBIT A4

New York State Thruway Authority
Schedule of Procurement Contracts
Construction Contracts
For the Year Ended December 31, 2023

Contractor Name	Contract Number	Address / Contract Description	Status	Type of Procurement	Award Process	Award Date	Begin Date	Renew Date	End Date	Contract Amount	Fiscal Year 2023	Life To Date	Current Balance	Bids/Propo	MBE/WBE	MWBE Solicit	MWBE Propo
A. SERVIDONE/B.ANTHONY CONST.	D214736	1344 ROUTE 9 Replacement of Three Overhead Bridges in the Town of Ran Open		CASTLETON NY	Design and Construct Authority Contract - Competiti	12033 3/16/2020	4/1/2020		USA 5/26/2022	\$30,806,572	\$678,158	\$29,848,704	\$957,868	5	N	Y	0
ACCENT STRIPE, INC.	D214858 D214901	3275 N BENZING ROAD Syracuse and Buffalo Divisions: Pavement Safety Striping - 2 Completed Buffalo and Syracuse Division: Pavement Safety Striping - 2t Open		ORCHARD PARK NY	Design and Construct Authority Contract - Competiti Design and Construct Authority Contract - Competiti	14127 3/7/2022 1/5/2023	7/10/2022 7/16/2023		USA 8/26/2022 11/30/2023	\$891,045 \$1,363,197	\$64,139 \$1,186,101	\$891,045 \$1,186,101	\$0 \$177,095	2 2	N N	Y Y	0 0
BETTE & CRING, LLC	D214859 D214912	22 CENTURY HILL DRIVE STE MP 225.48 and MP 225.49: Thruway Bridges over County Rr Open MP 141.92: Administrative Headquarters - TSOC and State I Open		LATHAM NY	Design and Construct Authority Contract - Competiti Design and Construct Authority Contract - Competiti	12110 12/24/2021 5/22/2023	2128 4/4/2022 6/19/2023		USA 11/17/2023 5/31/2024	\$5,887,872 \$2,915,500	\$2,836,597 \$1,676,690	\$5,534,208 \$1,676,690	\$353,664 \$1,238,810	6 2	N N	Y Y	0 0
CALLANAN INDUSTRIES INC	D214806 D214869 D214906	PO BOX 15097 North of Coxsackie (MP 121.2) to south of Albany (Exit 23, I Completed MP 93.8 to MP 100.8: 2 inch Mill and Inlay with Full Depth Re Open Newburgh (Exit 17, MP 60.1) to south of New Paltz (MP 68.0 Open		ALBANY NY	Design and Construct Authority Contract - Competiti Design and Construct Authority Contract - Competiti Design and Construct Authority Contract - Competiti	12212 7/16/2021 11/1/2023 1/1/2023	5097 7/14/2021 4/27/2022 3/27/2023		USA 12/31/2022 11/30/2022 10/27/2023	\$5,895,178 \$9,129,090 \$9,419,279	\$365,876 \$168,548 \$8,224,995	\$5,895,178 \$9,129,090 \$8,224,995	\$0 \$0 \$1,194,284	6 3 3	N N N	Y Y Y	0 0 0
CCI COMPANIES, INC	D214705	PO BOX 186 Syracuse Division: Various Culvert Repairs MP 205.8 to MP Completed		CANASTOTA NY	Design and Construct Authority Contract - Competiti	13032 4/25/2019	6/6/2019		USA 11/24/2021	\$3,393,785	\$370,897	\$3,393,785	\$0	3	N	Y	0
COLD SPRING CONST CO INC	D214864	PO BOX 358 LeRoy (Exit 47, MP 378.2) to West of Batavia (Exit 48, MP 3 Open		AKRON NY	Design and Construct Authority Contract - Competiti	14001 4/27/2022	5/18/2022		USA 12/31/2023	\$23,968,663	\$8,485,685	\$20,903,475	\$3,065,188	5	N	Y	0
CRISDEL GROUP INC	D214853 D214854	220 RYAN STREET MP 640.00-642.40, Garden State Parkway Connector, Paver Completed South of Nyack (MP 16.2) to south of Spring Valley Toll Barri Open		SOUTH PLAINFIELD NJ	Design and Construct Authority Contract - Competiti Design and Construct Authority Contract - Competiti	07080 4/15/2022 3/24/2022	4/15/2022 4/6/2022		USA 12/31/2022 8/31/2023	\$6,365,133 \$17,754,346	\$570,257 \$7,316,943	\$6,365,133 \$16,481,960	\$0 \$1,272,386	5 2	N N	Y Y	0 0
D & H EXCAVATING, INC.	D214870	11939 RT 98 S Buffalo Division Pavement Repairs at Various Locations - H3 Open		ARCADE NY	Design and Construct Authority Contract - Competiti	14009 8/9/2022	8/9/2022		USA 10/27/2023	\$5,988,000	\$4,382,998	\$5,548,372	\$439,628	4	N	Y	0
D A COLLINS CONSTRUCTION CO INC	D214759 D214902	269 BALLARD ROAD On Demand Repairs to Thruway Infrastructure in the Albany Open South of New Paltz (MP 74.1) to New Paltz (Exit 18, MP 76.5 Open		WILTON NY	Design and Construct Authority Contract - Competiti Design and Construct Authority Contract - Competiti	12831 12/28/2020 9/30/2022	3/29/2021 11/23/2022		USA 1/12/2023 6/30/2023	\$2,823,600 \$5,237,091	\$849,513 \$3,808,568	\$2,631,039 \$3,808,568	\$192,561 \$1,428,523	2 3	N N	Y Y	0 0
DIGESARE MECHANICAL INC.	D214793	3434 CARMAN ROAD Administrative Headquarters (MP 141.92) - Computer Room Open		SCHENECTADY NY	Design and Construct Authority Contract - Competiti	12303 6/4/2021	7/15/2021		USA 6/30/2022	\$1,494,075	\$68,545	\$1,494,075	\$0	2	N	Y	0
ECCO III ENTERPRISES INC	D214568	201 SAW MILL RIVER ROAD Reconstruction of I-95 Mainline and ramps from MP NE 14.1 Open		YONKERS NY	Design and Construct Authority Contract - Competiti	10701 8/6/2018	8/9/2018		USA 3/10/2023	\$138,761,270	\$150,700	\$138,222,200	\$539,070	4	N	Y	0
ECONOMY PAVING CO INC	D214863	1819 NYS ROUTE 13 MP 278.93: Syracuse Interchange (Exit 35) Bridge Over Thru Open		CORTLAND NY	Design and Construct Authority Contract - Competiti	13045 7/28/2022	8/1/2022		USA 12/31/2023	\$8,178,718	\$5,494,541	\$7,299,358	\$879,360	20	N	Y	0
FLEETWASH INC.	D214861 D214896	1145 NY ROUTE 13 I-95 (New England Thruway) Bridge Maintenance Cleaning fc Completed I-95 (New England Thruway) Bridge Maintenance Cleaning fc Open		CORTLAND NY	Design and Construct Authority Contract - Competiti Design and Construct Authority Contract - Competiti	13045 4/14/2022 4/19/2023	6/13/2022 7/10/2023		USA 7/15/2022 7/21/2023	\$450,148 \$495,272	\$9,500 \$452,472	\$450,148 \$452,472	\$0 \$42,800	3 2	N N	Y Y	0 0
GIANFIA CORP	D214865	179 BRADY AVENUE MP 12.85: Improvements to I-87 Interchange 9 Ramp Inters Open		HAWTHORNE NY	Design and Construct Authority Contract - Competiti	10532 10/19/2022	2/15/2023		USA 11/22/2024	\$13,927,229	\$6,862,052	\$6,862,052	\$7,065,178	8	N	Y	0
GRACE INDUSTRIES, LLC	D214758 D214827 D214873	336 SOUTH SERVICE ROAD I-95, New England Thruway: Highway Improvements NE 0.1: Completed New England Thruway (I-95) from MP NE 0.17 to MP NE 14. Open New York Division Pavement Repairs at Various Locations - Open		MELVILLE NY	Design and Construct Authority Contract - Competiti Design and Construct Authority Contract - Competiti Design and Construct Authority Contract - Competiti	11747 3/13/2020 12/16/2021 6/17/2022	5/18/2020 5/16/2022 7/5/2022		USA 11/19/2021 7/11/2023 11/15/2024	\$12,898,483 \$8,485,350 \$8,990,009	\$1,380 \$2,111,752 \$1,901,476	\$12,898,483 \$8,150,699 \$2,576,108	\$0 \$334,652 \$6,413,901	4 1 3	N N N	Y Y Y	0 0 0
HARRISON & BURROWES BRIDGE CONSTRUC	D214742 D214791 D214826	22 HAMILTON LANE Bridge Replacement MP 58.43, I-87 over Rte. 207 Completed Bridge Scour Repairs at MP 84.14 & MP 30.23 Completed Electronics Parkway (Exit 37, MP 284.1) to I-690 (Exit 39, MI Open	PO BOX 335 Completed Completed	GLENMONT NY	Design and Construct Authority Contract - Competiti Design and Construct Authority Contract - Competiti Design and Construct Authority Contract - Competiti	12077 2/28/2020 10/15/2021 1/14/2022	3/9/2020 10/20/2021 2/7/2022		USA 9/30/2022 11/30/2022 6/30/2024	\$14,508,015 \$2,284,276 \$88,268,427	\$75 \$124,553 \$38,541,780	\$14,508,015 \$2,284,276 \$75,319,003	\$0 \$0 \$12,949,423	6 5 4	N N N	Y Y Y	0 0 0
HEIDELBERG MATERIALS NE - NY LLC	D214924	5126 SO. ONONDAGA RD Pavement Repairs and Resurfacing at Various Locations bet Open	PO BOX A	NEDROW NY	Design and Construct Authority Contract - Competiti	13120 7/27/2023	9/15/2023		USA 5/31/2024	\$4,598,976	\$2,462,618	\$2,462,618	\$2,136,358	4	N	Y	0

New York State Thruway Authority
Schedule of Procurement Contracts
Construction Contracts
For the Year Ended December 31, 2023

<u>Contractor Name</u>	<u>Contract Number</u>	<u>Address / Contract Description</u>	<u>Status</u>	<u>Type of Procurement</u>	<u>Award Process</u>	<u>Award Date</u>	<u>Begin Date</u>	<u>Renew Date</u>	<u>End Date</u>	<u>Contract Amount</u>	<u>Fiscal Year 2023</u>	<u>Life To Date</u>	<u>Current Balance</u>	<u>Bids/ Propo</u>	<u>MBE/ WBE</u>	<u>MWBE Solicit</u>	<u>MWBE Propo</u>
TIOGA CONSTRUCTION CO INC	D214860	333 GROS BLVD MP 240.48: Judd Road Bridge over Thruway - Replacement	Open	HERKIMER	NY	13350			USA								
	D214905	North Main Street Bridge Over Thruway - Replacement B400	Open	Design and Construct Authority Contract - Competiti		3/25/2022	4/20/2022		7/1/2023	\$4,843,935	\$1,883,705	\$4,498,790	\$345,144	5	N	Y	0
TITAN ROOFING, INC.	D214885	200 TAPLEY STREET MP 45.2: Replacement of a Deteriorated Roof at the Harrima	Open	SPRINGFIELD	MA	01104			USA								
				Design and Construct Authority Contract - Competiti		5/30/2023	9/25/2023		12/31/2023	\$613,800	\$177,423	\$177,423	\$436,377	5	Y	Y	0
UNION CONCRETE & CONSTRUCTION CORP.	D214894	PO BOX 410 MP 915.46: Beaver Island State Parkway Bridge over Niaga	Open	WEST SENECA	NY	14224	0648		USA								
				Design and Construct Authority Contract - Competiti		12/9/2022	3/24/2023		5/24/2024	\$8,327,640	\$5,611,638	\$5,611,638	\$2,716,003	4	N	Y	0
VERDE ELECTRIC MAINTENANCE CORP.	D214851	89 EDISON AVENUE New York Division: ITS Equipment - Replacement	Open	MOUNT VERNON	NY	10550			USA								
				Design and Construct Authority Contract - Competiti		5/10/2023	9/19/2023		6/30/2024	\$4,392,494	\$168,180	\$168,180	\$4,224,314	1	N	Y	0
YONKERS CONTRACTING CO INC	D214781	969 MIDLAND AVENUE I-95, MP 608.8 to MP 610.8 Northbound and MP 613.0 to MF	Open	YONKERS	NY	10704			USA								
	D214875	I-287/Route 17S (Exit 15, MP 29.4) to north of Suffern (MP 3	Open	Design and Construct Authority Contract - Competiti		6/14/2021	6/14/2021		9/20/2022	\$18,740,174	\$648,057	\$18,031,573	\$708,601	4	N	Y	0
				Design and Construct Authority Contract - Competiti		7/8/2022	8/19/2022		12/30/2023	\$44,611,789	\$30,564,843	\$35,526,741	\$9,085,048	2	N	Y	0
										\$860,819,516	\$243,326,549	\$662,889,097	\$197,930,418				

EXHIBIT B

New York State Thruway Authority Bond Sale Report for Calendar Year 2023

PROGRAM	N/A*
Par Amount	N/A
Method of Sale	N/A
Public/Private	N/A
Underwriters Senior-Manager	N/A
Co-Coordinating Manager	N/A
Co-Senior(s)	N/A
SDVOB Manager(s)	N/A
Co-Manager(s)	N/A
True Interest Cost	N/A
Arbitrage Rate	N/A
Total Underwriter's Discount	N/A
Ratings (Moody's/S&P/Fitch)	N/A
Dated Date	N/A
Last Maturity Date	N/A

* - There were no debt issuances for Calendar Year 2023.

EXHIBIT C

 NEW YORK STATE OF OPPORTUNITY. Thruway Authority	GENERAL POLICY	SECTION TITLE	NUMBER 25-5-01
			POLICIES -- PURCHASING
APPROVED BOARD MEETING NUMBER: 756 RESOLUTION NUMBER: 6396 DATE: December 5, 2022		SUBJECT	PROCUREMENT CONTRACTS

Introduction

This Policy, established pursuant to Section 2879 of the New York State Public Authorities Law, sets forth the guidelines of the New York State Thruway Authority (Authority) regarding the Authority's use, awarding, monitoring and reporting of Procurement Contracts. These guidelines are not intended and shall not be deemed to grant or confer any rights or remedies to or upon any third party.

Except as otherwise provided herein, all Authority Procurement Contracts are subject to this Policy. Attachment 1 sets forth a representative (but not exclusive) list of the types of goods purchased, and a description of those areas of responsibility and oversight requiring the use of services and the reasons for the use of services in such areas.

I. Definitions

- A. "Discretionary Purchase" is a procurement made below statutorily established monetary threshold amounts (e.g., not exceeding \$500,000 for the purchase of commodities and/or services from Small Business Concern or NYS-certified MWBE firms, and without a dollar cap for SDVOBE firms) and at the discretion of the Authority, without the need for a formal competitive bid process. For the purpose of determining whether a purchase is within the discretionary thresholds, the aggregate amount of all purchases of the same commodities and/or services to be made within the 12- month period commencing on the date of purchase shall be considered. A change to or a renewal of a discretionary purchase shall not be permitted if the change or renewal would bring the reasonably expected aggregate amount of all purchases of the same commodities and/or services from the same provider within the 12- month period commencing on the date of the first purchase to an amount greater than \$500,000, pursuant to State Finance Law § 163.
- B. "Emergency" shall mean an unanticipated, sudden or unexpected event or escalation of an event beyond the control of the Authority which, if not immediately corrected, would endanger: a) the life, health, safety or welfare of any person, or b) the continued public use or function of a transportation or other facility or property of the Authority or the State of New York.
- C. "Foreign Business Enterprise" shall mean a business enterprise, including a sole proprietorship, partnership or corporation, which offers for sale, lease or other form of exchange, goods sought by the Authority and which are substantially produced outside New York State, or services sought by the Authority and which are substantially performed outside New York State. For purposes of construction services, a Foreign

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Business Enterprise shall mean a business enterprise, including a sole proprietorship, partnership or corporation, which has its principal place of business outside New York State.

- D. “Goods” include equipment, material and supplies of any kind. Procurement Contracts that include both goods and services may be classified as for “goods” where 60% or more of the total projected contract value will be for the purchase of equipment, material or supplies.
- E. “Minority Owned Business Enterprise” shall have the same meaning as that set forth in Public Authorities Law Section 2879.
- F. “New York State Business Enterprise” shall mean any business enterprise which offers for sale, lease or other form of exchange, goods or services sought by the Authority which are substantially manufactured, produced, assembled or performed within New York State.
- G. “New York State Resident” shall have the same meaning as that set forth in Public Authorities Law Section 2879.
- H. “Procurement Contract” shall mean any written agreement for the acquisition of goods or services of any kind, in the actual or estimated amount of fifteen thousand dollars (\$15,000) or more. Construction contracts and design-build contracts are included within the scope of the definition of a Procurement Contract pursuant to this Policy, but are not Procurement Contracts pursuant to Public Authorities Law Section 2879. A Procurement Contract does not include contracts valued under \$15,000, agreements for the settlement or compromise of any action, proceeding or claim by or against the Authority, certain financial, insurance and healthcare products that do not readily lend themselves to a competitive solicitation, contracts for the direct and indirect placement of advertisements with radio, television, print and electronic media, periodicals, subscriptions, reference materials or professional research tools, written materials, fees or tuition associated with continuing education courses, training courses, conferences, seminars and symposiums, funding agreements, co-funding agreements, grants or memberships in various industry groups, professional societies or similar cooperative associations, or any cooperative projects and procurement activities conducted or sponsored by such organizations in which the Authority participates.
- I. “Professional Firm” shall mean any individual or sole proprietorship, partnership, corporation, association or other legal entity permitted by law to practice the professions of architecture, engineering or surveying.
- J. “Services” shall mean services of a consulting, professional or technical nature provided by outside consultants/contractors (individuals, partnerships or firms who are

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not and do not employ officers or employees of the Authority) for a fee or other compensation.

- K. “Service Disabled Veteran Owned Business Enterprise” shall have the same meaning as that set forth in Article 17-B of the Executive Law Section 369-H.
- L. “Small Business Concern” is a business that is resident in New York State, is independently owned and operated, not dominant in its field and employs not more than 100 people.
- M. “Women Owned Business Enterprise” shall have the same meaning as that set forth in Public Authorities Law Section 2879.

II. Selection of Contractors for Goods

Except as otherwise provided in this Policy, the Authority shall award Procurement Contracts for goods to the lowest responsible bidder as will best promote the Authority’s interests, taking into consideration: the reliability of the contractor; the quality of the goods to be furnished; the goods’ conformity with the specifications; the terms of delivery; and/or such other criteria as the Authority determines appropriate. The Authority shall solicit bidders for applicable contracts pursuant to Article 4-C of the New York State Economic Development Law (e.g., advertise in the Contract Reporter). The Authority shall solicit price quotes from at least three (3) sources, if available.

III. Selection of Contractors for Services

- A. The Authority may contract for services when, because of one or more of the following factors or considerations, it is more beneficial for such services to be contracted for than performed by employees of the Authority:
 - 1. lack of or unavailability of sufficient staff, facilities, equipment or other resources;
 - 2. requirement of special expertise or abilities not adequately possessed by Authority employees;
 - 3. timing;
 - 4. short term or infrequent need for the service; or
 - 5. distance of the location(s) where the services must be performed from Authority offices or facilities.

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- B. Except as otherwise provided in this Policy, the Authority shall award Procurement Contracts for professional services, except those to Professional Firms, to a responsive and responsible contractor on the basis of best value, taking into account: the contractor's experience and capability to perform the required services, the adequacy of contractor's staff to be assigned to Authority work, the overall quality and responsiveness of the contractor's proposal, the contractor's fee structure and overall price; and/or such other criteria as the Authority determined appropriate. Procurement Contracts for construction and design-build services shall be awarded in compliance with all applicable laws. The Authority shall solicit proposals for applicable contracts pursuant to Article 4-C of the New York State Economic Development Law (e.g., advertise in the Contractor Reporter). The Authority shall solicit price quotes from at least three (3) sources, if available.
- C. The Authority shall award Procurement Contracts for the services of Professional Firms on the basis of demonstrated competence and qualification for the type of professional services required and at fair and reasonable fees. The Authority shall not refuse to negotiate with a Professional Firm solely because the ratio of the "allowable indirect costs" to direct labor costs of the Professional Firm or the hourly labor rate in any labor category of the Professional Firm exceeds a limitation generally set by the Authority in the determination of the reasonableness of the estimated cost of services to be rendered by the Professional Firm, but rather the Authority should also consider the reasonableness of cost based on the total estimated cost of the service of the Professional Firm which should include, among other things, all the direct labor costs of the Professional Firm for such services plus all "allowable indirect costs," other direct costs, and negotiated profit of the Professional Firm. "Allowable indirect costs" of a Professional Firm are defined as those costs generally associated with overhead which cannot be specifically identified with a single project or contract and are considered reasonable and allowable under specific state contract or allowability limits.
- D. The Director of Procurement Services may, in consultation with the appropriate Authority program managers, designate those services other than the services of Professional Firms for which cost will be the only criterion for determining best value.

IV. Waiver of Competition

The Authority Board may waive the use of the competitive procedure for Procurement Contracts provided in this Policy based upon one or more of the following findings:

- A. There is a need to respond to an Emergency.
- B. A condition exists that makes it impractical or not in the Authority's best interest to seek competition due to the specialized nature of the goods or services required.

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- C. There is a historical relationship, the continuation of which is in the best interests of the Authority.
- D. There is a need for confidentiality.
- E. The proposed project requires specialized knowledge of, or proximity to, the Authority.
- F. There is a requirement for which, in the sole opinion of the Authority, there is a lack of responsible competition to perform the desired services.
- G. There is a specific contractor selection that is necessary or convenient to the operations of the Authority.

V. General Procurement Requirements

- A. The Authority shall ensure that its Procurement Contracts in an amount equal to or in excess of fifty thousand dollars (\$50,000) comply with the public notification requirements of Article 4-C of the New York State Economic Development Law.
- B. The Authority shall not enter into a Procurement Contract with any current or former officer or employee of the Authority where such contract would be in contravention of law or would create a conflict of interest.
- C. Pursuant to Public Authorities Law § 2879-a, the Comptroller Regulations and the State Authority Contract Manual, the Authority may be required to submit certain contracts to the New York State Comptroller for approval that are awarded on a Sole Source, Single Source, or other non-competitive basis for the purchase of goods and/or services in an amount in excess of \$1 million, and shall notify the successful bidder therefor. Such contracts or contract amendments shall not be valid and enforceable unless approved by the Comptroller or until 90 days have elapsed from such submission without action by the Comptroller, as further set forth in the referenced law and regulations.
- D. The Executive Director must approve all contractor selections for Procurement Contracts for services based upon the recommendation of either the Non-Engineering Personal Services Committee or the Engineering Selection Committee.
- E. The Authority may utilize the Procurement Contracts of other Federal, State or local entities to purchase goods or services provided it would be in the Authority's best interests to do so.
- F. The Authority shall comply with State Finance Law Sections 139-j and 139-k that place restrictions on attempts to influence during the procurement process, require the recording of attempts to influence made during the restricted period for a procurement,

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and outline the responsibilities of offerors relative to the procurement process.

- G. The Authority will perform an affirmative review of a contractor's responsibility, in accordance with applicable laws, rules, regulations and guidelines, prior to the award of a Procurement Contract. This review shall be designed to provide reasonable assurance that a proposed or current contractor is responsible and should consider such issues as: integrity; performance on other governmental contracts; legal ability to supply the product or perform the services; and financial and organizational capability.
- H. The Authority will create a record for each Procurement Contract that documents its compliance with this Policy.

VI. Participation of Minority and/or Women-Owned Business Enterprises (MWBEs)

It is the policy of the Authority to comply with the provisions of Article 15-A of the New York State Executive Law, which requires that every Procurement Contract over \$15,000 will afford equality of economic opportunities for minority group members and women and the facilitation of participation by MWBEs. The Authority shall establish separate goals for participation of MWBEs on all Authority contracts where applicable. Furthermore, if the performance of any Procurement Contracts permits or requires the use of a subcontractor, these contracts shall require the prime contractor to act affirmatively to encourage participation by MWBEs in such subcontract and to report the nature and extent of such efforts to the Authority. Authority staff will also review the Directory of MWBEs prior to making discretionary purchases and choose MWBEs when feasible.

All Procurement Contracts, where required, shall comply with Executive Law Article 15-A and the Public Authorities Law, and rules and regulations promulgated thereunder.

- A. In furtherance of the above, and to promote the use of certified MWBEs in Procurement Contracts, the Authority shall:
 - 1. Designate appropriate staff to oversee the Authority's programs established to promote and assist: (i) participation by certified MWBEs in the Authority's procurement opportunities and facilitation of the award of Procurement Contracts to such enterprises; (ii) the utilization of certified MWBEs as subcontractors and suppliers by entities having Procurement Contracts with the Authority; and (iii) the utilization of partnerships, joint ventures or other similar arrangements between certified MWBEs and other entities having Procurement Contracts with the Authority. Such staff will be familiar with the procurement process utilized by the Authority, report directly to the Executive Director and either directly or through their designees participate in the procurement process.

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2. Establish appropriate goals for participation of MWBEs in Procurement Contracts and for the utilization of MWBEs as subcontractors and suppliers.
3. Provide notice of any procurement to appropriate professional organizations that serve MWBEs so that members of these organizations are apprised of potential opportunities to contract with the Authority.
4. Include language regarding equal employment opportunity and non-discrimination in all Procurement Contracts and/or all documents soliciting bids or proposals for Procurement Contracts, and require the contractor to include this language in all subcontracts.
5. Include a provision in the Authority's Procurement Contracts expressly providing that any contractor who willfully and intentionally fails to comply with the MWBE participation requirements as set forth in the contract shall be liable to the Authority for liquidated or other appropriate damages as may be determined by the Authority.
6. Maintain lists of qualified certified MWBEs that have expressed an interest in doing business with the Authority and ensure such entities receive direct notice of any impending procurements. The Authority shall also consult the lists of certified MWBEs maintained by the Department of Economic Development.
7. List applicable goals for participation of certified MWBEs in Procurement Contracts in all solicitations and for the utilization of MWBEs as subcontractors and suppliers by entities having Procurement Contracts with the Authority.
8. Conduct procurements in a manner that will enable the Authority to achieve the maximum feasible portion of the goals established and eliminate barriers to participation of MWBEs on Procurement Contracts. The Authority shall include, without limitation:
 - a. the expected degree of MWBE participation;
 - b. provisions relating to joint ventures, under which a bidder may count toward meeting its MWBE participation goal;
 - c. provisions under which the Authority may waive obligations of the contractor relating to MWBE participation after a showing of good faith efforts to comply with the requirements of this Policy and all applicable laws pursuant to the waiver provisions contained in Executive Law Article 15-A; and

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- d. verification that MWBEs listed in a successful bid are actually participating to the extent listed on the project for which the bid was submitted.

B. In implementing the provisions of this Section VI, the Authority shall:

1. Consider, where practicable, the severability of construction projects and other bundled projects;
2. Implement a program that will enable it to evaluate each Procurement Contract to determine the appropriateness of the established goal;
3. Consider compliance with the requirements of any federal law concerning opportunities for MWBEs which effectuates the purpose of this section; and
4. Consult the most recent disparity study pursuant to Article 15-A of the Executive Law.

VII. Participation of Service-Disabled Veteran-Owned Business Enterprises (SDVOBEs)

It is the policy of the Authority to comply with the provisions of Article 17-B of the New York State Executive Law, which requires that every Procurement Contract over \$15,000 will afford equality of economic opportunities to certified SDVOBEs thereby further integrating such businesses into New York State's economy. The Authority will make a good faith effort to achieve SDVOBE participation to the extent such firms are available, and will use its best effort so that SDVOBE firms are included in Procurement Contracts. All Procurement Contracts, where required, shall comply with Executive Law Article 17-B. The Executive Director shall appoint one or more senior staff to oversee the Authority's SDVOBE program.

Before the Authority issues bid documents, or during the Authority's bid development phase, the Authority's procurement staff will consult the Directory of SDVOBEs posted on the OGS website to determine whether the solicitation may be considered for a SDVOBE goal.

Authority staff will also review the Directory of SDVOBEs prior to making discretionary purchases and choose SDVOBEs when feasible.

All procurements subject to Executive Law Article 17-B will contain standard language in solicitations and Procurement Contracts regarding participation of SDVOBEs on State contracts.

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VIII. Promotion of New York State Business Enterprises and New York State Residents in Procurement Contracts

The Authority shall promote and solicit the participation by New York State Business Enterprises and New York State Residents in Procurement Contracts in compliance with Public Authorities Law Section 2879 and shall develop procedures consistent with such.

IX. Requirements Regarding Foreign Business Enterprises and Discriminatory Jurisdictions

The Authority will follow the requirements and procedures of Public Authorities Law Section 2879(5) with respect to Procurement Contracts with Foreign Business Enterprises. Accordingly, the Authority shall notify the Department of Economic Development of the award of a Procurement Contract for the purchase of goods or services from a Foreign Business Enterprise in an amount greater than or equal to one million dollars simultaneously with notifying the successful bidder. The Authority will not enter into any such Procurement Contract until at least 15 days have elapsed from notice to the Department of Economic Development, except where the Procurement Contract was awarded on an emergency or critical basis or where the Commissioner of Economic Development waives the notice requirement.

In addition, pursuant to Public Authorities Law Section 2879(5), the Authority will impose restrictions on Foreign Business Enterprises located in Discriminatory Jurisdictions with respect to the solicitation and award of Procurement Contracts.

X. Procurement Contract Provisions

To the extent deemed appropriate by the Executive Director and the General Counsel, Procurement Contracts may include, but should not necessarily be limited to, the following provisions:

- A. Scope of Services
- B. Compensation and Allowable Expenses
- C. Payment Methodology
- D. Term or Time for Performance
- E. Personnel, Equipment and Supplies
- F. Standards of Performance
- G. Independent Contractor
- H. Subcontracting
- I. Insurance and Bond Requirements
- J. Liability and Indemnification
- K. Ethics

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- L. Confidentiality and Non-Disclosure
- M. Lobbying Law Certification
- N. Public Announcements
- O. Interchange of Data
- P. Environmental Review
- Q. Damages for Delay
- R. Suspension, Abandonment and Termination
- S. Severability Clause
- T. Non-Assignment Clause
- U. Comptroller Approval
- V. Workers' Compensation and Disability Benefits
- W. Non-Discrimination Requirements
- X. Wage and Hours Provisions
- Y. Non-Collusive Bidding Certification
- Z. International Boycott Prohibition
- AA. Set-Off Rights
- AB. Records
- AC. Identifying Information and Privacy Notification
- AD. Equal Employment Opportunities for Minorities and Women
- AE. Conflicting Terms
- AF. Governing Law
- AG. Late Payment
- AH. No Arbitration
- AI. Service of Process
- AJ. Prohibition on Purchase of Tropical Hardwoods
- AK. MacBride Fair Employment Principles
- AL. Omnibus Procurement Act of 1992
- AM. Reciprocity and Sanctions Provisions
- AN. Purchases of Apparel
- AO. Observance of Laws
- AP. No Waiver of Provisions
- AQ. Entire Agreement
- AR. Iranian Energy Sector Divestment
- AS. Promotion of New York State Business Enterprises and New York State Residents in Procurements
- AT. Contractor Requirements and Procedures for Business Participation Opportunities for New York State Certified MWBEs
- AU. Participation Opportunities for New York State Certified SDVOBES
- AV. Ensuring Pay Equity (Executive Order No. 162)
- AW. Prohibiting Contracts with Entities that Support Discrimination (Executive Order No. 177)

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AX. Ownership of Materials

AY. Compliance with New York State Information Security Breach and Notification Act

AZ. Net Neutrality Principles (Executive Order No. 175)

XI. Delegations

The Authority Board must approve all Procurement Contracts unless such approval has been otherwise delegated in this Policy.

A. The Executive Director or the Executive Director's designee is authorized to:

1. Execute any Procurement Contract, including any amendments thereto, for expenditures in an amount not to exceed five hundred thousand dollars (\$500,000) provided that a Procurement Contract for professional services with a term in excess of one year shall require the Board's review and approval within one year of execution of the Procurement Contract. For a Procurement Contract for professional services with a term in excess of one year that must be awarded prior to the next Board meeting, the initial contract will be issued for the entire intended term of the contract. Based on its total term and value, such contract must be approved in writing by the Executive Director and such contract is subject to the Board's approval at the next Board meeting. If such approval is not granted, the contract will be terminated or amended immediately.
2. Approve an increased expenditure by the Authority not to exceed five hundred thousand dollars (\$500,000) for any Procurement Contract previously approved by the Authority Board.
3. Approve or extend Procurement Contracts for a period in excess of one year provided such contract or extension does not exceed five hundred thousand dollars (\$500,000); provided that Procurement Contracts for professional services may not be extended for a period in excess of one year without approval of the Board.
4. Waive the use of a competitive procedure for any Procurement Contract needed to respond to an Emergency in accordance with the procedure set forth in the Authority Procedure for Declared Emergency Work, the Board resolution authorizing the Authority's Contracts Program, and/or pursuant to an Executive Order of the Governor.
5. Waive the use of a competitive procedure for any Procurement Contract in an amount not to exceed five hundred thousand dollars (\$500,000) when the

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Executive Director or the Executive Director's designee finds one or more of the conditions set forth in Article IV. B. - H. of this Policy to be present.

6. Interpret, implement and administer this Policy, including the development of operational and/or administrative policies, guidance and procedures necessary to carry out its intent. These operational and/or administrative policies should, at a minimum, identify the roles and responsibilities of Authority personnel implementing and administering this Policy and the manner in which those responsibilities are to be fulfilled.
 7. Appoint a Procurement Integrity Officer whose responsibilities shall include the establishment of processes to prevent or detect improper lobbying influence, to provide guidance to program managers regarding vendor responsibility determinations, to administer the Contract Review and Vendor Responsibility Committee, and to ensure the Authority is in compliance with applicable executive orders, laws, rules and regulations regarding procurement integrity and vendor responsibility.
- B. The Director of Procurement Services or the Director of Procurement Services' designee is authorized to execute Procurement Contracts for goods provided the process used to procure such goods is in accordance with Authority procedures, and the contractor was selected based on a solicitation for bids. The Director of Procurement Services may, without a formal competitive process, execute any Procurement Contract for the purchase of goods or services from a Small Business Concern, certified MWBE, or from a certified SDVOBE, or for goods or technology that are recycled or remanufactured, in an amount not to exceed five hundred thousand dollars (\$500,000).
- C. Procurement Contracts involving the Authority's Contracts Program shall be awarded, amended/supplemented and managed by the Chief Engineer, Executive Director and Chief Financial Officer pursuant to the Board resolutions authorizing the Authority's Contracts Program.

XII. Review and Reporting Requirements

- A. In accordance with Public Authorities Law Section 2879, the Authority Board shall annually review and approve this Policy.
- B. Information related to Procurement Contracts involving the Contract Program shall be included in the submittal to the Board seeking authorization for the Contracts Program for the Board's review and approval.
- C. The Executive Director or designee shall report to the Board quarterly on all

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Procurement Contracts or amendments thereto that he or she has executed pursuant to Article XI. A. of this Policy.

- D. Following each fiscal year, the Authority Board shall approve an annual report on Procurement Contracts as required by subdivision 7 of section 2879 of the Public Authorities Law. Such annual report will include: (i) this Policy and any amendments hereto; (ii) an explanation of this Policy and any amendments hereof; (iii) a list of the year's Procurement Contracts and their status and selection process; (iv) a list of the aforesaid contracts entered into with New York State Business Enterprises (as defined in Public Authorities Law, Section 2879(3)(i)), and the subject matter and value thereof; (v) a list of the aforesaid contracts entered into with Foreign Business Enterprises and the subject matter and value thereof; (vi) a list of contracts entered into with certified MWBEs and value thereof; (vii) all referrals made and all penalties imposed pursuant to Executive Law Section 316; and (viii) a list of Procurement Contracts exempted from reporting in the Contract Reporter and the basis for each exemption. The annual report on Procurement Contracts, after being approved by the Board, shall be submitted to the Division of the Budget with copies to the Department of Audit and Control, the Department of Economic Development, the Senate Finance Committee and the Assembly Ways and Means Committee.

XIII. Miscellaneous Provisions

- A. The Authority's failure to comply with the provisions of this Policy shall not alter, modify the terms of, affect the validity of, or impair any of the Authority's rights or privileges under any Procurement Contract to which the Authority is a party, nor shall it provide any rights or privileges to an entity seeking a Procurement Contract with the Authority.
- B. The Authority may allow other Federal, State or local entities to purchase goods or services through an Authority Procurement Contract provided it would be in the Authority's best interests to do so and such procurement is acceptable to the contractor.

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ATTACHMENT 1

A. Types of Goods Purchased

The following is an illustrative (but not exclusive) list of the types of goods the Authority has purchased in the past and may purchase in the future:

- Office equipment, furniture and supplies such as fax machines, copiers, audio/video equipment, copier toner and paper;
- Computer equipment and supplies such as mainframe components and related equipment, personal computers, software, and peripheral equipment, accessories and supplies;
- Heavy construction equipment such as bulldozers, wheel loaders, crawler loaders, excavators and pavers;
- Marine fleet vessels and related equipment such as barges, tugs and marine generators;
- Motorized automotive truck fleet and related equipment such as diesel and gas trucks of all sizes, plow trucks, plow attachments, material spreaders, aerial lift trucks and tow trucks;
- Prefabricated buildings, building equipment such as furnaces, boilers, and air conditioners, and building maintenance equipment and supplies;
- Uniforms for employees;
- Road maintenance materials such as salt, ice control abrasives and de-icing solutions;
- Highway construction materials such as asphaltic materials, sand, stone, gravel and ready-mix concrete;
- Property maintenance equipment and supplies such as mowers, tractors and associated equipment;
- Telecommunication systems utilizing conventional and fiber optic technologies including cell phones, pagers, telephone switching equipment, radios, radio towers, equipment and accessories;

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- Intelligent transportation systems equipment supporting E-ZPass[®] and automatic vehicle identification (AVI);
- Sign fabrication materials, equipment and supplies including aluminum sheets and sign blanks, reflective sheeting and sign making machines;
- Building security systems, fire alarms and suppression systems, and card access and badging systems.

B. Types of Services Purchased

The Authority purchases services for a variety of reasons including, but not limited to, the need to: augment in-house staff; provide expertise in specialized areas; provide independent review; serve as a liaison with certain entities; and handle specialized matters expeditiously. The following is an illustrative (but not exclusive) list of the types of services the Authority has purchased in the past or may purchase in the future:

- Legal
Provide legal services to the Authority in the areas of bond and note financing, environmental review compliance, litigation, real property matters, labor issues, intellectual property, technology and computer law, insurance law and government relations.
- Construction
Construction, reconstruction, repair, rehabilitation, and improvement of highway, bridges and other facilities under the jurisdiction of the Authority.
- Expert Witness
Provide the Authority with expert analysis of issues raised in litigation and serve as expert witness at trial as needed. Such issues may include, but shall not be limited to: medical assessment of personal injuries, economic analysis of potential lost earnings and accident reconstruction.
- Audit and Accounting
Provide audit services pertaining to the year-end preparation of financial statements for the Authority in conformance with generally accepted accounting principles. Perform special audits and provide financial advisory services as requested.
- Building Maintenance and Security
Provide building maintenance services such as janitorial, HVAC, rubbish removal, electrical preventive maintenance, elevator inspection and maintenance, and security, including guards.

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- Investment Banking
Competitive sales: Purchase and distribute Authority debt issuances. Negotiated sales: Provide assistance in the preparation, sale, marketing and distribution of Authority debt issuances.
- Environmental
Provide environmental services such as hazardous waste removal and disposal, asbestos removal, air quality testing and weather forecasting.
- Financial/Transportation/Counting
Provide courier and money counting services from toll facilities to banking facilities and Dun and Bradstreet financial reporting.
- Trustee Banking Services
Provide banking services to monitor the timely receipt of debt service payments, compliance and reserve requirements, retirement of debt, collateral evaluations and other services as required by the various debt resolutions.
- Communications
Provide radio and intercom installation, highway advisory radio installation and radio tower installation.
- Engineering
Provide engineering services for Authority owned or operated facilities, including but not limited to, design and construction inspection engineering services.
- Architectural
Provide architectural services for Authority owned or operated facilities.
- Surveying
Provide surveys of Authority owned properties to establish boundaries, utility locations, etc.
- Real Property Advisory Services
Assist the Authority with evaluations of Authority property including the value and potential uses thereof.
- Computer Programming Services
Assist the Authority with the design, implementation and operation of computer programs that will enable the Authority to function more efficiently.

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- Health
Provide health care services such as medical testing, nursing and prescription eyeglass services.
- Equipment Maintenance
Provide routine service and repair of office, data processing, bridge, building, highway maintenance and motorized equipment.
- Risk Management Consulting
Provide risk management services to assist the Authority with its insurance program.
- Training
Provide supervisory and special skills to Authority employees.
- Printing
Provide financial printing services based upon specifications and detail developed by the Authority. Provide various technical printing services relative to the reproduction of forms and other printed matter. Provide technical, graphic, layout and printing services in connection with production of the Authority's annual report and any other reports, brochures, maps or printed matter that may be necessary or desirable.