



Syracuse Division Bundled Bridges

DESIGN-BUILD PROJECT

TAS 17-37B, Contract No. D800001

REQUEST FOR QUALIFICATIONS

ADDENDUM # 1

April 26, 2017

Modifications to the Request for Qualifications
SYRACUSE DIVISION BUNDLED BRIDGES

DESIGN BUILD PROJECT

TAS 17-37B, Contract No. D800001

General Instructions:

Delete Pages 19-23 of the Request for Qualifications, General Instructions and substitute the attached revised Pages 19-23.

Delete pages B-2 of the Request for Qualifications Appendix B and substitute the attached revised Page B-2

Note to Proposers:

Differences between the deleted pages and the revised pages have been identified as follows:

- A red bracket has been inserted on the left-hand margin of the pages to indicate where changes have been made to the documents; and
- Text additions have been shown in underlined red font and text deletions have been shown in crossed out red font.

Table 4.1

Proposal Bond/ Security	Payment Bond/ Security	Performance Bond/ Security
5% of Proposal Amount	100% of Contract Amount	100% of Contract Amount

C) The Contract Amount is not anticipated to exceed the amount shown in Appendix A.

4.4.2.3 Backlog and Capacity (Pass/Fail)

A) Objective:

To identify Proposers with sufficient capacity, considering current, committed and potential workload and past level of contract activity, to successfully complete the design and construction of the Project.

B) Requirements and information to be submitted:

Submit Form B (Appendix C), Backlog Information, for each Constructor, Designer, Construction Inspection Professional Engineering Firm, as well as the Materials Testing Firm or Laboratory. Limit backlog information to the office(s)/division(s) of the firms that will be performing Work on the Project.

4.4.2.4 Vendor Responsibility – (Pass/Fail)

A) Objective:

To identify Proposers with firms and/or personnel with a history of financial, legal, integrity, or performance issues that could adversely impact the Project generally.

B) Requirements and information to be submitted:

Vendor Responsibility Questionnaire: All firms of the Design-Build team shall submit a Vendor Responsibility Questionnaire (VRQ). Construction Contractors shall supply the CCA-2 form. See Appendix C. Note the VRQ form used by the New York State Thruway Authority is not sufficient. The VRQ and CCA-2 may also be submitted on-line using the OSC VendRep system, and include a receipt of submission in the SOQ instead of a hard copy of the VRQ and CCA-2.

4.4.2.5 Organization and Key Personnel (Quality)

A) Objective:

- 1) To identify Proposers that can manage all aspects of the Contract in a satisfactory, timely, and effective manner, successfully integrate the various parts of its organization in relation to Design-Build, and coordinate with the Authority in a cooperative and functional manner; and
- 2) To identify specific Key Personnel with demonstrated experience and expertise, and a record of producing satisfactory work on projects of a similar nature to this Project.

B) Requirements and information to be submitted:

1) Organization chart and communication structure (Solid lines for organization and colored dash lines for communication) among the Principal Participants, Construction Firm(s), Design Firm(s), Construction Inspection Professional Engineering Firm(s), Materials Testing Firm(s) or Laboratory(Laboratories), and specific Key Personnel. A maximum, 6 page narrative is available for the Design-Build team to explain how their Design-Build organization is structured to work and why and how the communication within that organization is intended to facilitate success. This will be addressed with an addendum.

2) Form R – Summary of Individual’s Experience shall be submitted. Form R shall be completely filled out with no blank lines/spaces. ~~References shall be owners for whom the individual has performed project work for in the past five (5) years and shall not be current employers of the individual.~~ References shall be owners for whom the individual has performed project work which qualifies the individual for the key personnel position they are being evaluated on. Any references cannot be current employers of the individual. The individual shall have performed the work experience required in the last (5) years.

C) Requirements for Key Personnel:

Key Personnel are listed below and should meet the qualifications described in Appendix A. Proposed staff with qualifications less than those described in Appendix A will receive a reduced score compared to staff that meet or exceed the described qualifications.

- 1) Project Manager;
- 2) Design Manager;
- 3) Quality Manager; and
- 4) Resident Engineer.

Key Personnel overlap verification requirements on Authority Design-Build projects as per Section 1.16 of the General Instructions.

4.4.2.6 Experience of the Firms (Quality)

A) Objective:

- 1) To identify the best Design-Build Teams with demonstrated experience, expertise, capacity in, and record of producing quality Work on projects possibly similar in nature to this Project;
- 2) To identify Proposers that have:
 - v) Experience in successfully managing and constructing projects of similar type as this Project;
 - vi) Experience in successfully completing Design-Build projects of similar type, and possibly scope as this Project;
 - vii) Experience in successfully managing the construction sequencing, maintenance of traffic and community interaction aspects of Projects; and
- 3) To identify Proposers whose design team has successfully managed and completed the design of infrastructure projects and who have a record of developing designs that are cost effective, innovative and sustainable;
- 4) To identify Proposers who will effectively manage all aspects of the Contract in a quality, timely and effective manner and will integrate the different parts of its organization collectively and with the Authority in a cohesive and seamless manner;
- 5) To identify Proposers that have the technical and management experience and expertise to plan, organize, execute the design and construction and assure the quality of the Project;

- 6) To identify Proposers whose Design and Construction Inspection Professional Engineering Firms preferably have past experience with the Authority and/or NYSDOT; and
- 7) To identify Proposers whose Materials Testing Firm(s) or Laboratory (Laboratories) is (are) AASHTO certified.

B) Requirements and information to be submitted:

- 1) Using Form E-1 (Appendix C), Project Description, provide no more than five (5) past project descriptions for the Constructor(s), five (5) for the Designer(s), three (3) for the Construction Inspection Professional Engineering Firm(s), and three (3) for the Materials Testing Firm(s) or Laboratory (Laboratories). Past projects must have been active within the last 15 years, and may be ongoing. An emphasis should be placed on projects having a scope, size, and/or complexity comparable to that anticipated for the Project; or project aspects comparable to that anticipated for the Project. If the legal entity that participated in a Form E-1 project is not the same legal entity that is on the Proposer's team, explain in the "relevance" section of Form E-1 how the expertise will be transferred to the Proposer's team. Similarly, if a firm's office in a Form E-1 project is not the same office of the firm that would perform work on this Project, explain in the "relevance" section of Form E-1 how the expertise will be transferred to the Proposer's team. Form E-1 may be modified to allow identification of multiple team members involved in the same project. Attach the Forms E-1 to the respective firm's background and experience summary.
- 2) Verification that the Materials Testing Firm(s) or Laboratory (Laboratories) is (are) AASHTO certified.

4.4.2.7 Past Performance (Quality)

A) Objective:

- 1) To identify Proposers with firms or personnel that have successfully completed projects on time and on or under budget, including transportation and infrastructure projects;
- 2) To identify Proposers that have records of managing contracts to minimize delays, claims, dispute proceedings, key personnel change assessment fees, litigation and arbitration;
- 3) To identify Proposers with a record of providing a safe work environment; and
- 4) To evaluate the record of MWBE and/or DBE compliance for each firm included in the SOQ in terms of achieving or making good faith efforts towards achieving past contract MWBE/DBE goals.

B) Requirements and information to be submitted:

- 1) Using Form PP-1 (Appendix C), Past Performance, provide the information requested for **each project** for which Form E-1 was prepared. The Narrative should be a self-assessment of the Firm's performance on the project, in accordance with Objectives 1) and 2) above. The Narrative should then explain any cost overruns, 1) schedule delays, claims, litigation, key personnel change assessment fees, and liquidated damages associated with the project. Form PP-1 shall not exceed two pages in length per project.

With respect to the information solicited in this Section 4.4.2.8, failure to provide this information, conditional or qualified submissions to requests or questions posed (such as “to our knowledge”, “to the extent of available information”, “such information is not readily available”, “such information is not maintained in the manner requested”, etc.), incomplete or inaccurate submissions or non-responsive submissions may, in the sole discretion of the Authority, lead to a low evaluation rating for this evaluation factor or result in a deficiency that would cause the Authority to declare the SOQ non-responsive. The proposer may include any extenuating circumstance it believes important regarding any assessment of liquidated damages, fines, or adverse findings assessed against the firm on the Design-Build team.

- 2) All Other Projects: For all other projects each Design-Build Team member has been involved with over the last 10 calendar years, submit the following information, organized by Design-Build Team member:
- a) Claims, dispute proceedings, litigation and arbitration proceedings: Provide an explanation for any claims, dispute proceedings, litigation, and arbitration proceedings that were submitted, over the past ten calendar years, to the NYSTA Chief Engineer’s office, the NYSDOT’s Commissioner’s office, or other agency’s equivalent, as part of a formal dispute resolution process, as outlined in Section 105-14, Disputed Work and Dispute Resolution, of the NYSDOT Standard Specifications (for Design-Bid-Build Projects) and The New York State Thruway Authority Addendum (TA) to the Standard Specification Books published by the NYSDOT. Include all claims, dispute proceedings, litigation and arbitration proceedings initiated by or against owners and/or federal, State and local regulatory authorities. Indicate whether the claim, dispute proceeding, litigation or arbitration proceeding was resolved against the participant(s) or its insurers/sureties or resulted in reduction in compensation to the participant. Indicate any unresolved, outstanding claims, dispute proceedings, litigation and arbitration proceedings; and
 - b) Liquidated damages: Describe any contract which resulted in assessment of liquidated damages against any Design-Build Team member involving amounts in excess of \$25,000 for any one project over the past ten calendar years. Describe the nature of the liquidated damages (including missed contract deadlines/milestones, improper maintenance of traffic, etc.), causes of the delays, the length of the delays, and the amounts assessed. Describe any outstanding damage claims by or damages due and owing to any owner/agency.

Provide information relative to past fees assessed to the contractor/designer/CI firm involving key personnel change assessment fees for having changed key personnel following award of a previous Design-Build contract (on-going or completed). Provide the owner’s name and the name of its current representative (and current phone and e-mail address) who can be contacted for additional information.

- c) Termination for cause or default: Describe the conditions surrounding any contract (or portion thereof) entered into by any such entity over the past 5 calendar years that has been terminated for cause or default, or which required completion by another party. Describe the reasons for termination and the amounts involved.
- d) Disciplinary Action: Indicate any disciplinary action taken against any such entity within the past 5 years by any governmental agency or licensing board, including suspension from the right to propose or removal from any proposer list.

If one or more of the Design-Build Team members have no information to provide in response to this Section, submit a declarative statement to that effect. Each Design-Build Team member's response to this Section shall not exceed two pages in length.

- 3) Safety: Submit Form S (Appendix C), Safety Questionnaire, for each Design-Build Team Member in accordance with the instructions at the top of the Form;
- 4) Experience Modification Rate: Each firm on the Proposer's team shall submit a letter from their current workers compensation insurance carrier stating the expiration date of the policy and the current EMR rate; and
- 5) MWBE/DBE Program Experience: Submit Form MWBE/DBE (Appendix C), Record of MWBE/DBE Program Experience – Tables 1-5, for each Principal Participant, Constructor, Designer and Construction Inspection Professional Engineering Firm, reflecting record of compliance with MWBE/DBE requirements in their contracts for the past five (5) years.

4.4.2.8 Compliance with SOQ Format and Organization Requirements

- A) Objective:
 - 1) To identify Proposers that have complied with the requirements of the RFQ for the format and organization of the Statement of Qualifications.
- B) Requirements and information to be submitted:
 - 1) Fully comply with the requirements listed in the RFQ and Appendix B for the content, format and organization of the Statement of Qualifications, including but not limited to content of Drawings, format of narratives, completion of all required forms, submission of required numbers of originals and copies, etc.

5.0 PROTESTS

This Section 5.0 sets forth the exclusive protest remedies available with respect to this RFQ. Each Proposer, by submitting its SOQ, expressly recognizes the limitation on its rights to protest contained herein, expressly waives all other rights and remedies and agrees that the decision rendered on any protest, as provided herein, shall be final and conclusive. These provisions are included in this RFQ expressly in consideration for such waiver and agreement by the Proposers. If a Proposer disregards, disputes or does not follow the exclusive protest remedies set forth in this RFQ, it shall indemnify, defend and hold the Authority and its directors, officers,

1.1 VOLUME 1: ADMINISTRATIVE SUBMISSION

For Volume 1, only 1 signed original and 5 copies shall be submitted. Volume 1 shall be submitted separately from Volume 2. The signed original shall be identified as such on the cover(s) and shall be marked “SIGNED ORIGINAL”. Each copy shall be identified on the cover(s) as “Copy # of 5 copies.”

Volume 1 shall contain the cover letter, Form AOR, written certification from subcontractors serving on more than one proposal team (Section 1.11, and the following four sections:

- A. Section 1 – Legal
- B. Section 2 – Financial
- C. Section 3 – Backlog and Capacity
- D. Section 4 – Vendor Responsibility

Note: If submitting a printed copy of the Vendor Responsibility Questionnaire, the associated financial information included may be submitted in a sealed envelope, but must be securely attached to Volume 1, Section 4 of the SOQ.

Volume 1 Section No.	Required Information	RFQ Reference
	General: <ul style="list-style-type: none"> • Cover Letter (maximum 2 pages); and • Form AOR Acknowledgement of Receipt. • Written Certification from the Subcontractor(s). • Form TA-W3053-9 • FormTA-W2111-9 	4.4.1
Section 1	Legal: <ul style="list-style-type: none"> • Form L-1; • Identity of Lead Principal Participant (on Form L-1); • Percent share of each Principal Participant (on Form L-1) • Form L-3; • Additional information if JV, LLC, or partnership; • Procurement Lobbying Law Forms (2 forms); • Legal Documents; • Statement of joint and several liability. 	4.4.2.1
Section 2	Financial: <ul style="list-style-type: none"> • Surety Letters. 	4.4.2.2
Section 3	Backlog and Capacity: <ul style="list-style-type: none"> • Form B. 	4.4.2.3
Section 4	Vendor Responsibility: <ul style="list-style-type: none"> • Vendor Responsibility Questionnaire. • <u>CCA.2</u> 	4.4.2.4