



**MINUTES**  
**AUDIT COMMITTEE MEETING NO. 42**  
**200 Southern Boulevard**  
**Albany, New York**  
**March 27, 2017**

The following committee members were present:

Joanne M. Mahoney, Chair  
Richard N. Simberg, Board Member  
Jose Holguin-Veras, Ph.D., Board Member  
Robert Megna, Board Member, via video conference

Constituting a majority of the members of the Thruway Authority Board.

Members of the Board excused:

Donna Luh, Vice Chair  
J. Donald Rice  
Stephen Saland

Staff Present:

Bill Finch, Acting Executive Director  
Gordon Cuffy, General Counsel  
Adam Wood, Chief of Staff  
Matt Howard, Treasurer and Chief Financial Officer  
Richard Lee, Chief Engineer  
Jennifer Givner, Director of Media Relations & Communications  
Brian Donnelly, Director of Maintenance and Operations  
Dave Malone, Director of Accounting & Disbursements  
Mark Hixson, Deputy Director Maintenance & Operations  
Major Joseph Dodd, New York State Police  
Harry Lennon, Director of Internal Audit  
John Barr, Acting Director of Administrative Services  
Stephen Grabowski, Acting New York Division Director  
Kevin Allen, Director of Purchasing  
Tony Kirby, Director of Real Property Management  
Dorraine Steele, Director of Fiscal Audit and Budget Mr.  
Maureen McDermott, Director of Human Resource Management  
Carlos Millan, Director of Labor Relations and Employee Safety  
Lilia Chen, Assistant Director of Employee Safety  
Frank Macarilla, Information Technology Specialist  
Kathleen LeFave, Board Secretary

Also in attendance:

Joe Bress, Chief Negotiator  
Karen Wilson, President CSEA Local 058  
Paul Goetz, BST  
Carly Hill, FAIR Committee  
Karen Morrison, Vice President Transportations Services (AGC)  
Laura Steinberger – Private Citizen  
Scott Owens, Barrett Paving  
Steve Avveduti – Harrison & Burrowes Bridge Contractors  
Murray Bodin - Welded Steel Sculptures  
Greg Floyd, WRGB News Channel 6  
Mark Zwinak, WRGB News Channel 6 Cameraman  
Jerry Olbrich, OGS Media Services Center  
Fred Abramson, OGS Media Services Center

Chair Mahoney designated Robert Megna to serve as Member of the Audit Committee. In addition, serving on the Committee is Donald Rice as Chair, Richard Simberg and Chair Mahoney serves as the ex-officio. Chair Mahoney in Mr. Rice's absence called the meeting of the Audit Committee to order at 11:31 a.m.

Ms. LeFave recorded the minutes as contained herein (public notice of the meeting had been given).

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**Item 1**

**Approval of Minutes of the Audit Committee Meeting No. 41**

Upon motion duly made and seconded, the Audit Committee approved the minutes of the previous meeting held on December 5, 2016.

**Item 2**

**Report of BST, LLC's Audit of the Authority's Financial Statements, Report on Compliance with Investment Guidelines and Reports on Compliance with Single Audit Requirements**

Paul Goetz of BST presented an overview of the reports produced on the Authority's financial statements, reports on compliance with investment guidelines and single audit requirements.

Copies of the reports were provided to the Committee Members and are maintained in Authority records. Details of the Committee Members discussion with the presented and staff are included in the video recording of and maintained by the Authority.

Upon motion duly made and seconded, the Audit Committee approved the BST reports and authorized their submission to the Authority Board for consideration.

### **Item 3**

#### **Reports on Independent Accountants' Reports on Applying Agreed-Upon Procedures on Rents Payable by HMS Host, Delaware North and McDonalds**

Mr. Howard submitted for review and acceptance the Independent Accounts Reports on applying agreed-upon procedures on Rents Payable by HMS Host and Delaware North for the period October 1, 2015 through September 30, 2016, and McDonalds for the period of January 1, 2016 through December 31, 2016.

Copies of the reports were provided to the Committee Members and are maintained in Authority records. Detail of the Committee Members discussion with staff are included in the video recording of and maintained by the Authority.

Upon motion duly made and seconded, the Audit Committee approved the Independents Accountants' Reports.

### **Adjournment**

There being no other business to come before the Audit Committee, upon motion duly made and seconded, the meeting was adjourned at 11:49 a.m.

*Note: Webcasts, which include dialogue of Authority Board Meeting, are available on the Thruway Authority website 48 hours after such meetings occur and remain on the website for a period of four months.*