

## INVESTMENTS POLICY (25-4-01)

### Appendix A – Historical Perspective

Date	Meeting Number	Resolution Number	Action
1950	n/a	n/a	Section 364, paragraph 2 of the New York State Thruway Authority Act permits the Authority, subject to the approval of the State Comptroller, by contract with the holders of its bonds, to make arrangements for the investment of any moneys of the Authority or any moneys in trust.
June 7, 1954	n/a	n/a	Pursuant to the provisions of this Section of the Act, the Authority entered into a General Revenue Bond Resolution constituting a contract with the holders of its bonds. This contract provides for the custody and control of all Thruway Authority funds. In addition to funds authorized in the General Revenue Bond Resolution, Section 405 of the Resolution Authorizing the First Guaranteed Issue, created the Guaranteed Bond Reserve Fund.
June 20, 1969	244	1130	This Resolution authorizes the Chief Financial Officer to invest and reinvest Authority funds under the terms of the General Revenue Bond Resolution.
April 26, 1983	414	2703	This Resolution approved the first Policy Statement on Investments.
March 12, 1986	448	3116	This Resolution authorizes the Thruway Authority to issue Emergency Highway Reconditioning and Preservation Bonds, 1986 Series A.
March 12, 1986	448	3124	This Resolution authorizes the Thruway Authority to issue Emergency Highway Construction and Reconstruction Bonds, 1986 Series A.
December 11, 1990	493	3989	This Resolution authorizes the Thruway Authority to issue Emergency Highway Reconditioning and Preservation Bonds, 1990 Series A.
December 11, 1990	493	3989	This Resolution authorizes the Thruway Authority to issue Emergency Highway Construction and Reconstruction Bonds, 1990 Series A.
March 7, 1991	495	4013	This Resolution authorizes the Thruway Authority to issue Special Obligation Bonds (Cross-Westchester Expressway Project), 1991 Series A.
August 23, 1991	498	4063	This Resolution authorizes the Thruway Authority to issue Local Highway and Bridge Service Contract Bonds, Series 1991.
February 27, 1992	503	4127	This Resolution authorizes the Thruway Authority to issue Emergency Highway Reconditioning and Preservation Bonds, 1992 Series A.
February 27, 1992	503	4129	This Resolution authorizes the Thruway Authority to issue Emergency Highway Construction and Reconstruction Bonds, 1992 Series A.

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August 3, 1992	506	4181	This Resolution authorizes the Thruway Authority to redeem and defease the prior General Revenue Bonds, Series A-D, and to issue General Revenue Bonds, Series A.
September 2, 1992	507	4202	This Resolution authorizes the Thruway Authority to issue Local Highway and Bridge Service Contract Bonds, Series 1992.
March 11, 1993	511	4244	This Resolution authorizes the Thruway Authority to issue Emergency Highway Reconditioning and Preservation Bonds, 1993 Series A.
March 11, 1993	511	4246	This Resolution authorizes the Thruway Authority to issue Emergency Highway Construction and Reconstruction Bonds, 1993 Series A.
September 23, 1993	517	4351	This Resolution authorizes the Thruway Authority to issue Local Highway and Bridge Service Contract Bonds, Series 1993.
December 17, 1993	519	4379	This Resolution authorizes the Thruway Authority to issue General Revenue Bonds, Series B.
May 19, 1994	523	4441	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, 1994 Series A.
August 17, 1994	526	4461	This Resolution authorizes the Thruway Authority to issue Local Highway and Bridge Service Contract Bonds, Series 1994.
September 29, 1994	527	4473	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, 1994 Series B.
January 27, 1995	530	4504	This Resolution authorizes the Thruway Authority to issue General Revenue Bonds, Series C.
June 29, 1995	535	4544	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, 1995 Series A.
August 22, 1995	536	4555	This Resolution authorizes the Thruway Authority to issue Local Highway and Bridge Service Contract Bonds, Series 1995 and Series 1995 A.
November 14, 1995	539	4586	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, 1995 Series B.
July 26, 1996	547	4650	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, 1996 Series A.

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August 29, 1996	548	4658	This Resolution authorizes the Thruway Authority to issue Local Highway and Bridge Service Contract Bonds, Series 1996.
February 27, 1997	553	4698	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, 1997 Series A.
April 24, 1997	555	4715	This Resolution authorizes the Thruway Authority to issue General Revenue Bonds, Series D.
August 28, 1997	559	4749	This Resolution authorizes the Thruway Authority to issue Local Highway and Bridge Service Contract Bonds, Series 1997.
September 25, 1997	560	4757	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, 1997 Series B.
February 27, 1998	565	4803	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, 1998 Series A.
February 27, 1998	565	4803	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, 1998 Series B.
February 27, 1998	565	4804	This Resolution authorizes the Thruway Authority to issue Local Highway and Bridge Service Contract Bonds, 1998 Series A.
February 27, 1998	565	4805	This Resolution authorizes the Thruway Authority to issue General Revenue Bonds, Series E.
June 26, 1998	569	4841	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, 1998 Series C.
June 26, 1998	569	4842	This Resolution authorizes the Thruway Authority to issue Local Highway and Bridge Service Contract Bonds, 1998 Series B.
February 25, 1999	577	4924	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, 1999 Series A.
April 29, 1999	579	4945	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, 1999 Series B.
July 22, 1999	582	4976	This Resolution authorizes the Thruway Authority to issue local Highway and Bridge Service Contract Bonds, Series 1999.
February 24, 2000	587	5044	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, Series 2000A.

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March 23, 2000	588	5056	This Resolution authorizes the Thruway Authority to establish a Tax Exempt Commercial Paper Program.
June 27, 2000	591	5074	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, Series 2000B.
July 31, 2000	592	5081	This Resolution authorizes the Thruway Authority to issue Local Highway and Bridge Service Contract Bonds, Series 2000.
February 22, 2001	597	5130	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, Series 2001A.
July 31, 2001	601	5154	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, Series 2001B.
July 31, 2001	601	5155	This Resolution authorizes the Thruway Authority to issue Local Highway and Bridge Service Contract Bonds, Series 2001.
February 28, 2002	607	5198	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, Series 2002A.
February 28, 2002	607	5199	This Resolution authorizes the Thruway Authority to issue General Revenue Bond Anticipation Notes, Series 2002A.
May 28, 2002	609	5221	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, Series 2002B.
June 27, 2002	610	5229	This Resolution authorizes the Thruway Authority to issue State Personal Income Tax Revenue Bonds (Transportation), Series 2002A.
June 27, 2002	610	5230	This Resolution authorizes the Thruway Authority to issue Local Highway and Bridge Service Contract Bonds, Series 2002.
February 27, 2003	616	5284	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, Series 2003A.
February 27, 2003	616	5285	This Resolution authorizes the Thruway Authority to issue General Revenue Bonds, Series F or General Revenue Bond Anticipation Notes, Series 2003A.
May 22, 2003	619	5302	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, Series 2003B.
June 19, 2003	620	5305	This Resolution authorizes the Thruway Authority to issue State Personal Income Tax Revenue Bonds (Transportation) Series 2003A.

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Date	Meeting Number	Resolution Number	Action
September 17, 2003	622	5316	This Resolution authorizes the Thruway Authority to issue Local Highway and Bridge Service Contracts Bonds, Series 2003.
March 2, 2004	627	5340	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, Series 2004A.
March 2, 2004	627	5341	This Resolution authorizes the Thruway Authority to issue General Revenue Bonds, Series F or General Revenue Bond Anticipation Notes, Series 2004A.
June 24, 2004	630	5353	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, Series 2004B and Series 2004C.
September 7, 2004	632	5365	This Resolution authorizes the Thruway Authority to issue State Personal Income Tax Revenue Bonds (Transportation) Series 2004A.
March 3, 2005	638	5408	This Resolution authorizes the Thruway Authority to issue General Revenue Bonds, Series F.
March 3, 2005	638	5409	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, Series 2005A.
June 21, 2005	641	5428	This Resolution authorizes the Thruway Authority to issue State Personal Income Tax Revenue Bonds (Transportation) Series 2005A.
July 7, 2005	642	5439	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, Series 2005B.
August 9, 2005	644	5445	This Resolution amended the General Highway and Bridge Trust Fund Bond Resolution and amended and restated the Sixth Supplemental Bond Resolution authorizing the issuance of Highway and Bridge Trust Fund Bonds, Series 2005B.
September 7, 2005	645	5447	This Resolution authorizes the Thruway Authority to issue General Revenue Bonds, Series G.
November 14, 2005	647	5468	This Resolution approved the Tenth Supplemental Revenue Bond Resolution amending the General Revenue Bond Resolution.
July 6, 2006	651	5520	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, Series 2006A.
July 6, 2006	651	5521	This Resolution authorizes the Thruway Authority to issue State Personal Income Tax Revenue Bonds (Transportation) Series 2006A.

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Date	Meeting Number	Resolution Number	Action
February 15, 2007	657	5584	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, Series 2007A.
April 18, 2007	659	5596	This Resolution authorizes the Thruway Authority to issue Local Highway and Bridge Service Contract Refunding Bonds, Series 2007.
May 16, 2007	660	5606	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, Series 2007B.
July 18, 2007	661	5615	This Resolution authorizes the Thruway Authority to issue State Personal Income Tax Revenue Bonds (Transportation), Series 2007A.
September 19, 2007	662	5630	This Resolution authorizes the Thruway Authority to issue General Revenue Bonds, Series H.
December 19, 2007	663	5644	This Resolution authorizes the Thruway Authority to issue Local Highway and Bridge Service Contract Refunding Bonds, Series 2008.
February 19, 2008	664	5657	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, Series 2008A.
April 25, 2008	666	5679	This Resolution authorizes the Thruway Authority to issue Local Highway and Bridge Service Contract Refunding Bonds, Series 2008C.
July 16, 2008	668	5692	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, Series 2008B.
July 16, 2008	668	5693	This Resolution authorizes the Thruway Authority to issue State Personal Income Tax Revenue Bonds (Transportation), Series 2008A.
January 21, 2009	671	5727	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, Series 2009A.
May 20, 2009	674	5751	This Resolution authorizes the Thruway Authority to issue State Personal Income Tax Revenue Bonds (Transportation), Series 2009A.
May 20, 2009	674	5752	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, Series 2009B.
June 25, 2009	675	5765	This Resolution authorizes the Thruway Authority to issue General Revenue Bonds, Series I or General Revenue Bond Anticipation Notes, Series 2009A.
January 20, 2010	678	5794	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, Series 2010A.

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July 21, 2010	681	5842	This Resolution authorizes the Thruway Authority to issue State Personal Income Tax Revenue Bonds (Transportation), Series 2010A.
January 19, 2011	684	5876	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, Series 2011A.
May 18, 2011	686	5891	This Resolution authorizes the Thruway Authority to issue General Revenue Bonds, Series I or General Revenue Bond Anticipation Notes, Series 2011A.
July 18, 2011	687	5899	This Resolution authorizes the Thruway Authority to issue State Personal Income Tax Revenue Bonds (Transportation), Series 2011A.
March 2, 2012	690	5914	This Resolution authorizes the Thruway Authority to issue Highway and Bridge Trust Fund Bonds, Series 2012A.
March 2, 2012	690	5915	This Resolution authorizes the Thruway Authority to issue Local Highway and Bridge Service Contract Bonds, Series 2012A.
May 30, 2012	692	5927	This Resolution authorizes the Thruway Authority to issue General Revenue Bonds, Series I.
August 2, 2012	694	5930	This Resolution authorizes the Thruway Authority to issue State Personal Income Tax Revenue Bonds (Transportation), Series 2012A.
January 24, 2013	696	5944	This Resolution authorizes the Thruway Authority to issue General Revenue Bond Anticipation Notes, Series 2013A.
June 14, 2013	698	5955	This Resolution authorizes the Thruway Authority to issue State Personal Income Tax Revenue Bonds (Transportation), Series 2013A.
September 11, 2013	699	5965	This Resolution authorizes the Thruway Authority to issue General Revenue Bond Anticipation Notes, Series 2013B.
November 7, 2013	700	5977	This Resolution authorizes the Thruway Authority to issue General Revenue Junior Indebtedness Obligations, Series 2013A.
November 7, 2013	700	5978	This Resolution authorizes the Thruway Authority to issue General Revenue Junior Indebtedness Obligations, Series 2013B.
January 9, 2014	702	5990	This Resolution authorizes the Thruway Authority to issue General Revenue Bonds, Series J.
August 6, 2014	705	6008	This Resolution authorizes the Thruway Authority to issue General Revenue Junior Indebtedness Obligations, Series 2014A.

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Date	Meeting Number	Resolution Number	Action
October 20, 2014	706	6016	This Resolution authorizes the Thruway Authority to issue General Revenue Refunding Bonds, Series K.
February 23, 2016	717	6080	This Resolution authorizes the Thruway Authority to issue General Revenue Junior Indebtedness Obligations, Series 2016A.
July 17, 2017	728	6140	This Resolution authorizes the Thruway Authority to issue General Revenue Refunding Bonds, Series L.
November 26, 2018	736	6191	This Resolution authorizes the Thruway Authority to issue General Revenue Junior Indebtedness Obligations, Series 2019A.
September 23, 2019	739	6216	This Resolution authorizes the Thruway Authority to issue General Revenue Junior Indebtedness Obligations, Series 2019B.
September 23, 2019	739	6217	This Resolution authorizes the Thruway Authority to issue General Revenue Bonds, Series M.
January 27, 2020	742	6229	This Resolution authorizes the Thruway Authority to issue General Revenue Bonds, Series N.
June 7, 2021	749	6290	This Resolution authorizes the Thruway Authority to issue General Revenue Bonds, Series O.
June 7, 2021	749	6291	This Resolution authorizes the Thruway Authority to issue State Personal Income Tax Revenue Bonds (Transportation), Series 2021A.
June 6, 2022	754	6358	This Resolution authorizes the Thruway Authority to issue State Personal Income Tax Revenue Bonds (Transportation), Series 2022A.
September 18, 2023	759	6442	This Resolution authorizes the Thruway Authority to issue General Revenue Bonds, Series P.
June 11, 2024	763	6511	This Resolution authorizes the Thruway Authority to issue General Revenue Bonds, Series Q.