



**CASHLESS TOLLING  
DESIGN-BUILD PROJECT**

**RFQ**

**AMENDMENT #1**

**August 24, 2018**

New York State Thruway Authority

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Modifications to the Request for Qualifications  
Cashless Tolling  
DESIGN BUILD PROJECT  
TA 19-1, Contract No. D800002

**General Instructions:**

Delete Page 24 of the Request for Qualifications, General Instructions and substitute the attached revised Page 24.

**Appendix B:** Delete Pages B-2 and B-4 of the Request for Qualifications, Appendix B and substitute the attached revised Pages B-2 and B-4.

**Appendix C:** Delete Form Constructors from Request for Qualifications, Appendix C, SOQ FORMS and SOQ Editable Forms.

**Note to Proposers:**

Differences between the deleted pages and the revised pages have been identified as follows:

- Text additions are shown in underlined red font and text deletions are shown in crossed out red font.



**CASHLESS TOLLING**  
**DESIGN-BUILD PROJECT**

TA 19-1, Contract No. D800002

**REQUEST FOR QUALIFICATIONS**  
**GENERAL INSTRUCTIONS**  
**Addendum #1**

**Date: August 24, 2018**

A) Objective:

1. The Thruway does not intend to short-list any Design-Build Firms that have not demonstrated that they logistically covered from a statewide perspective, the ability to successfully complete this project within the required milestone or less. The concept that this system has to be switched over in a night time period requires significant resources. The Thruway Authority requires a level of comfort that the short-listed Design-Build Teams will have the quality resources to accomplish the necessary work.

B) Requirements

1. The Design-Build Teams will provide a narrative describing the make-up of their workforce teams, their geographic responsibilities, and the initial approach of the team on how they will accomplish the work in the constrained time frame. Listing of quality subcontractors is encouraged as is any information that provides the Authority added assurances that the Design-Build Teams logic and resources are sound and reasonable to undertake this project.
  - A) Subcontractors shall sign and complete the affirmation form (AF-1) attesting to their ability and willingness to undertake the work part of the Design-Build Team, should that team be designated as Best Value.
    - Subcontractors can sign affirmation for more than one Design-Build Team however in doing so, they must also comply with Section 1.11. Therefore subcontractor certifications (Form CID) must be provided if working for more than one Design-Build team.
    - Principles, Contractors, Designers, CI Firms, and Testing Firms that form the Design-Build Team cannot commit nor sign an affirmation for other Design-Build Team(s).
  2. The Design-Build Team shall provide on Form MAP the geographical work locations associated with their subcontractors and the Design-Build Team members. This applies to physical work only, done by the Workforce Team.

## 5.0 PROTESTS

This Section 5.0 sets forth the exclusive protest remedies available with respect to this RFQ. Each Proposer, by submitting its SOQ, expressly recognizes the limitation on its rights to protest contained herein, expressly waives all other rights and remedies and agrees that the decision rendered on any protest, as provided herein, shall be final and conclusive. These provisions are included in this RFQ expressly in consideration for such waiver and agreement by the Proposers. If a Proposer disregards, disputes or does not follow the exclusive protest remedies set forth in this RFQ, it shall indemnify, defend and hold the Authority and its directors, officers, officials, employees, agents, representatives and consultants, harmless from and against all liabilities, expenses, costs (including attorneys' fees and costs), fees and damages incurred or suffered as a result of such actions. The submission of an SOQ shall be deemed the Proposer's irrevocable and unconditional agreement with such indemnification obligation.

### 5.1 WRITTEN PROTESTS ONLY

All protests must be in writing. Protests shall be submitted to the Protest Official designated below:



**CASHLESS TOLLING  
DESIGN-BUILD PROJECT**

TA 19-1, Contract No. D800002

**Request For Qualifications**

**APPENDIX B**

**FORMAT AND ORGANIZATION FOR  
STATEMENT OF QUALIFICATIONS  
Addendum #1**

**Date: August 24, 2018**

1.1 VOLUME 1: ADMINISTRATIVE SUBMISSION

For Volume 1, only 1 signed original and 5 copies shall be submitted. Volume 1 shall be submitted separately from Volume 2. The signed original shall be identified as such on the cover(s) and shall be marked "SIGNED ORIGINAL". Each copy shall be identified on the cover(s) as "Copy # of 5 copies."

Volume 1 shall contain the cover letter, Form AOR, written certification from subcontractors serving on more than one proposal team (Section 1.11, and the following four sections:

- A. Section 1 – Legal
- B. Section 2 – Financial
- C. Section 3 – Backlog and Capacity
- D. Section 4 – Vendor Responsibility

Note: If submitting a printed copy of the Vendor Responsibility Questionnaire, the associated financial information included may be submitted in a sealed envelope, but must be securely attached to Volume 1, Section 4 of the SOQ.

| Volume 1 Section No. | Required Information   | RFQ Reference                 |
|----------------------|--|-------------------------------|
|                      | General: <ul style="list-style-type: none"> <li>• Cover Letter (maximum 2 pages); and</li> <li>• Form AOR Acknowledgement of Receipt.</li> <li>• <del>Written Certification from the Subcontractor(s).</del></li> <li>• <del>Form TA-W3053-9</del></li> <li>• <del>Form TA-W2111-9</del></li> </ul>  | 4.4.1<br><br><del>1.1.1</del> |
| Section 1            | Legal: <ul style="list-style-type: none"> <li>• Form L-1;</li> <li>• Identity of Lead Principal Participant (on Form L-1);</li> <li>• Percent share of each Principal Participant (on Form L-1)</li> <li>• Form L-3;</li> <li>• Additional information if JV, LLC, or partnership;</li> <li>• <del>Procurement Lobbying Law Forms (2 forms);</del></li> <li>• <del>Form TA-W3053-9</del></li> <li>• <del>Form TA-W2111-9</del></li> <li>• Legal Documents;</li> <li>• Statement of joint and several liability.</li> </ul> | 4.4.2.1                       |
| Section 2            | Financial: <ul style="list-style-type: none"> <li>• Surety Letters.</li> </ul>   | 4.4.2.2                       |
| Section 3            | Backlog and Capacity: <ul style="list-style-type: none"> <li>• Form B.</li> </ul>  | 4.4.2.3                       |
| Section 4            | Vendor Responsibility: <ul style="list-style-type: none"> <li>• Vendor Responsibility Questionnaire.</li> <li>• CCA-2</li> </ul>   | 4.4.2.4                       |

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New York State Thruway Authority

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|-----------|--|---------|
|           | <ul style="list-style-type: none"> <li>• Copy of the Experience Modification Rate (EMR); and</li> <li>• Form MWBE/DBE.</li> </ul>  |         |
| Section 8 | <p>Demonstration, through initial approach and geographical representation of how the Design-Build Team will utilize resources to logistically deliver the statewide effort:</p> <ul style="list-style-type: none"> <li>• Logistical and geographical makeup of the Design-Build Team's workforce and initial approach narrative. The narrative is limited to a maximum of 8 pages, and one 11"x17" page statewide map with geographical representations of intended <u>physical work assignments of the Workforce Team</u>.</li> <li>• Form AF-1</li> <li>• Form MAP</li> <li>• Form CID</li> </ul> | 4.4.2.8 |

Proposers should make every effort to present information clearly and concisely. Documentation that is difficult to read may be rejected and may lead to disqualification.

The information shall be easily reproducible by normal black and white photocopying machines.

SOQ's shall be in compliance with the format prescribed. Failure to do so may result in the SOQ being declared unresponsive or portions of the SOQ being removed and not evaluated for lack of compliance. These determinations are the sole responsibility of the Thruway Authority.

SOQs will become the property of the Authority. Copies of each SOQ will be retained by the Authority, after the SOQ evaluation process has been completed, for the Project files.



# **ALL ELECTRONIC CASHLESS TOLLING**

## **DESIGN-BUILD PROJECT**

TA 19-1, CONTRACT NO. D800002

## **REQUEST FOR QUALIFICATIONS**

### **APPENDIX C**

## **SOQ FORMS and EDITABLE FORMS**

### **Addendum #1**

**Date: August 24, 2018**

## APPENDIX C

### FORMS

|                              |   |
|------------------------------|---|
| <u>Form AOR</u>              | Acknowledgement of Receipt  |
| <u>Form AF</u>               | Affirmation of Subcontractors   |
| <u>Form B</u>                | Backlog Information   |
| <u>Form CID</u>              | Conduit of Information Disclaimer Statement   |
| <del>Form Constructors</del> | <del>Design-Builder's Constructors</del>  |
| <u>Form E-1</u>              | Project Descriptions  |
| <u>Form L-1</u>              | Proposer's Organization Information   |
| <u>Form L-3</u>              | Authorization to Provide Professional Services in New York State  |
| <u>Form MAP</u>              | Geographical Workforce  |
| <u>Form MWBE/DBE</u>         | Record of Diversity Enterprises Program Experience  |
| <u>Form PP</u>               | Past Performance  |
| <u>Form S</u>                | Safety Questionnaire  |
| <u>Form R</u>                | Summary of Individual's Experience  |
| <u>Form TA-W2111-9</u>       | Certificate of Compliance with the Authority Guidelines Regarding Permissible Contacts During a Procurement and the Prohibition of Inappropriate Lobbying Influence.  |
| <u>Form TA-W3053-9</u>       | Disclosure of Prior Non-Responsibility Determinations   |
| <u>VRQ</u>                   | State of New York Vendor Responsibility Questionnaire. (Available on the Office of the State Comptroller's Web site: <a href="http://www.osc.state.ny.us/vendrep/forms_vendor.htm">http://www.osc.state.ny.us/vendrep/forms_vendor.htm</a> ). Only the Contractor should submit the Construction (CCA-2) form. All other firms should submit the standard For-Profit VRQ. |

**FORM Constructors**  
**Design-Builder's Constructors**

Complete a copy of Form Constructors for each Contractor, as explained in the General Instructions. Do not alter the Form, other than typing in text. Fields may be expanded to accommodate additional text, as long as completed Form is no more than two (2) pages in length for each Contractor. Do not include photographs or web links. NYSTA reserves the right to contact any Owner to verify the information provided. List no more than 3 projects in the last 5 years.

| Areas of Responsibilities Construction                               |   |  |                 |   |                            |
|--|---|--|-----------------|---|----------------------------|
| <b>Design-Build Team</b>   |   |  |                 |   |                            |
| <b>Contractor Name</b>   |   |  |                 |   |                            |
| <b>Role of Subcontractor</b>   | <b>Geographical Area of Responsibility: (A,B,S,N)</b> |  |                 | <b>— Exits/ Interchanges /Terminus:</b>           |                            |
|  | <b>Intended Work:</b>                                 |  |                 | <b>Percentage of work for the Geographic Area</b> |                            |
| <b>Experience (years)</b>  | <b>Interstate:</b>                                    |  | <b>Bridges:</b> |   | <b>Electronic Tolling:</b> |
| DESCRIPTION OF PAST PROJECT(S)                                       |   |  |                 |   |                            |
| <b>Name of project</b>   |   |  |                 |   |                            |
| <b>Location</b>  |   |  |                 |   |                            |
| <b>Brief description</b>   |   |  |                 |   |                            |
| <b>Nature of work for which firm was responsible</b>                 |   |  |                 |   |                            |
| <b>Past project aspects/ similarities to the Project in this RFQ</b> |   |  |                 |   |                            |
| <b>Owner details (Department, Agency, Authority, etc.)</b>           | <b>Owner Name</b>                                     |  |                 |   |                            |
|  | <b>Address</b>  |  |                 |   |                            |
|  | <b>Contact name</b>                                   |  |                 |   |                            |
|  | <b>Telephone and e-mail</b>                           |  |                 |   |                            |

New York State Thruway Authority

|  |                             |  |  |
|--|-----------------------------|--|--|
| <b>Nature of work for which firm was responsible</b>                 |                             |  |  |
| <b>Past project aspects/ similarities to the Project in this RFQ</b> |                             |  |  |
| <b>Owner details</b><br>(Department, Agency, Authority, etc.)        | <b>Owner Name</b>           |  |  |
|  | <b>Address</b>              |  |  |
|  | <b>Contact name</b>         |  |  |
|  | <b>Telephone and e-mail</b> |  |  |
|  | <b>Contract Reference #</b> |  |  |
| <b>Contract Award Date</b>   |                             | <b>Final Contract Value (US\$):</b>                |  |
| <b>Contract Completion Date per contact (xx/yy/yyyy)</b>             |                             | <b>Final Contract Completion Date (xx/yy/yyyy)</b> |  |