# INTERNAL CONTROL CERTIFICATION

NYS Thruway Authority
Authority Name

Matthew J. Driscoll
Authority Executive Director

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Name of Internal Control Officer — Address — Telephone Number

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This statement certifies that the New York State Thruway Authority followed a process that assessed and documented the adequacy of its internal control structure and policies for the year ending December 31, 2019. To the extent that deficiencies were identified, the Authority has developed corrective action plans to reduce any corresponding risk.

The Authority has complied with the following provisions for internal controls in accordance with Section 2931 of the Public Authorities Law.

These provisions are as follows:

A. Establish and maintain guidelines for a system of internal controls for the authority. Internal control guidelines communicate the management and programmatic objectives of an authority to its employees and provide the methods and procedures used to assess the effectiveness of the authority internal controls in supporting these objectives.

B. Establish and maintain a system of internal controls and a program of internal control review for the authority. The program of internal control review is a structured, continuing and well-documented system designed to identify internal control weaknesses, identify actions that are needed to correct these weaknesses, monitor the implementation of necessary corrective actions and periodically assess the adequacy of the authority's internal controls.

C. Make available to each officer and employee of the authority a clear and concise statement of the generally applicable management policies and standards with which the officer or employee of such authority is expected to comply. Such statements shall emphasize the importance of and responsibility for effective internal controls.

D. Designate an internal control officer, who shall report to the head of the authority to implement and review the internal control responsibilities.

E. Implement education and training efforts to ensure that officers and employees within such authority have achieved adequate awareness and understanding of internal control standards and, as appropriate, evaluation techniques.

MATTHEW J. DRISCOLL — 7/29/2020
Signature Authority Executive Director — Date